

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61K 38/55, 38/02, 38/07	A1	(11) International Publication Number: WO 96/25946 (43) International Publication Date: 29 August 1996 (29.08.96)
<p>(21) International Application Number: PCT/US96/02473</p> <p>(22) International Filing Date: 23 February 1996 (23.02.96)</p> <p>(30) Priority Data: 08/394,189 24 February 1995 (24.02.95) US</p> <p>(60) Parent Application or Grant (63) Related by Continuation US 08/394,189 (CIP) Filed on 24 February 1995 (24.02.95)</p> <p>(71) Applicant (for all designated States except US): MASSACHUSETTS INSTITUTE OF TECHNOLOGY [US/US]; 77 Massachusetts Avenue, Cambridge, MA 02139 (US).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): HORVITZ, H., Robert [US/US]; 80 Wendell Street #2, Cambridge, MA 02138 (US). YUAN, Junying [CN/US]; 22 Fairlee Road, Newton, MA 02168 (US). SHAHAM, Shai [US/US]; 132 Commonwealth Avenue #9, Boston, MA 02116 (US).</p> <p>(74) Agent: CLARK, Paul, T.; Fish & Richardson P.C., 225 Franklin Street, Boston, MA 02110-2804 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	

(54) Title: **HOMOLOGY OF INTERLEUKIN-1 β -CONVERTASE WITH C. ELEGANS CED-3 AND INHIBITION OF CELL DEATH**

(57) Abstract

Described herein is the discovery that human interleukin-1 β convertase (ICE) is structurally similar to the protein encoded by the *C. elegans* cell death gene, *ced-3*. Comparative and mutational analyses of the two proteins, together with previous observations, suggest that the Ced-3 protein may be a cysteine protease like ICE and that ICE may be a human equivalent of the nematode cell death gene. Another mammalian protein, the murine NEDD-2 protein, was also found to be similar to Ced-3. The NEDD-2 gene is implicated in the development of the murine central nervous system. On the basis of these findings, novel drugs for enhancing or inhibiting the activity of ICE, *ced-3*, or related genes are provided. Such drugs may be useful for treating inflammatory diseases and/or diseases characterized by cell deaths, as well as cancers, autoimmune disorders, infections, and hair growth and hair loss. Furthermore, such drugs may be useful for controlling pests, parasites and genetically engineered organisms. Furthermore, novel inhibitors of the activity of *ced-3*, ICE and related genes are described which comprise portions of the genes or their encoded products.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LJ	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

"Homology of interleukin-1 β -convertase with *C. elegans* ced-3 and inhibition of cell death"

5 Related Applications

This application is a continuation-in-part of USSN 08/282,211, filed July 11, 1994; which is a divisional of 07/984,182, filed November 20, 1992, now abandoned; which is a continuation-in-part of USSN 07/897,788, filed June 10 12, 1992, now abandoned. The teachings of USSN 07/897,788 are incorporated by reference.

Background

Cell death is a fundamental aspect of animal development. Many cells die during the normal develop- 15 ment of both vertebrates (Glucksmann, *Biol. Rev. Cambridge Philos. Soc.* 26:59-86 (1951)) and invertebrates (Truman, *Ann. Rev. Neurosci.* 7:171-188 (1984)). These deaths appear to function in morphogenesis, metamorphosis and tissue homeostasis, as well as in the generation of 20 neuronal specificity and sexual dimorphism (reviewed by Ellis et al., *Ann. Rev. Cell Biol.* 7:663-698 (1991)). An understanding of the mechanisms that cause cells to die and that specify which cells are to live and which cells are to die is essential for an understanding of animal 25 development.

The nematode *Caenorhabditis elegans* is an appropriate organism for analyzing naturally-occurring or programmed cell death (Horvitz et al., *Neurosci. Comment.* 1:56-65 (1982)). The generation of the 959 30 somatic cells of the adult *C. elegans* hermaphrodite is accompanied by the generation and subsequent deaths of an additional 131 cells (Sulston and Horvitz, *Dev. Biol.* 82:110-156 (1977); Sulston et al., *Dev. Biol.* 100:64-119 (1982)). The morphology of cells undergoing programmed 35 cell death in *C. elegans* has been described at both the

- 2 -

light and electron microscopic levels (Sulston and Horvitz, *Dev. Biol.* 82:100-156 (1977); Robertson and Thomson, *J. Embryol. Exp. Morph.* 67:89-100 (1982)).

Many genes that affect *C. elegans* programmed cell death have been identified (reviewed by Ellis et al., *Ann. Rev. Cell Biol.* 7:663-698 (1991)). The activities of two of these genes, *ced-3* and *ced-4*, are required for the onset of almost all *C. elegans* programmed cell deaths (Ellis and Horvitz, *Cell* 44:817-829 (1986)). When the activity of either *ced-3* or *ced-4* is eliminated, cells that would normally die instead survive and can differentiate into recognizable cell types and even function (Ellis and Horvitz, *Cell* 44:817-829 (1986); Avery and Horvitz, *Cell* 51:1071-1078 (1987); White et al., *Phil. Trans. R. Soc. Lond. B.* 331:263-271 (1991)). Genetic mosaic analyses have indicated that the *ced-3* and *ced-4* genes most likely act in a cell autonomous manner within dying cells, suggesting that the products of these genes are expressed within dying cells and either are cytotoxic molecules or control the activities of cytotoxic molecules (Yuan and Horvitz, *Dev. Biol.* 138:33-41 (1990)).

Summary of the Invention

This invention is based mainly on two experimental findings and their implications: 1) that human interleukin-1 β convertase (ICE), a cysteine protease with specificity for aspartate and which cleaves pro-interleukin-1 β substrate in the P1 position to yield the active cytokine which is involved in the inflammatory response in humans, has considerable similarity to the protein encoded by the *C. elegans* cell death gene, *ced-3*; and 2) that fusion constructs containing amino-terminal portions of the *ced-3* gene can prevent cell death in *C. elegans*. As discovered by Applicant, the human ICE and nematode *Ced-3* proteins have an overall amino acid identity of 28%. A higher degree of similarity was found

- 3 -

in the carboxyl-terminal region, a region shown to be critical for the activities of both proteins.

Furthermore, three sequences important for ICE activity, the region surrounding the active cysteine and two
5 autocleavage sites, have been shown to be conserved in the *ced-3* gene product.

Thus, significant structural similarity has been shown between two proteins which previously were thought to be unrelated (to have dissimilar physiological roles).
10 This finding leads to several implications, some of which are:

1) that the human ICE gene has an activity similar to that of *ced-3* in causing cell death;

2) that the *Ced-3* protein is also a cysteine
15 protease with a substrate specificity similar to that of ICE;

3) that mutations in the ICE gene corresponding to mutations in the *ced-3* gene will produce similar effects, such as inactivation and constitutive activation;

20 4) that the *ced-3* and ICE genes are members of a family of structurally related genes, referred to herein as the *ced-3*/ICE family, some of which are likely to be cell death genes and some of which may encode substrate-specific proteases;

25 5) that inhibitors of ICE, such as peptide aldehydes which contain the ICE recognition site or a substituted recognition site and the cowpox virus CrmA protein, may also be useful for inhibiting cell deaths; and

30 6) that inhibitors of *ced-3*, such as inhibitory portions of the gene or encoded product, may also be useful for inhibiting inflammation.

This hitherto unknown connection between a cell death protein and a protease involved in the inflammatory
35 response provides a basis for developing novel drugs and methods for the treatment of acute and chronic inflammatory disease, of leukemias in which IL-1 β is

- 4 -

implicated, and of diseases and conditions characterized by cell deaths (such as myocardial infarction, stroke, traumatic brain injury, viral and other types of pathogenic infection, neural and muscular degenerative diseases such as ALS and spinal cord injury, aging, hair loss). In addition, drugs which increase cell deaths and which are useful for reducing the size or proliferative capacity of cell populations, such as cancerous cells, infected cells, cells which produce autoreactive antibodies, and hair follicle cells, as well as drugs which incapacitate or kill organisms, such as pests, parasites and recombinant organisms, can be developed using the *ced-3*, ICE, and other *ced-3*/ICE genes and their gene products.

This work also provides probes and methods for identifying additional members of the *ced-3*/ICE gene family. Genes related to *ced-3* and ICE are expected to exist in various organisms. Some of these may be cell death genes and/or proteases. The sequences of these related genes and their encoded products can be compared, for instance, using computer-based analysis, to determine their similarities. Structural comparisons, for example, would indicate those regions or features of the genes or encoded products which are likely to be functionally similar and important. Such information can be used to design drugs which mimic or alter the activity of the *ced-3*, ICE, or other *ced-3*/ICE genes, and which may, thus, be useful in the various medical and agricultural applications mentioned above.

In addition, another mammalian protein, the murine NEDD-2 protein (also known as Ich-1), was also found to be similar to Ced-3. Interestingly, NEDD-2 is not significantly similar to ICE. Thus, another mammalian cell death gene was identified.

Also described herein is the discovery that fusion constructs which encode an amino-terminal portion of the Ced-3 protein fused to β -galactosidase act as inhibitors

- 5 -

of cell death in *C. elegans*. Due to its structural similarity to Ced-3, constructs encoding corresponding portions of the human ICE protein are also expected to inhibit the enzymatic activity of ICE in cleaving interleukin-1 β . Thus, inhibitors comprising an amino-terminal portion of the Ced-3 protein, ICE protein or another member of the Ced-3/ICE family and RNAs and DNA constructs which express these protein portions are potentially useful for decreasing cell deaths and/or inflammation involved in various pathologies. Methods for identifying other inhibitory portions of the *ced-3* and ICE genes are also described.

Furthermore, deletion of the inhibitory amino-terminal portions of the *ced-3* and ICE genes may result in constitutive activation of the genes. Constitutively activated carboxyl-terminal portions of the genes, or their encoded products, may thus be useful in applications where increased cell deaths or an increased inflammatory response are desired.

Also provided are compounds with mutations of the active site cysteine in ICE, Ced-3, CPP-32, or NEDD-2 and methods for inhibition of cell death by administering these compounds. In ICE, the active site cysteine is at position 285, in Ced-3 the active site cysteine is at position 358, in CPP-32 the active site cysteine is at position 163, and in NEDD-2 the active site cysteine is at position 303. Preferably, the mutation is a missense mutation which changes one or more amino acids, including the active site cysteine. More preferably, the cysteine is replaced by an alanine or a serine. Most preferably, the cysteine is replaced by an alanine.

In another aspect, the invention features a drug for inhibiting the activity of a gene selected from the group consisting of *ced-3* and a gene which belongs to the *ced-3*/ICE gene family, comprising an inhibitor of interleukin-1 β convertase. Preferably, the drug reduces cell deaths, or is a peptide aldehyde containing the

- 6 -

amino acid sequence Tyr-Val-Xaa-Asp, wherein Xaa is selected from Ala, His, Gln, Lys, Phe, Cha, and Asp; or is Ac-Tyr-Val-Ala-Asp-CHO, also referred to as inhibitor B, or is the cowpox virus CrmA protein or a portion thereof. Preferably, the activity being inhibited is polypeptide ICE activity.

In a related aspect, the invention provides methods for inhibiting cell death by administering inhibitors of ICE or related proteases. Preferably, the peptide is a peptide aldehyde containing the amino acid sequence Tyr-Val-Xaa-Asp, wherein Xaa is selected from Ala, His, Gln, Lys, Phe, Cha, and Asp; or is Ac-Tyr-Val-Ala-Asp-CHO, also referred to as inhibitor B, or is the cowpox virus CrmA protein or a portion thereof, or any protease inhibitor containing an aspartate residue in the position corresponding to the P1 site in the substrate linked to a protease-inactivating chemical moiety. Preferably, the cell death being inhibited is cell death in human nerve cells, including motoneurons. For example, the methods of the invention may be used to prevent or decrease the number of cell deaths due to amyotrophic lateral sclerosis, spinal cord injury, stroke, brain trauma, Parkinsonism, Huntington's disease, Alzheimer's disease, or spinocerebellar degeneration (e.g., cerebello-olivary degeneration of Holmes, Friedreich's ataxia).

In another aspect, the invention features an inhibitor of the activity of the *ced-3* gene, which includes a portion of the *ced-3* gene sequence. Preferably, the gene portion is a portion of the nucleotide sequence of (SEQ ID NO: 1), selected from the group consisting of:

- a) nucleotides 1 to approximately 5850;
- b) nucleotides 1 to approximately 3020; and
- c) an inhibitory subportion (a) and (b); the gene portion encodes an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID

- 7 -

NO: 2), selected from the group consisting of:

- a) amino acids 1 to approximately 372;
- b) amino acids 1 to approximately 149; and
- 5 c) an inhibitory subportion of (a) and (b).

The inhibitor of the *ced-3* gene may further include a heterologous structural gene fused 3' of the gene portion, e.g., *E. coli lacZ*, or a transcriptional signal and a translational signal suitable for expression of the
10 gene portion in a host cell. Preferably, the transcriptional and the translational signals are those of the *ced-3* gene. In related aspects, the invention features inhibitors of the activity of the *ced-3* gene, which include RNA encoded by the sense strand of a
15 nucleotide sequence of Fig. 3 (Seq. ID #1), the nucleotide sequence being selected from the group consisting of:

- a) nucleotides 1 to approximately 5850;
- b) nucleotides 1 to approximately 3020; and
- 20 c) an inhibitory subportion of (a) and (b);

or an inhibitor which is a protein having an amino acid sequence of the Ced-3 protein shown in Fig. 6A (Seq. ID #2), selected from the group consisting of:

- a) amino acids 1 to approximately 372;
 - 25 b) amino acids 1 to approximately 149; and
 - c) an inhibitory subportion of (a) and (b); or
- which is a non-peptide mimetic of the inhibitor of the foregoing, sequences from Fig. 6A; or a construct selected from BGAFQ and PBA; or the encoded product of a
30 construct selected from BGAFQ and PBA; or a non-peptide mimetic of the protein encoded by a construct selected from BGAFQ and PBA.

In another related aspect, the invention also features an inhibitor of the activity of the *ced-3* gene,
35 comprising protein having an amino acid sequence of ICE

- 8 -

shown in Fig. 6A (Seq. ID #4), selected from the group consisting of:

- a) amino acids 1 to 298;
- b) amino acids 1 to 111; and
- 5 c) an inhibitory subportion of (a) and (b); or which is a portion of the ICE gene which encodes the ICE, or an inhibitory subportion of said gene; or RNA encoded by the gene portion which encodes ICE; or a non-peptide mimetic of the protein of ICE. In another related
- 10 aspect, the invention also features an inhibitor of the activity of the *ced-3* gene, which includes a portion of the protein product of a gene which is structurally related to the *ced-3* gene, and which protein product corresponds to an amino acid sequence of the Ced-3
- 15 protein shown in Fig. 6A (Seq. ID #2), selected from the group consisting of:

- a) amino acids 1 to approximately 372;
- b) amino acids 1 to approximately 149; and
- c) an inhibitory subportion of (a) and (b); or
- 20 an inhibitor which is a portion of a gene which is structurally related to the *ced-3* gene, and encodes one of the foregoing, *ced-3*-related amino acid fragments, or an inhibitory subsection of said gene portion; or RNA encoded by the immediately foregoing, gene portion; or a
- 25 non-peptide mimetic of the foregoing, amino acid fragments which are related to *ced-3*.

In another aspect, the invention features a method for identifying a portion of the *ced-3* gene which inhibits the activity of the *ced-3* gene, which method

30 includes the steps of:

- a) injecting wild-type nematodes with a portion of the *ced-3* gene under conditions suitable for expression of said gene portion; and
- b) detecting a decrease in programmed cell
- 35 deaths,

- 9 -

whereby a decrease in programmed cell deaths is indicative of a portion of the *ced-3* gene which inhibits the activity of said gene.

In related aspects, the invention features a method of identifying a portion of a gene which is structurally related to *ced-3* and which inhibits the activity of the *ced-3* gene, wherein the structurally related DNA is substituted for the *ced-3* DNA in the immediately foregoing method. Preferably, the structurally related DNA is ICE-encoding DNA. The invention also includes isolated DNA which is identified by these methods.

In another aspect, the invention features an inhibitor of the activity of the ICE gene which includes a portion of the gene which encodes an amino sequence of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:

- a) amino acids 1 to approximately 298;
- b) amino acids 1 to approximately 111; and
- c) an inhibitory subportion of (a) and (b).

This inhibitor may further include a heterologous structural gene fused 3' of the gene portion, or a transcriptional signal and a translational signal suitable for expression of the gene portion in a host cell.

In related aspects, the invention features inhibitors of the activity of the ICE gene, which include RNA encoded by the gene which encodes ICE; and inhibitors which are amino acid sequences of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:

- a) amino acids 1 to approximately 298;
 - b) amino acids 1 to approximately 111;
 - c) an inhibitory subportion of (a) and (b);
- which is a non-peptide mimetic of the immediately foregoing, amino acid fragments; and a portion of the *ced-3* gene. Preferably, the inhibitory portion of the

- 10 -

ced-3 gene is a nucleotide sequence of Fig. 3 (SEQ ID NO: 1), selected from the group consisting of:

- a) nucleotides 1 to approximately 5850;
 - b) nucleotides 1 to approximately 3020;
 - 5 c) an inhibitory subportion of (a) and (b); or
- is a nucleotide sequence which encodes an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from the group consisting of:

- a) amino acids 1 to approximately 372;
 - 10 b) amino acids 1 to approximately 149; and
 - c) an inhibitory subportion of (a) and (b); or
- is an inhibitor which is a nucleotide sequence including a construct selected from BGAFQ and PBA, or which is the encoded products thereof. In one embodiment, the nucleic
- 15 acid inhibitor further includes a heterologous structural gene fused 3' of the gene portion, or a transcriptional signal and a translational signal suitable for expression of the gene portion in a host cell.

In related aspects, the invention features

20 inhibitors of the activity of the ICE gene, including RNA encoded by the sense strand of a portion of the *ced-3* gene, which is a nucleotide sequence of Fig. 3 (SEQ ID NO: 1), selected from the group consisting of:

- a) nucleotides 1 to approximately 5850;
- 25 b) nucleotides 1 to approximately 3020; and
- c) an inhibitory subportion of (a) and (b);

and an inhibitor which is a protein having an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:

- 30 a) amino acids 1 to approximately 372;
- b) amino acids 1 to approximately 149; and
- c) an inhibitory subportion of (a) and (b); or

an inhibitor which is a protein having an amino acid sequence of the *ced-3* protein shown in Fig. 6A (SEQ ID

35 NO: 4), selected from the group consisting of:

- a) amino acids 1 to approximately 372;
- b) amino acids 1 to approximately 149; and

- 11 -

c) an inhibitory subportion of (a) and (b); or an inhibitor which is a non-peptide mimetic of the immediately foregoing, protein fragments.

In a further related aspect, the invention
5 features an inhibitor of the activity of the ICE gene which includes a portion of the protein product of a gene which is structurally related to said ICE gene, which portion corresponds to an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from
10 the group consisting of:

- a) amino acids 1 to approximately 372;
- b) amino acids 1 to approximately 149; and
- c) an inhibitory subportion of (a) and (b); or an inhibitor which is a portion of a gene which is
15 structurally related to the ICE gene, which gene encodes one of the immediately foregoing, amino acid sequences, or an inhibitory subsection of such a gene which is structurally related to a gene encoding the foregoing, protein fragments; or RNA encoded by the gene which
20 encodes the foregoing, protein fragments; or a non-peptide mimetic of the foregoing, protein fragments.

In another aspect, the invention features a method for identifying a portion of ICE which inhibits the activity of said ICE, comprising the steps of:

- 25 a) combining a portion of ICE with ICE and a substrate of ICE under conditions suitable for cleavage of the substrate by ICE; and
- b) detecting a decrease in cleavage of the
30 substrate, whereby a decrease in cleavage of the substrate is indicative of a portion of ICE which inhibits the activity of said enzyme.

In a related aspect, the invention features an isolated inhibitory portion of the ICE protein identified
35 by this method and nucleic acid encoding this inhibitory portion.

- 12 -

In another aspect, the invention features a method for identifying a portion of the protein product of a gene which is structurally related to the *ced-3* and ICE genes, and which inhibits the activity of ICE, comprising the steps of:

- a) combining a portion of the protein product of a gene which is structurally related to the *ced-3* and ICE genes with ICE and a substrate of ICE under conditions suitable for cleavage of the substrate by ICE; and
- b) detecting a decrease in cleavage of the substrate,

whereby a decrease in cleavage of the substrate is indicative of a portion of the protein product of a gene which is structurally related to the *ced-3* and ICE genes and inhibits the activity of ICE. In related aspects, the invention features an isolated inhibitory portion identified by the method and isolated nucleic acid encoding the inhibitory portion identified by the method.

In other aspects, the invention features inhibitors of the activity of a gene belonging to the *ced-3*/ICE family of structurally related genes, comprising DNA selected from the group consisting of:

- a) a portion of the nucleotide sequence of Fig. 3 (SEQ ID NO: 1), selected from the group consisting of:
 - 1) nucleotides 1 to approximately 5850;
 - 2) nucleotides 1 to approximately 3020; and
 - 3) an inhibitory subportion of (a.1) and (a.2);
- b) DNA encoding an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from the group consisting of:
 - 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and

- 13 -

- 3) an inhibitory subportion of (b.1) and (b.2);
- c) a portion of the ICE gene which encodes an amino acid sequence of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:
- 1) amino acids 1 to approximately 298;
 - 2) amino acids 1 to approximately 111; and
 - 3) an inhibitory subportion of (c.1) and c.2);
- d) a portion of the *ced-3*/ICE gene which encodes an amino acid sequence corresponding to a portion of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), which Ced-3 portion selected from the group consisting of:
- 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and
 - 3) an inhibitory subportion of (d.1) and (d.2); and
- e) a portion of a *ced-3*/ICE gene other than the *ced-3*/ICE gene which encodes an amino acid sequence corresponding to a portion of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), said Ced-3 portion selected from the group consisting of:
- 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and
 - 3) an inhibitory subportion of (e.1) and (e.2); or comprising RNA encoded by the DNA of a) - e), immediately above; or protein encoded by the DNA of a) - e), immediately above; or a non-peptide mimetic of the proteins and fragments encoded by the DNA of a) - e), immediately above.

In another aspect, the invention features a drug for reducing cell deaths, which includes an inhibitor of

- 14 -

the activity of the *ced-3* gene, selected from the group consisting of:

- a) a portion of the *ced-3* gene;
- b) a product encoded by a portion
5 of the *ced-3* gene;
- c) a non-peptide mimetic of an inhibitory
portion of the Ced-3 protein;
- d) a portion of the ICE gene;
- e) a product encoded by a portion
10 of the ICE gene;
- f) a non-peptide mimetic of an inhibitory
portion of the ICE protein;
- g) a portion of a gene which is structurally
related to the *ced-3* gene;
- 15 h) a product encoded by the gene portion of (g);
and
- i) a non-peptide mimetic of the protein encoded
by the gene portion of (g).

Preferably, the inhibitor is selected from the group
20 consisting of:

- a) DNA having a nucleotide sequence of Fig. 3
(SEQ ID NO: 1), selected from the group
consisting of:
 - 1) nucleotides 1 to approximately 5850;
 - 25 2) nucleotides 1 to approximately 3020; and
 - 3) an inhibitory portion of (a.1) and
(a.2);
- b) DNA encoding an amino acid sequence of the
Ced-3 protein shown in Fig. 6A (SEQ ID NO:
30 2), selected from:
 - 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and
 - 3) an inhibitory portion of (b.1) and
(b.2);
- 35 c) RNA encoded by DNA of (a);
- d) RNA encoded by DNA of (b);

- 15 -

- e) protein having an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from the group consisting of:
- 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and
 - 3) inhibitory portion of (e.1) and (e.2); and
- f) a non-peptide mimetic of the protein of e); or selected from the group consisting of:
- g) DNA encoding an amino acid sequence of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:
- 1) amino acids 1 to approximately 298;
 - 2) amino acids 1 to approximately 111; and
 - 3) an inhibitory portion of (g.1) and (g.2);
- h) RNA encoded by DNA of g);
- i) protein having an amino acid sequence of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:
- 1) amino acids 1 to approximately 298;
 - 2) amino acids 1 to approximately 111; and
 - 3) an inhibitory portion of (i.1) and (i.2); and
- j) a non-peptide mimetic of the protein of i); or selected from the group consisting of:
- k) protein encoded by a portion of a gene which is structurally related to the *ced-3* gene, said protein portion corresponding to an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2) selected from the group consisting of:
- 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and
 - 3) an inhibitory portion of (k.1) and (k.2);

- 16 -

- 1) DNA encoding the protein of (k) or inhibitory subportion thereof;
- m) RNA encoding the protein of (k) or inhibitory subportion thereof; and
- 5 n) a non-peptide mimetic of the protein of (k).

In a related aspect, the invention features a method for treating a condition characterized by cell deaths, comprising administering the drug of which is an inhibitor of the activity of the *ced-3* gene or protein.

- 10 In another aspect, the invention features a drug for reducing cell deaths, which includes an inhibitor of the activity of the ICE gene or protein, selected from the group consisting of:

- a) a portion of the ICE gene;
- 15 b) a product encoded by a portion of the ICE gene;
- c) a non-peptide mimetic of an inhibitory portion of the ICE protein;
- d) a portion of the *ced-3* gene;
- 20 e) a product encoded by a portion of the *ced-3* gene;
- f) a non-peptide mimetic of an inhibitory portion of the Ced-3 protein;
- g) a portion of a gene which is structurally related to the *ced-3* gene and the ICE gene;
- 25 h) a product encoded by the gene portion of (e); and
- i) a non-peptide mimetic of the protein encoded by (g).

30 Preferably, the drug is structurally related to the *ced-3* gene and the ICE gene, and is selected from the group consisting of:

- a) a portion of said related gene;
- b) a product encoded by the gene portion of (a);
- 35 c) a non-peptide mimetic of the protein product encoded by (a);
- d) a portion of the ICE gene;

- 17 -

- e) a product encoded by the gene portion of (d);
- f) a non-peptide mimetic of a protein product encoded by (d);
- g) a portion of the *ced-3* gene;
- 5 h) a product encoded by the gene portion of (g); and
- i) a non-peptide mimetic of the protein product encoded by (g).

10 In another aspect, the invention features an anti-inflammatory drug, comprising an inhibitor of the activity of the ICE gene or protein, or inhibitory portion thereof, selected from the group consisting of:

- a) a portion of the ICE gene;
- 15 b) a product encoded by a portion of the ICE gene;
- c) a portion of the *ced-3* gene;
- d) a product encoded by a portion of the *ced-3* gene;
- 20 e) a portion of a gene which is structurally related to the *ced-3* gene and ICE gene; and
- f) a product encoded by a portion of a gene which is structurally related to the *ced-3* gene and the ICE gene.

25 Preferably, the anti-inflammatory drug is an inhibitor selected from the group consisting of:

- a) DNA encoding an amino acid sequence of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:
 - 1) amino acids 1 to approximately 298;
 - 30 2) amino acids 1 to approximately 111; and
 - 3) an inhibitory portion of (a.1) and (a.2);
- b) RNA encoded by DNA of (a) or an inhibitory subportion thereof;
- 35 c) protein having an amino acid sequence of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:

- 18 -

- 1) amino acids 1 to approximately 298;
 - 2) amino acids 1 to approximately 111; and
 - 3) an inhibitory portion of (c.1) and (c.2);
- 5 d) a non-peptide mimetic of the protein of (c); or the inhibitor is selected from the group consisting of:
- e) DNA having a nucleotide sequence of Fig. 3 (SEQ ID NO: 1), selected from the group
- 10 consisting of:
- 1) nucleotides 1 to approximately 5850;
 - 2) nucleotides 1 to approximately 3020; and
 - 3) an inhibitory portion of (e.1) and (e.2);
- 15 f) DNA encoding an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from the group consisting of:
- 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and
 - 20 3) an inhibitory portion of (f.1) and (f.2);
- g) RNA encoded by DNA of (e);
- h) RNA encoded by DNA of (f);
- i) protein having an amino acid sequence of the
- 25 Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from the group consisting of:
- 1) amino acids 1 to approximately 372;
 - 2) amino acids 1 to approximately 149; and
 - 30 3) an inhibitory portion of (i.1) and (i.2); and
- k) a non-peptide mimetic of the protein of (i); or the inhibitor is selected from the group consisting of:
- 35 1) protein encoded by a portion of a gene which is structurally related to the ced-3 and ICE genes, said protein portion corresponding to an amino acid sequence of ICE shown in Fig.

- 19 -

6A (SEQ ID NO: 4), selected from the group consisting of:

- 1) amino acids 1 to approximately 298;
- 2) amino acids 1 to approximately 111; and
- 3) an inhibitory portion of (1.1) and (1.2);

- m) DNA encoding the protein of (1);
- n) RNA encoding the protein of (1); and
- o) a non-peptide mimetic of the protein of (1).

10 In related aspects, the invention features methods for treating inflammation, which includes administering the drug of a) - o), immediately above.

In another aspect, the invention features a method for altering the occurrence of cell death, which includes
15 altering the activity of a cell death gene which is structurally related to *ced-3*. Preferably, the structurally related gene is ICE.

In another aspect, the invention features a drug for increasing cell deaths, which includes a molecule, or
20 active portion thereof, selected from:

- a) DNA comprising a gene which belongs to the *ced-3*/ICE gene family;
- b) RNA encoded by the DNA of (a);
- c) protein encoded by the DNA of (a);
- 25 d) an agent which is structurally similar to and mimics the activity of the protein of (c);
- e) an agonist of the activity of a gene which belongs to the *ced-3*/ICE gene family;
- f) DNA comprising a constitutively activated
30 mutated form of a gene which belongs to the *ced-3*/ICE gene family;
- g) RNA encoded by the DNA of (e);
- h) protein encoded by the DNA of (e);
- i) an agent which is structurally similar to and
35 mimics the activity of a protein encoded by the DNA of (e); and

- 20 -

j) an agonist of the activity of a constitutively activated mutated form of a gene which belongs to the *ced-3/ICE* gene family.

5 In a related aspect, the invention features the drug of a) - f), immediately foregoing, wherein the gene which belongs to the *ced-3/ICE* gene family is ICE. Preferably, where drug is a constitutively activated mutated form of the gene which belongs to the *ced-3/ICE* gene family
10 encodes a carboxyl-terminal portion of a protein product of the wild-type gene, the carboxyl-terminal portion having a deletion of an amino-terminal portion which corresponds to an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from
15 the group consisting of:

- k) amino acids 1 to approximately 372;
- l) amino acids 1 to approximately 149; and
- m) an inhibitory subportion of (h) and (l).

More preferably, the protein product of the wild-type
20 gene has sequences corresponding to the autocleavage sites of ICE and the protein product of the wild-type gene is selected from the group consisting of:

- n) the uncleaved form of the protein product;
and
- 25 o) the subunits corresponding to the active subunits of ICE.

In a related aspect, the invention features a method for reducing the proliferative capacity or size of a population of cells, including contacting the cells with
30 the drug for increasing cell deaths selected from the immediately foregoing, group a) - j), under conditions suitable for activity of the drug. Preferably, the population of cells is selected from the group consisting of:

- 35 a) cancerous cells;
- b) cells which produce autoreactive antibodies;
- c) infected cells;

- 21 -

- d) hair follicle cells;
- e) cells which are critical to the life of a parasite;
- f) cells which are critical to the life of a pest; and
- g) cells which are critical to the life of a recombinant organism.

In another aspect, the invention features a drug for decreasing cell deaths comprising a molecule selected from the group consisting of:

- a) single stranded nucleic acid having all or a portion of the antisense sequence of a gene which is structurally related to *ced-3*, said nucleic acid which is complementary to the mRNA of the gene;
- b) DNA which directs the expression of (a);
- c) a mutated form of a gene which is structurally related to *ced-3*, does not cause cell death and antagonizes the activity of the wild-type gene; and
- d) an antagonist of the activity of a gene which is structurally related to *ced-3*.

Preferably, the structurally related gene is ICE.

In a related aspect, the invention features a method for treating, in a human or other animal, a condition characterized by cell deaths, which method includes administering the drug of a) - d), immediately foregoing, to the human or other animal under conditions suitable for activity of the drug. Preferably, the condition is selected from the group consisting of:

- a) myocardial infarction;
- b) stroke;
- c) degenerative disease;
- d) traumatic brain injury;
- e) hypoxia;
- f) pathogenic infection; and
- g) hair loss.

- 22 -

In another aspect, the invention features a diagnostic probe for a disease characterized by cell deaths, comprising a molecule selected from the group consisting of:

- 5 a) all or a portion of the *ced-3* gene (SEQ ID NO: 1) which is specific to said *ced-3* gene;
- b) RNA encoded by the *ced-3* gene;
- c) degenerate oligonucleotides derived from the amino acid sequence of the Ced-3 protein (SEQ
10 ID NO: 2);
- d) an antibody directed against the Ced-3 protein;
- e) all or a portion of the ICE gene (SEQ ID NO: 3) which is specific to said ICE gene;
- 15 f) RNA encoded by the ICE gene;
- g) degenerate oligonucleotides derived from the amino acid sequence of ICE (SEQ ID NO: 4);
- h) an antibody directed against ICE;
- i) a gene which is structurally related to the
20 *ced-3* gene, or portion thereof specific to said structurally related gene;
- j) RNA encoded by the structurally related gene;
- k) degenerate oligonucleotides derived from the amino acid sequence of the protein product of
25 a gene which is structurally related to *ced-3*; and
- d) an antibody directed against the protein product of a gene which is structurally related to *ced-3*.

30 In related aspects, the invention provides methods for diagnosis of a diseases characterized by cell deaths, which included detecting an abnormality in the sequence of a gene which is structurally related to *ced-3*; or which includes detecting an abnormality in the activity
35 of a gene which is structurally related to *ced-3*. Preferably, the structurally related gene is ICE. In another aspect, the invention provides a diagnostic probe

- 23 -

for an inflammatory disease, which includes a molecule selected from the group consisting of:

- a) all or a portion of the *ced-3* gene shown in Fig. 3 (SEQ ID NO: 1) which is specific to the *ced-3* gene;
- b) RNA encoded by (a);
- c) degenerate oligonucleotides derived from the amino acid sequence of the Ced-3 protein as shown in Fig. 6A (SEQ ID NO: 2);
- d) an antibody directed against the Ced-3 protein;
- e) a gene which is structurally related to the *ced-3* and ICE genes, or portion thereof which is specific for said related gene;
- f) RNA encoded by (a);
- g) degenerate oligonucleotides derived from the amino acid sequence of the protein encoded by (e); and
- h) an antibody directed against the protein encoded by (e).

In a related aspect, the invention features a method for diagnosis of an inflammatory disease, which includes detecting an abnormality in the sequence of a gene which is a member of the *ced-3*/ICE gene family; or which includes detecting an abnormality in the activity of a gene which belongs to the *ced-3*/ICE gene family, or an encoded product thereof. Preferably, the gene which is a member of the *ced-3*/ICE family is *ced-3*.

In another aspect, the invention features an isolated substrate-specific protease having the amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2). In a related aspect, the invention provides an isolated substrate-specific protease, consisting essentially of a protein product of a gene which is structurally related to the *ced-3* and ICE genes. Preferably, the protease cleaves after aspartate residues or is a cysteine protease.

- 24 -

In another aspect, the invention features isolated ICE having an alteration which reduces the activity of the enzyme, the alteration selected from the group consisting of:

- 5 a) Lysine to Phe at amino acid 26;
- b) Gly to Arg at amino acid 65;
- c) Cys to Ala or Ser at amino acid 285;
- d) Gly to Ser at amino acid 287;
- e) Glu to termination at amino acid 324;
- 10 f) Trp to termination at amino acid 340;
- g) Ala to Val at amino acid 361;
- h) Glu to Lys at amino acid 390; and
- i) Thr to Phe at amino acid 393.

The invention also provides methods for inhibiting cell
15 death by administering the ICE polypeptides of a)-i),
above.

In related aspects, the invention provides isolated DNA which encodes a mutated ICE having the amino acid alterations specified in a) - h), immediately
20 foregoing, and RNA encoded by this DNA.

In another aspect, the invention features an isolated gene belonging to the *ced-3*/ICE family of structurally related genes which has a mutation conferring reduced activity of the gene, said mutation
25 resulting in an amino acid alteration corresponding to an amino acid alteration of the Ced-3 protein which inactivates the Ced-3 protein. The product of the gene may be either RNA or protein.

In another aspect, the invention features a
30 constitutively activated cell death protein comprising an amino acid sequence of the Ced-3 protein shown in Fig. 6A (SEQ ID NO: 2), selected from the group consisting of:

- a) the amino acids from approximately 150 to 503;
- 35 b) the amino acids from approximately 373 to 503;

- 25 -

- c) the amino acids from approximately 150 to 372;
- d) (b) and (c) together;
- e) an active subportion of (a), (b), and (c);
- 5 and
- f) combinations of a) - e).

Preferably, the constitutively activated protein further includes a subportion of the region of Ced-3 from amino acids 1 to 149, as shown in Fig. 6A (SEQ ID NO: 2), which
10 subportion which enhances and does not inhibit the activity of the protein. In related aspects, the invention features drugs for increasing cell deaths, including a molecule selected from the proteins of a) - f), immediately foregoing, or a nucleic acid encoding
15 said protein. In a related aspect, the invention features isolated nucleic acid encoding the proteins a) - f), immediately foregoing.

In another aspect, the invention features constitutively activated cell death protein having an
20 amino acid sequence of ICE shown in Fig. 6A (SEQ ID NO: 4), selected from the group consisting of:

- a) the amino acids from approximately 111 to 404;
- b) the amino acids from approximately 298 to
25 404;
- c) the amino acids from approximately 111 to 297;
- d) (b) and (c) together;
- e) an active subportion of (a), (b), and (c);
- 30 and
- f) combinations of these.

In a related aspect, the invention features isolated nucleic acid encoding a protein of a) - f), immediately foregoing.

35 In another aspect, the invention features a method for identifying a gene which is structurally related to

- 26 -

the *ced-3* gene and the ICE gene, comprising detecting a gene with:

- a) a probe derived from the *ced-3* gene or a product encoded by the *ced-3* gene; and
 - 5 b) a probe derived from the ICE gene or a product encoded by the ICE gene, and
- a method for identifying a gene which belongs to the *ced-3*/ICE family of structurally related genes, comprising detecting a gene with a probe selected from the group
- 10 consisting of:

- a) a probe derived from a gene which is structurally related to the *ced-3* gene and the ICE gene; and
- 15 b) a probe derived from the consensus sequence of a conserved region in genes belonging to the *ced-3*/ICE gene family.

In related aspects, the invention provides isolated genes identified by these methods. Preferably, the isolated gene has a cell death activity, a protease activity, or

20 both.

In another aspect, the invention provides isolated DNA selected from the group consisting of:

- a) a region of a gene belonging to the *ced-3*/ICE family of structurally related genes which is conserved among two or more family members;
 - 25 and
 - b) the consensus sequence of a conserved region in genes belonging to the *ced-3*/ICE gene family,
- 30 or encoded product thereof.

In another aspect, the invention provides a method for identifying a gene which interacts with a *ced-3*/ICE gene belonging to this family, which includes identifying a mutation which enhances or suppresses the activity of a

35 *ced-3*/ICE gene in a nematode, whereby the enhancing or suppressing mutation is indicative of a gene which

- 27 -

interacts with the *ced-3/ICE* gene. Preferably, the *ced-3/ICE* gene is selected from the group consisting of:

- a) a wild-type *ced-3* gene;
- b) a mutated *ced-3* gene, the nematode being a mutant nematode;
- c) a transgene which is a wild-type form of said *ced-3/ICE* gene, the nematode being a transgenic nematode having an inactivated endogenous *ced-3* gene; and
- d) a transgene which is a mutated form of said *ced-3/ICE* gene, the nematode being a transgenic nematode having an inactivated endogenous *ced-3* gene. In a related aspect, the invention provides an isolated gene identified by the above method.

In another aspect, the invention provides a bioassay for identifying an agent which affects the activity of a gene belonging to the *ced-3/ICE* family of structurally related genes, comprising the steps of:

- a) introducing an agent into a transgenic nematode which expresses a *ced-3/ICE* gene; and
- b) detecting an alteration in the occurrence of cell deaths in the transgenic nematode, wherein an alteration indicates that the agent affects the activity of the *ced-3/ICE* gene.

Preferably, the *ced-3/ICE* gene is selected from a wild-type gene and a mutated gene. In a related aspect, the invention features an agent identified by the bioassay.

In another aspect, the invention features an isolated protein having cell death activity and the amino acid sequence of the NEDD-2 protein shown in Fig. 6B (SEQ ID NO: 13), or an active portion thereof and isolated nucleic acid encoding the protein. In a related aspect the invention features isolated NEDD-2 protein having an

- 28 -

alteration which inactivates the protein, said alteration selected from the group consisting of:

- a) Ala to Val at amino acid 117;
- b) Cys to Ser or Ala at amino acid 303;
- 5 c) Glu to Lys at amino acid 483; and
- d) Ser to Phe at amino acid 486; and

isolated nucleic acid encoding the protein.

In another aspect, the invention features isolated protein which is structurally similar to Ced-3 and has an
10 alteration at a conserved amino acid corresponding to an amino acid of the Ced-3 protein selected from the group consisting of:

- a) Ser 183;
- b) Met 234;
- 15 c) Arg 242;
- d) Leu 246;
- e) Ile 247;
- f) Ile 248;
- g) Asn 250;
- 20 h) Phe 253;
- i) Arg 259;
- j) Gly 261;
- k) Asp 265;
- l) Gly 277;
- 25 m) Tyr 278;
- n) Val 280;
- o) Lys 283;
- p) Asn 285;
- q) Leu 286;
- 30 r) Thr 287;
- s) Met 291;
- t) Phe 298;
- u) His 304;
- v) Asp 306;
- 35 w) Ser 307;
- x) Leu 310;
- y) Val 311;

- 29 -

z) Ser 314;
aa) His 315;
bb) Gly 316;
cc) Ile 321;
5 dd) Gly 323;
ee) Ile 334;
ff) Asn 339;
gg) Pro 344;
hh) Leu 346;
10 ii) Lys 349;
jj) Pro 350;
kk) Lys 351;
ll) Gln 356;
mm) Ala 357;
15 nn) Cys 358;
oo) Arg 359;
pp) Gly 360;
qq) Asp 371;
rr) Asp 414;
20 ss) Arg 429;
tt) Gly 434;
uu) Ser 435;
vv) Ile 438;
ww) Ala 449;
25 xx) Val 452;
yy) Leu 488;
aa) Tyr 493;
aaa) Pro 496; and

isolated nucleic acid encoding these proteins.

30 By asp-ase is meant a protease which specifically
cleaves a substrate after aspartate residues and
therefore has a requirement for an aspartate in the P1
position of the substrate pocket. For example, ICE,
granzyme B, prICE, NEDD-2, CPP-32 (Fernandes-Alnemri et
35 al., *J. Biol. Chem.* 269:30761 (1994)), ICE-2, and ICE-4
are all asp-ases. Preferably, the asp-ase is ICE.

- 30 -

By inhibitors of asp-ases is meant any compound which decreases the enzymatic activity of an asp-ase by more than 5%, more preferably by more than 25%, and most preferably by more than 60% under standard *in vitro* assay condition. See, for example, Thornberry et al. (Thornberry et al., *Nature* 356:768-774 (1992)) and Lazednik et al. (Lazednik et al., *Nature* 371:346-347 (1994)) for appropriate assay conditions. Examples of several asp-ase inhibitors are provided herein.

10 Brief Description of the Drawings

Fig. 1 shows the physical and genetic maps of the *ced-3* region on chromosome IV.

Fig. 2 summarizes the experiments to localize *ced-3* within C48D1. Restriction sites of plasmid C48D1 and subclone plasmids are shown. *Ced-3* activity was scored as the number of cell corpses in the head of L1 young animals. ++, the number of cell corpses above 10. +, the number of cell corpses below 10 but above 2. -, the number of cell corpses below 2.

20 Fig. 3 shows the nucleotide sequence (Seq. ID #1) of *ced-3* and deduced amino acid sequence (Seq. ID #2). The genomic sequence of the *ced-3* region was obtained from plasmid pJ107. The introns and the positions of 12 *ced-3* mutations are indicated. The likely translation initiation site is indicated by a solid arrowhead. The SL1 splice acceptor of the RNA is boxed. Repetitive elements are indicated as arrows above the relevant sequences. Numbers on the sides indicate nucleotide positions. Numbers under the amino acid sequence
25 indicate codon positions.

Fig. 4A shows the genomic structure of the *ced-3* gene and the location of the mutations. The sizes of the introns and exons are given in bp. The downward arrows indicate the positions of 12 EMS-induced mutations of
35 *ced-3*. The arrow pointing right indicates the direction of transcription. The solid arrowhead indicates the

- 31 -

translation initiation site. The open arrowhead indicates the termination codon.

Fig. 4B shows the locations of the mutations relative to the exons (numbered 1-7) and the encoded serine-rich region in *ced-3*.

Fig. 5 shows a Kyte-Doolittle hydrophobicity plot of the Ced-3 protein.

Fig. 6A shows the alignment of the amino acid sequences of Ced-3 (Seq. ID #2) and human interleukin-1 β convertase (ICE; Seq. ID #4). Vertical bars indicate identical amino acids and single and double dots indicate similar amino acids, where double dots signifies closer similarity than a single dot. The serine-rich region and inactivating mutations of Ced-3 are indicated. The active site and autocleavage sites of ICE are indicated. The portions of the Ced-3 protein encoded by the BGAFQ and PBA constructs are also shown.

Fig. 6B shows the alignment of the amino acid sequences of Ced-3 (Seq. ID #2) and murine NEDD-2 (Seq. ID #13). Vertical bars and single and double dots signify degrees of similarity as in Figure 6A. Inactivating mutations of Ced-3 are shown.

Fig. 6C shows the alignment of the amino-terminal regions of the Ced-3 proteins of three nematode species (*C. briggsae*, *C. elegans*, and *C. vulgaris*) and mouse (Seq. ID #14) and human ICEs. A consensus sequence is also shown. Amino acid positions with the same residue in more than half of the sequences are shaded. Completely conserved amino acids are also boxed.

Fig. 6D shows the alignment of carboxyl-terminal regions of the three nematode Ced-3 proteins, human and mouse ICEs, and the mouse NEDD-2 protein. Except for NEDD-2, these sequences are contiguous with the corresponding sequences shown in Figure 6C. A consensus sequence and amino acid conservation are also shown.

Fig. 7 shows a comparison of the Ced-3 proteins of *C. elegans* (line 1; Seq. ID #2) and two related nematode

- 32 -

species, *C. briggsae* (line 2; Seq. ID #5) and *C. vulgaris* (line 3; Seq. ID #6). The conserved amino acids are indicated by ".". Gaps inserted in the sequence for the purpose of alignment are indicated by "_".

5 Fig. 8 shows the interleukin-1 β convertase cDNA sequence (Seq. ID #3).

 Fig. 9A shows a schematic representation of two fusion constructs that can prevent programmed cell death.

 Fig. 9B shows a schematic representation of the
10 lacZ-containing portion of the fusion constructs.

 Fig. 10 shows a schematic representation of the Cysteine 358 to Alanine construct and the decrease in all deaths conferred by the presence of this construct in *C. elegans*.

15 Figs. 11A-11E show the effectiveness of peptide inhibitors of ICE in arresting motoneuron death. Fig. 11A shows the results obtained with Ac-YVAD-CHO. Fig. 11B shows the results obtained with a chloromethylketone peptide inhibitor of ICE (Ac-YVAD-CMK); Fig. 11C shows
20 the results obtained with leupeptin, a control peptide aldehyde protease inhibitor (Ac-LLR-CHO); and Figs. 11D and 11E show the results obtained with a control chloromethylketone protease inhibitor, Tos-Lys-CMK, (Fig. 11D) or the membrane permeable calpain inhibitor Ed64
25 (Fig. 11E).

 Figs. 12A and 12B show that the inhibition of ICE delays the death of motoneurons. Fig. 12A shows the effect of Ac-YVAD-CHO, and Fig. 12B shows the effect of Ac-YVAD-CHO. Results are expressed as % control, where
30 control represents cultures supplied with muscle extract at plating

 Fig. 13 shows that the effectiveness of the peptide aldehyde inhibitor of ICE (Ac-YVAD-CHO) for inhibition of motoneuron PCD *in vivo* is dose dependent.

35 Fig. 14 shows that peptide inhibitors of ICE prevent the cell death of hind limb interdigital cell death.

- 33 -

Fig. 15 shows photomicrographs of SCl immunopositive motoneurons cultured for 6 days with muscle extract (Panel C), without muscle extract (Panel D), with muscle extract and treated with the aldehyde peptide inhibitor of ICE (Ac-YVAD-CHO) as described in Example 4 (Panel E; arrows indicate two SCl immunopositive motoneurons), without muscle extract and treated with the peptide inhibitor of ICE (Panel F), or initially plated without muscle extract, treated with the peptide inhibitor of ICE, then treated with muscle extract at three days in culture (Panel G). Scale bar = 25 μ m.

Detailed Description of the Invention

This invention is based on the discovery that the human enzyme interleukin-1 β convertase (ICE) has significant structural similarity to the protein product of the *C. elegans* cell death gene, *ced-3*. The activities of *ced-3* and another cell death gene, *ced-4*, have been shown to be required for almost all the cell deaths which occur during the development of the nematode. ICE is a cysteine protease whose physiological significance has been thought to be related to its role in the maturation of one form of interleukin-1 (IL-1), a major mediator of the immune and inflammatory response (Fuhlbrigge et al., in: *The Year in Immunology*, Cruse and Lewis (eds.), Karger, Basel, 1989, pp. 21-37). There are two distantly related forms of IL-1, α and β , of which the β form is the predominant species. ICE selectively converts pro-interleukin-1 β to the active cytokine, IL-1 β . The production of active IL-1 β has been implicated in acute and chronic inflammatory diseases, septic shock, and other physiological processes, including wound healing and resistance to viral infection (Ray et al., *Cell* 69:597-604 (1992)). As a result of this discovery, an enzyme which has been known to be involved in the inflammatory response and inflammatory diseases is

- 34 -

implicated as having a role in cell death processes. This discovery is consistent with the notion that cell death genes equivalent to the nematode *ced-3* gene function in a variety of organisms. The structural
5 similarity between their gene products suggests that the ICE gene is a human equivalent of the *ced-3* cell death gene. As further described below, the conservation of certain features of ICE in the Ced-3 protein further suggests that Ced-3 is a protease with a substrate-
10 specificity similar to that of ICE.

Furthermore, the identification of *ced-3* and ICE as structurally related genes (i.e., genes whose encoded products, or which themselves, are structurally similar) presents the possibility that a family of structurally
15 related genes exists and provides probes to identify additional members of this *ced-3*/ICE gene family. Comparison of the genes within this family could indicate functionally important features of the genes or their gene products, and thus, provide information for
20 designing drugs which are useful for treating conditions characterized by cell deaths and/or inflammatory disease.

This discovery provides novel drugs based on the *ced-3*, ICE and other *ced-3*/ICE genes and encoded products that inhibit the production of IL-1 β and are useful for
25 treatment (preventive and therapeutic) of acute and chronic inflammatory disease, as well as drugs which reduce cell deaths and are useful for treatment of diseases and conditions involving cell deaths (such as myocardial infarction, stroke, traumatic brain injury,
30 viral and other types of pathogenic infection, degenerative diseases, aging, and hair loss). These drugs may also be useful for treating leukemias in which IL-1 β has been implicated.

Drugs or agents which increase cell deaths can
35 also be developed based on the *ced-3*, ICE, and related genes and gene products; such drugs or agents may be useful for killing or incapacitating undesired cell

- 35 -

populations (such as cancerous cells, infected cells, cells which produce autoreactive antibodies and hair follicle cells) or undesired organisms (such as pests, parasites, and genetically engineered organisms). Drugs
5 are also provided which increase IL-1 β production and, therefore, the inflammatory and immune response. These drugs may be helpful for providing increased resistance to viral and other types of infection.

Also described herein is the discovery that fusion
10 constructs containing amino-terminal portions of the *ced-3* gene can inhibit the activity of the intact gene when expressed in otherwise wild-type worms. Due to the similarity between ICE and *Ced-3*, it is likely that the corresponding amino-terminal portions of the ICE gene
15 will also inhibit the enzymatic activity of ICE in cleaving interleukin-1 β . Thus, novel inhibitors of the *ced-3* and ICE genes are provided which may be useful for decreasing cell deaths and/or inflammation involved in various pathologies.

20 This work has also shown that *Ced-3* and the murine NEDD-2 protein are structurally similar. Thus, drugs for increasing or decreasing cell deaths can be developed based on the NEDD-2 gene and its encoded products.

The above-described discoveries, and their
25 implications, and novel drugs and treatments for diseases related to cell death and/or inflammation arising therefrom are described in further detail below.

As used herein, the activity of a gene is intended to include the activity of the gene itself and of the
30 encoded products of the gene. Thus, drugs and mutations which affect the activity of a gene include those which affect the expression as well as the function of the encoded RNA and protein. The drugs may interact with the gene or with the RNA or protein encoded by the gene, or
35 may exert their effect more indirectly.

It is understood that many of the methods used herein may be utilized in a therapeutic context. Where

- 36 -

the therapeutic compound is DNA it is understood that method known in the art of gene therapy may be employed for therapeutic drug delivery. For example, *in vivo* or *ex vivo* methods may be used to provide DNA encoding
5 therapeutic peptides which prevent cell death to organs and tissues used for transplantation. Similarly, such techniques may be used to administer nucleic acid to a patient suffering a cell death disease. Where peptide and peptide mimetics are to be employed standard
10 techniques known in the pharmaceutical art may be used to determine the most effective dosage and route of delivery.

The *ced-3* Gene

The *C. elegans ced-3* gene was cloned by mapping
15 DNA restriction fragment length polymorphisms (RFLPs) and chromosome walking (Example 1; Figure 1). The gene was localized to a 7.5 kb fragment of cloned genomic DNA by complementation of the *ced-3* mutant phenotype (Figure 2). A 2.8 kb transcript was further identified. The *ced-3*
20 transcript was found to be most abundant in embryos, but was also detected in larvae and young adults, suggesting that *ced-3* is expressed not only in cells undergoing programmed cell death.

A 2.5 kb cDNA corresponding to the *ced-3* mRNA was
25 sequenced. The genomic sequence cloned in the plasmid pJ107 was also determined (Figure 3; Seq. ID #1). A comparison with the cDNA sequence revealed that the *ced-3* gene has 7 introns which range in size from 54 to 1195 bp (Figure 4A). The four largest introns, as well as
30 sequences 5' of the start codon, contain repetitive elements (Figure 3), some of which have been previously characterized in non-coding regions of other *C. elegans* genes such as *fem-1* (Spence et al., Cell 60:981-990 (1990)), *lin-12*, and *myoD* (Krause et al., Cell 63:907-919
35 (1990)). The transcriptional start site was also mapped (Figure 3), and a *ced-3* transcript was found to be trans-spliced to a *C. elegans* splice leader, SL1.

- 37 -

Twelve EMS-induced *ced-3* alleles were also sequenced. Eight of the mutations are missense mutations, three are nonsense mutations, and one is a putative splicing mutation (Table 1). This
5 identification of *ced-3* null alleles, together with results of genetic analysis of nematodes homozygous for these null mutations in *ced-3*, indicate that, like *ced-4*, *ced-3* function is not essential to viability. In addition, 10 out of the 12 mutations are clustered in the
10 carboxyl-terminal region of the gene (exons 6-8, Figure 4B), suggesting that this portion of the encoded protein may be important for activity.

The *ced-3* gene encodes a putative protein of 503 amino acids (Figure 3; Seq. ID #2). The protein is very
15 hydrophilic and no significantly hydrophobic region can be found that might be a transmembrane domain (Figure 5). One region of the Ced-3 protein is very rich in serine (Figure 6A). Comparison of the *C. elegans* protein with the Ced-3 proteins of two related nematodes species, *C.*
20 *briggsae* and *C. vulgaris*, shows conservation of the serine-rich feature without conservation of the amino acid sequence in this region (Figure 7; Seq. ID #5-6). This suggests that the exact sequence of this serine-rich region may not be important but that the serine-rich
25 feature is. This hypothesis is supported by analysis of *ced-3* mutations: none of 12 EMS-induced *ced-3* mutations is in the serine-rich region (Figure 4B). It is possible that the serine-rich region in Ced-3 is another example of semi-specific protein-protein interaction, similar to
30 acid blobs in transcription factors and basic residues in nuclear localization signals. In all these cases, the exact primary sequence is not important.

The serine-rich region may function as a site for post-translational regulation of Ced-3 activity through
35 protein phosphorylation of the serine residues by a Ser/Thr kinase. McConkey et al. (*J. Immunol.* 145:1227-1230 (1990)) have shown that phorbol esters, which

- 38 -

stimulate protein kinase C, can block the death of cultured thymocytes induced by exposure to Ca^{++} ionophores or glucocorticoids (Wyllie, *Nature* 284:555-556 (1980); Wyllie et al., *J. Path.* 142:67-77 (1984)). It is possible that protein kinase C may inactivate certain cell death proteins by phosphorylation and, thus, inhibit cell death and promote cell proliferation. Several agents that can elevate cytosolic cAMP levels have been shown to induce thymocyte death, suggesting that protein kinase A may also play a role in mediating thymocyte death. Further evidence suggests that abnormal phosphorylation may play a role in the pathogenesis of certain cell-degenerative diseases. For example, abnormal phosphorylation of the microtubule-associated protein Tau is found in the brains of Alzheimer's disease and Down's syndrome patients (Grundke-Iqbal et al., *Proc. Natl. Acad. Sci. USA* 83:4913-4917 (1986); Flament et al., *Brain Res.* 516:15-19 (1990)). Thus, it is possible that phosphorylation may have a role in regulating programmed cell death in *C. elegans*. This is consistent with the fairly high levels of *ced-3* and *ced-4* transcripts which suggest that transcriptional regulation alone may be insufficient to regulate programmed cell death.

Structural Relatedness of the *ced-3* and Human Interleukin-1 β Convertase Genes and Functional Implications

A search of GenBank, PIR and SWISS-PROT databases using the Blast program (National Center for Biotechnology Information) revealed that human interleukin-1 β convertase (ICE) has a 28% amino acid identity with the Ced-3 protein (Figure 6A). A comparable level of overall similarity was found between ICE and the Ced-3 proteins from two other nematode species, *C. briggsae* and *C. vulgaris*.

The carboxyl-terminal regions of Ced-3 and ICE (amino acids 250-503 and amino acids 166-404,

- 39 -

respectively) were found to be more conserved (33% identity) than the amino-terminal portions of the two proteins (22% identity). A comparison of human and murine ICEs also indicated a high degree of similarity (80% identity) in the carboxyl-terminal region compared with an overall identity of 62% (Cerretti et al., *Science* 256:97-100 (1992)). Furthermore, deletion analysis of the ICE cDNA sequence has shown that the amino-terminal 119 amino acids of ICE are not required for enzymatic activity, but that deletions of the carboxyl-terminal region eliminate the enzyme's ability to process pro-IL-1 β (Cerretti et al., 1992 *supra*). The observation that most of the inactivating mutations of *ced-3* cluster in the carboxyl-terminal region (Figure 4B) suggests that the activity of Ced-3 also resides (at least partially) in this region. Thus, the identification of the carboxyl-terminal regions of the two proteins as functional domains and the marked similarity of these regions suggest that the Ced-3 and ICE proteins have similar activities, i.e., that ICE has cell death activity similar to Ced-3 and Ced-3 has protease activity similar to ICE.

The possibility that Ced-3 has protease activity is further supported by the observation that the region surrounding the active cysteine and two autocleavage sites of ICE appear to be conserved in the Ced-3 protein. As shown in Figure 6A, the five amino acids (QACRG, amino acids 283 to 287) surrounding the active cysteine of ICE (Thornberry et al., *Nature* 356:768-774 (1992)) are conserved in amino acids 356 to 360 of Ced-3; this pentapeptide is the longest conserved sequence between ICE and Ced-3. This peptide is also conserved in the Ced-3 proteins of *C. briggsae* and *C. vulgaris* (Figure 7). One inactivating mutation of *ced-3*, *n2433*, introduces a glycine to serine change near the putative active cysteine (Figure 6A). Example 3 demonstrates that mutations of the active cysteine decrease cell death.

- 40 -

Accordingly, one may predict that mutation of the active cysteine in ICE (Cys 285) will yield a therapeutic which decreases cell death.

The human ICE gene encodes a precursor enzyme which is autoproteolytically cleaved at two major sites (amino acids 103 and 297) by the active form of the enzyme (Thornberry et al., 1992 *supra*). The Asp-Ser dipeptides of both autocleavage sites are conserved in Ced-3 (at amino acids 131 and 371) (Figure 6A). The conservation of these functionally important sequences strongly suggests that, like ICE, Ced-3 is a cysteine protease with a similar substrate-specificity. Ced-3 would, therefore, be expected to cleave the IL-1 β precursor, as well as other substrates of ICE.

The possibility that ICE is a cell death gene is consistent with evidence which suggests that the production of active IL-1 β is involved with cell death processes. Firstly, a variety of studies has suggested that IL-1 β can prevent cell death (McConkey et al., *J. Biol. Chem.* 265:3009-3011 (1990); Mangan et al., *J. Immun.* 146:1541-1546 (1991); Sakai et al., *J. Exp. Med.* 166:1597-1602 (1987); Cozzolino et al., *Proc. Natl. Acad. Sci. USA* 86:2369-2373 (1989)). Secondly, active, mature IL-1 β appears to be released from cells undergoing cell death. Studies on murine macrophages suggest that release of the active form seems not to be merely due to the lysis of the cells or leaking of cell contents. When murine peritoneal macrophages were stimulated with lipopolysaccharide (LPS) and induced to undergo cell death by exposure to extracellular ATP, mature active IL-1 β was released into the culture supernatant. In contrast, when the cells were injured by scraping, IL-1 β was released exclusively as the inactive proform (Hogquist et al., *Proc. Natl. Acad. Sci. USA* 88:8485-8489 (1991)).

The similarity between ICE and Ced-3 strongly supports the hypothesis that ICE is involved in cell

- 41 -

death. Since *Ced-3* is necessary for cell death, one suggestion is that ICE is also necessary for cell death. It is possible that IL-1 β can cause cell death. Alternatively, ICE could produce products besides IL-1 β , one or more of which can cause cell death. The observation that the ICE transcript is detected in cells that lack IL-1 β expression (Cerretti et al., 1992 *supra*) supports this idea. Example 4 demonstrates that known inhibitors of ICE may be administered to prevent cell death in mammals, particularly motor neuron cell death.

The finding of a human gene related to the nematode *ced-3* gene is consistent with the idea that cell death genes which are structurally related and/or functionally similar to the nematode *ced-3* gene exist in a variety of organisms. This idea is supported by evidence that cell deaths occurring in a variety of organisms, including vertebrates and invertebrates, and possibly microbes and plants, as well as cell deaths observed in various developmental and pathologic situations share a common genetic mechanism. Evidence for this hypothesis is discussed in Example 2. The structural relatedness of ICE suggests that it is a mammalian equivalent of the nematode cell death gene, *ced-3*. The cDNA sequence of ICE is shown in Figure 8 (Seq. ID #3).

The *ced-3*/ICE Gene Family and Uses Thereof

The ICE and *ced-3* genes can be used to isolate additional structurally related genes, including genes from other organisms. Such genes may be identified using probes derived from both the *ced-3* and ICE gene sequences and known techniques, including nucleic acid hybridization, polymerase chain reaction amplification of DNA, screening of cDNA or genomic libraries, and antibody screening of expression libraries. The probes can be all or portions of the genes which are specific to the genes, RNA encoded by the genes, degenerate oligonucleotides

- 42 -

derived from the sequences of the encoded proteins, and antibodies directed against the encoded proteins. The sequences of the genes and their protein products can also be used to screen DNA and protein databases for
5 structurally similar genes or proteins.

One strategy for detecting structurally related genes in a number of organisms is to initially probe animals which are taxonomically closely related to the source of the probes, for example, probing other worms
10 with a *ced-3*-derived probe, or probing other mammals with an ICE-derived probe. Closely related species are more likely to possess related genes or gene products which are detected with the probe than more distantly related organisms. Sequences conserved between *ced-3* or ICE and
15 these new genes can then be used to identify similar genes from less closely related species. Furthermore, these new genes provide additional sequences with which to probe the molecules of other animals, some of which may share conserved regions with the new genes or gene
20 products but not with the original probe. This strategy of using structurally related genes in taxonomically closer organisms as stepping stones to genes in more distantly related organisms can be referred to as walking along the taxonomic tree.

25 Together, *ced-3*, ICE, and related genes obtained as described above would comprise a family of structurally related genes, referred to herein as the *ced-3*/ICE gene family. It is highly likely that at least some of these additional family members would exhibit
30 cell death and/or protease activity. The new genes can be tested for protease activity using known assay methods. For example, the sequence of the protein encoded by a new gene may indicate an active site and substrate-specificity similar to that of ICE, such as
35 observed in *Ced-3*. This activity can then be verified using the transient expression assays and purified enzyme assays previously described (Cerretti et al., *Science*

- 43 -

256:97-100 (1992); Thornberry et al., *Nature* 356:768-774 (1992)). Cell death activity can be tested in bioassays using transgenic nematodes. A candidate cell death gene, such as the ICE gene, can be injected into Ced-3-

5 deficient mutant animals to determine whether the gene complements the *ced-3* mutation. Expression libraries can also be screened for cell death genes by this assay.

The *ced-3*, ICE and other related genes which have cell death activity can be used to develop and identify
10 drugs which reduce or increase cell deaths. Drugs which reduce cell deaths are potentially useful for treating diseases and conditions characterized by cell deaths, such as myocardial infarction, stroke, viral and other pathogenic infections (e.g., human immunodeficiency
15 virus), traumatic brain injury, neural and muscular degenerative diseases, and aging. Drugs which cause cell deaths can be used to control or reduce undesired cell populations, such as neoplastic growths and other cancerous cells, infected cells, and cells which produce
20 autoreactive antibodies. Undesired organisms, such as pests, parasites, and recombinant organisms, may also be incapacitated or killed by such drugs.

ICE has been implicated in the growth of certain leukemias (Sakai et al., *J. Exp. Med.* 166:1597 (1987);
25 Cozzolino et al., *Proc. Natl. Acad. Sci. U.S.A.* 86:2369 (1989); Estrov et al., *Blood* 78:1476 (1991); Bradbury et al., *Leukemia* 4:44 (1990); Delwel et al., *Blood* 74:586 (1989); Rambaldi et al., *Blood* 78:3248 (1991)). The observation that the human ICE gene maps to chromosome
30 location 11q23, a site frequently involved in DNA rearrangements seen in human cancers (C. Cerretti et al., *Science* 256: 97-100 (1992)), further suggests that ICE is involved in cancer. The finding that ICE probably functions in cell death implies that ICE and other
35 related genes, like *ced-3*, may be used to develop drugs to control cancerous growth.

- 44 -

In addition, since cell death plays an important role in mammalian hair growth, it seems likely that by controlling cell death, one could cause or prevent hair loss. It has been found that *bcl-2*, a human gene which is structurally related to the gene which prevents cell deaths in nematode development (*ced-9*), is expressed in the hair follicle in a cell-cycle dependent manner. *ced-9* has been shown to act by antagonizing the activities of the cell death genes, *ced-3* and *ced-4*. Together, these findings suggest that genes equivalent to the *ced-3*, *ced-4*, and *ced-9* genes are involved in the physiology of mammalian hair growth and loss.

Drugs which increase cell deaths may comprise *ced-3*, ICE, and other *ced-3*/ICE family members, their RNA and protein products, constitutively activated mutants of the genes and encoded products, and peptide and non-peptide mimetics of the proteins. Drugs which decrease cell deaths may comprise antisense RNA complementary to the mRNA of a cell death gene, or mutant cell death genes or encoded products, that no longer cause cell death and interfere with the function of wild-type genes.

Furthermore, drugs comprising agonists and antagonists of the cell death genes can be designed or identified using the genes or their gene products as targets in bioassays. The bioassays can be conducted in wild-type, mutant, or transgenic nematodes, in which an alteration in programmed cell deaths is an indicator of an effective agonist or antagonist. Bioassays can also be performed in cultured cells transfected with the target cell death gene, into which the substance being tested is introduced. In bioassays for antagonists of cell death, the cultured cells should be put under conditions which induce the activity of the target cell death gene.

Uses of bioassays utilizing *C. elegans* are exemplified by the following:

- 45 -

1) use of normal, wild-type nematodes to screen for drugs or genes that inactivate *ced-3* and hence, prevent programmed cell deaths;

2) use of normal, wild-type nematodes to screen
5 for drugs or genes that activate *ced-3* and hence, cause excess cell deaths;

3) use of mutant nematodes which overexpress *ced-3* or which express a constitutively activated *ced-3* gene to identify drugs or genes that prevent excess cell
10 deaths caused by the *ced-3* mutation;

4) use of mutant nematodes which underexpress *ced-3* or which express an inactivated *ced-3* gene to identify drugs or genes that mimic or complement the *ced-3* mutation;

15 5) use of transgenic nematodes (with an inactivated endogenous *ced-3* gene) in which either a wild-type or mutant form of ICE or other *ced-3*/ICE family member causes excess cell deaths to identify drugs or genes which antagonize the activity of the transgene; and

20 6) use of transgenic nematodes which carry a transgene that inhibits cell death (e.g., a transgene that expresses an inhibitory fragment of *ced-3*, ICE or related gene, as described below) to identify drugs that overcome this inhibition and cause cell death.

25 Drugs can be introduced into nematodes by diffusion, ingestion, microinjection, shooting with a particle gun or other methods. They can be obtained from traditional sources such as extracts (e.g., bacterial, fungal or plant) and compound libraries, or can be
30 provided by newer methods of rationale drug design. Information on functionally important regions of the genes or gene products, gained by sequence comparisons and/or mutational analysis may provide a basis for drug design. Genes can be microinjected into nematodes to
35 produce transgenic nematodes. Individual genes or cDNA and genomic DNA libraries can be screened in this manner.

- 46 -

Agonists and antagonists may also be derived from genes which are not cell death genes, but which interact with, regulate or bypass cell death genes. Such interacting genes may be tested by the bioassays mentioned above, as well as by *in vivo* genetics in nematodes. In this latter method, interacting genes are identified as secondary mutations which suppress or enhance the *ced-3* mutation. The sequences of these interacting genes can then be used to identify structurally related interacting genes in other organisms.

Similarly, anti-inflammatory drugs may be developed or identified using *ced-3*, ICE and other family members and their encoded products. Drugs which enhance ICE activity may also be useful for boosting the inflammatory response to viral and other infections.

In addition, the availability of a number of structurally related genes makes it possible to carry out structural comparisons. Conserved regions or features of the genes or their encoded products are likely to be functionally significant for cell death and/or protease activity. This information could be helpful in designing or selecting drugs which would mimic or affect the activity of the genes.

Moreover, conservation of functional domains among *ced-3*/ICE family members or their encoded products suggests not only that these genes have similar activities, but that they and their encoded products function via similar mechanisms. This suggests that mutations in conserved regions, mimetics based on conserved regions, and agonists and antagonists which affect the function of conserved regions of one *ced-3*/ICE gene or encoded protein will similarly affect other genes or encoded proteins in the family. This is the rationale behind the use of *Ced-3* inhibitors to inhibit ICE and inflammation, and the use of anti-inflammatory drugs

- 47 -

which act by inhibiting ICE to inhibit the *ced-3* gene and reduce cell deaths (described further below).

Furthermore, drugs which affect the cell death and/or inflammatory activities of the *ced-3* and ICE genes may also affect other as yet undiscovered activities of these genes. The biology of IL-1 β and ICE is only incompletely understood at the present time, and it is very likely that other functions of both IL-1 β and ICE may be discovered. These may include new activities or new physiological processes or diseases in which the respective cytokinetic and proteolytic activities of these molecules are involved. In either case, drugs (such as inhibitory protein portions) which affect ICE activity are likely to affect the new activities and processes, as well.

In addition, mutations and drugs which alter or mimic the activity of one member of the *ced-3*/ICE family can be engineered based on what is known about mutations and drugs affecting another family member with which it shares a conserved region. Mutations in conserved regions which correspond to those found in another family member could be used to produce similar effects. For example, five out of nine inactivating point mutations analyzed in *ced-3* were found to result in alterations of amino acids which are conserved between ICE and *Ced-3* (Figure 6A). Amino acid substitutions in ICE corresponding to those in *Ced-3* are also expected to result in inactivation (see Example 3). The inhibitory amino-terminal gene portions and constitutively activated carboxyl-terminal gene portions described below are further examples of corresponding mutations which can be made in genes of the *ced-3*/ICE family.

Comparison of *Ced-3*, ICE, and related proteins also provides insights into the substrate-specificity of ICE and related enzymes. Previous studies on ICE have not identified a consistent consensus cleavage site. A comparison of the *Ced-3* and ICE autocleavage sites,

- 48 -

together with the cleavage site of pro-IL-1 β , reveals that cleavage always occurs after an Asp residue. For this reason, it is likely that Ced-3, ICE, and related proteins are proteases which cleave after some aspartate residues or, perhaps at lower efficiencies, all aspartate residues.

A further use of *ced-3*/ICE family members is to provide diagnostic probes (DNA, RNA, oligonucleotides and antibodies) for diseases involving cell deaths and inflammation in humans and other organisms. It is likely that such diseases are associated with abnormalities in *ced-3*/ICE genes and their gene products. The probes can be used to detect abnormalities in the sequence, level and/or activities of the genes and encoded RNA and protein products. The diseases may be genetic, in which case, the probes may be used in patient and pre-natal testing, or non-genetic, in which case, RNAs and proteins may be examined. In particular, the finding that ICE is a putative cell death gene makes this gene and its derivative molecules potentially useful as diagnostic probes for diseases characterized by cell deaths. Similarly, *ced-3* and its derivative molecules are potentially useful for detecting abnormalities in pathologies in which inflammation is evident. The usefulness of these probes may be multiplied as more genes with known physiological functions are found to be structurally related to *ced-3* and ICE.

Structural Relatedness of *ced-3* and the Murine NEDD-2 Gene

Database searches also revealed that another mammalian protein is similar to the Ced-3 protein (Figure 6B). The murine NEDD-2 (*lch-1*) protein has 27% amino acid identity and 55% similarity to a carboxyl-terminal portion of Ced-3. The NEDD-2 protein is expressed in the brain of mouse embryos and much less in the murine adult brain; the protein is thought to be involved in the

- 49 -

development of the murine central nervous system (Kumar et al., *Biochem. Biophys. Res. Comm.* 185(3):1155-1161 (1992)). The structural similarity between the NEDD-2 and *ced-3* gene products suggests that the NEDD-2 gene is also involved in cell death processes which occur during development, and further supports the hypothesis that genes which are structurally and functionally related to the nematode *ced-3* gene function in a variety of organisms. Interestingly, the NEDD-2 amino acid sequence is not significantly similar to that of human ICE.

The similarity of the amino acid sequences of *Ced-3* and NEDD-2 further suggests that mutations of the NEDD-2 gene which produce alterations in the protein corresponding to alterations in *Ced-3* resulting from the mutations, *n1129*, *n1164*, *n2426* and *n1163* (see Figure 6B), will inactivate the NEDD-2 gene.

This invention includes all and portions of the NEDD-2 gene, mutated NEDD-2 genes corresponding to known *ced-3* mutations, RNAs and proteins encoded by the wild-type and mutated genes, and mimetics and other drugs derived from these genes and gene products, which are useful for controlling cell death.

Figures 6C and 6D show alignments of the amino-terminal and carboxyl-terminal regions, respectively, of the *Ced-3* proteins of the three nematode species (*C. briggsae*, *C. elegans*, and *C. vulgaris*), the human and murine ICEs and the murine NEDD-2 protein (in 6D only). As shown in these figures (boxed portions), a number of amino acids are completely conserved among these structurally related proteins, and thus, are likely to be important functionally. Mutations of these sites would be expected to alter the activity of the genes.

Inhibitory Portions of the *ced-3* Gene

Fusion constructs containing portions of the *ced-3* gene were found to prevent programmed cell death when expressed in wild-type *C. elegans*. These constructs are

- 50 -

represented schematically in Figure 9A. The BGAFQ construct contains a portion of the *ced-3* gene fused 5' of the *E. coli lacZ* gene and another *ced-3* portion fused 3' of *lacZ*. The 5' *ced-3* portion is the genomic sequence from a *Bam*HI site located about 300 base pairs upstream of nucleotide 1 of the sequence shown in Figure 3 to a *Sal*I site at nucleotide 5850. This portion spans sequences 5' of the SL1 acceptor site (nucleotide 2161) to include the 372 codons of the amino-terminal region.

10 The 3' *ced-3* portion of BGAFQ is the genomic sequence from a *Not*I site at nucleotide 5927 in the *ced-3* gene to an *Apa*I site located about 1.5 kb downstream of nucleotide 7653 of the sequence in Figure 3. This portion contains the carboxyl-terminal codons from 398 to

15 the end (codon 503) and 3' untranslated sequences.

The PBA construct has a smaller portion of the *ced-3* gene which is the genomic sequence from the same *Bam*HI site as in BGAFQ to a *Bgl*II site at nucleotide 3020 (Figure 9A) fused 5' of the *lacZ* gene. This *ced-3*

20 portion spans sequences 5' of the SL1 acceptor site to include the first 149 codons of the amino-terminal region.

Both constructs were made using the pBluescript vector (Stratagene) and fragments containing the *lacZ*

25 construct from the pPD vectors of Fire (*EMBO J.* 5:2673-2690 (1986)). The *lacZ*-containing portion has the entire *lacZ* coding sequence except for the first 11 codons. In addition, there is a synthetic intron and a nuclear localization signal upstream of the *lacZ* gene and a

30 fragment of the 3' end of the *unc-54* gene downstream of the *lacZ* gene (Figure 9B). Construct PBA was made by inserting a *Bam*HI-*Apa*I fragment containing the *lacZ* construct shown in Figure 9B from Andy Fire's vector, pPD22.04, into the *Bgl*II-*Apa*I fragment of the *ced-3*-

35 containing plasmid, pJ40. Construct BGAFQ was made by inserting a *Sal*I-*Eag*I fragment containing the same *lacZ*

- 51 -

construct from pPD22.04 into the *SalI*-*NotI* fragment of pJ40A, which is pJ40 without the *NotI* site in the vector.

Table 2 shows the results of injecting wild-type nematodes with the two constructs. These results indicate that the BGAFQ and PBA fusion constructs prevent cell deaths which normally occur in the development of the nematodes. These fusion constructs were further observed to prevent cell deaths and the apparently associated inviability caused by a loss-of-function mutation in *ced-9*, a gene which functions to keep certain cells from dying during nematode development, and which has been shown to act by antagonizing *ced-3* and second cell death gene, *ced-4*.

Both constructs express β -galactosidase activity in wild-type nematodes. Since the pBluescript vector does not contain eukaryotic transcriptional or translational start sites, these signals are probably supplied by the *ced-3* gene portions fused 5' of *lacZ*. Furthermore, since the PBA construct works to prevent cell death, it seems that the *ced-3* portion in BGAFQ needed for inhibition is the portion fused upstream of *lacZ* (as opposed to the portion located downstream of *lacZ*). Presumably, only the region from the *BamHI* site to nucleotide 3020 is needed in BGAFQ, since this is all that is contained in PBA.

A construct that contains the PBA *ced-3* portion but not any of the *lacZ* portion did not prevent cell death, suggesting that fusion to portions of *lacZ* is needed for expression or action of the inhibitory gene portion.

These observations indicate that the amino-terminal portion of the Ced-3 protein, possibly in conjunction with a portion of *E. coli* β -galactosidase, can act to prevent programmed cell deaths in *C. elegans*. One plausible mechanism is that this portion of the Ced-3 protein acts in a dominant negative or antimorphic fashion, to prevent the activity of the normal Ced-3

- 52 -

protein. (It is known that inactivation of the Ced-3 protein results in an absence of programmed cell deaths.) Such dominant negative activity could be a result of the partial Ced-3 protein binding to and, thereby, inactivating the normal Ced-3 protein; consistent with this model is the finding that the active form of the structurally similar ICE protein is dimeric. Alternatively, the partial Ced-3 protein may bind to a molecule with which the normal Ced-3 protein must interact to function and by preventing this interaction, inhibits Ced-3 activity.

Due to the structural similarity of ICE to the Ced-3 protein, fusion constructs encoding amino-terminal portions of ICE would also be expected to inhibit the activity of the *ced-3* gene. In particular, those portions of the ICE gene corresponding to the *ced-3* gene portions in BGAFQ and PBA, i.e., ICE codons 1 to 298 and codons 1 to 111, or active subportions of these, are expected to inhibit *ced-3*. A further extension of this reasoning suggests that corresponding gene portions of any structurally related *ced-3*/ICE family member would also have an inhibitory effect on *ced-3* activity.

Furthermore, the structural relatedness of the *ced-3* and ICE genes implies that the ICE enzyme could also be inhibited by fusion constructs containing amino-terminal portions of the ICE gene, as well as corresponding portions of other structurally related genes, such as *ced-3*.

Identification of portions of the *ced-3*, ICE, and related genes which inhibit the *ced-3* gene can be carried out by testing expression constructs containing these gene portions or their encoded products in bioassays for cell death activity. Identification of gene portions or encoded products which inhibit ICE can be carried out using previously described assays for ICE activity. For example: 1) wild-type worms can be injected with portions of the *ced-3* or other structurally related gene,

- 53 -

such as ICE, to determine if they prevent programmed cell death; 2) portions of the ICE protein or other structurally similar protein, such as Ced-3, can be co-expressed with ICE and pro-IL-1 β in nematodes or
5 cultured mammalian cells to see if they inhibit ICE-catalyzed cleavage of the IL-1 β precursor; and 3) peptides or nucleic acids containing portions of the amino acid or coding sequence of ICE or similar protein, such as Ced-3, can be tested using purified ICE and
10 synthetic substrates.

Inhibitory portions of the *ced-3* gene, ICE, and structurally related genes, their encoded RNAs and proteins, and peptide and non-peptide mimetics of the proteins may be used to reduce cell deaths and/or
15 inflammation, and are, thus, useful for the treatment of diseases involving these processes. The encoded proteins and peptide and non-peptide mimetics can be delivered by various known methods and routes of drug delivery. For example, they can be administered orally or by another
20 parenteral route or by a non-parenteral route (e.g., by injection intramuscularly, intraperitoneally or intravenously or by topical administration).

Alternatively, expression constructs containing the gene portions can be made using heterologous transcriptional
25 and translational signals or signals native to the gene portions. The constructs can be delivered into cells by various methods of gene therapy, such as retroviral infection. These constructs (and any other constructs which encode activity decrease cell death) may be used
30 for example, to prevent localized cell death at the site of organ and tissue transplantation.

Interestingly, those ICE gene portions corresponding to the *ced-3* portions of BGAFQ and PBA encode approximately the protein fragments which result
35 from cleavage at each of the two autocleavage sites (amino acids 103 and 297). This observation suggests that autoproteolytic conversion of the proenzyme to

- 54 -

active ICE involves cleaving off the inhibitory amino-terminal portions of the protein. Active ICE is a heterodimer composed of subunits of about 20 and 10 kilodaltons (Thornberry et al., *Nature* 356:768-774 (1992)). These subunits have been shown to be derived from the ICE proenzyme and correspond to amino acids 120 to 297 (p20) and 317 to 404 (p10). Kinetic studies suggest that association of the two subunits is required for activity of the enzyme. It is possible that the amino-terminal region of the protein interferes with this association.

This implies that mutant proteins in which the inhibitory amino-terminal regions are deleted may be constitutively activated. Thus, carboxyl-terminal portions of the Ced-3, ICE, and related proteins, and constructs and RNAs expressing these portions, are potentially useful for increasing cell deaths and/or IL-1 β production. Constructs which may be used include those which express the carboxyl region of ICE, which encodes the two subunits of the active enzyme, as well as those which express each of these subunits separately. In addition, it is possible that the amino region of ICE, which is not needed for ICE enzymatic activity *in vitro*, is important for ICE activity or the regulation of ICE activity *in vivo*. Consistent with this idea is the finding that two of the *ced-3* mutations map in this region. For this reason, a construct which expresses the amino region of Ced-3, ICE or a Ced-3/ICE gene family member may also be used. Furthermore, the NEDD-2 protein, which is similar to a carboxyl-terminal portion of the Ced-3 portion, may also exhibit constitutive activity in causing cell deaths. Thus, all or active portions of NEDD-2, and DNA and RNA encoding NEDD-2 proteins, would be expected to produce cell death activity when expressed. Drugs comprising activated molecules derived from the carboxyl-terminal regions of Ced-3, ICE and other proteins of the Ced-3/ICE family and

- 55 -

from the NEDD-2 protein, DNAs and RNAs encoding these proteins and protein fragments, as well as peptide and non-peptide mimetics, are potentially useful for controlling or reducing the size of undesirable cell populations, such as cancerous cells, infected cells, cells producing autoreactive antibodies and hair follicle cells. Such drugs may also be useful for incapacitating or killing undesired organisms, such as parasites, pests, and genetically engineered organisms. For example, a number of nematodes are human, animal and plant parasites.

ICE Inhibitors As Inhibitors of Cell Death

The conservation of the active site of ICE (active cysteine and surrounding amino acids) in the Ced-3 protein implies that Ced-3 is a cysteine protease which interacts with its substrate by a similar mechanism. Hence, it is likely that inhibitors of ICE which interfere with this mechanism, or chemical analogs of these inhibitors, will inhibit Ced-3 function and inhibit cell death resulting from ICE activity.

Peptide aldehydes containing the ICE recognition site:

P4--P3--P2--P1
Tyr-Val-Ala-Asp

or a substituted site in which P2 is Ala, His, Gln, Lys, Phe, Cha, or Asp, have been shown to be effective, specific, and reversible inhibitors of the protease activity of ICE (Thornberry et al., Nature 356:768-774 (1992)). These molecules are thought to act as transition analogs, which compete for ICE binding to its substrate, pro-IL-1 β . Three such inhibitors have been described: Inhibitor B (Ac-Tyr-Val-Ala-Asp-CHO); Inhibitor C (Ac-Tyr-D-Ala-Ala-Asp-CHO); and Inhibitor D (Ac-Tyr-Val-Lys-Asp-CHO). Of these, Inhibitor B is the most potent, with a K_i =0.76 nM compared to K_i =3 nM for D and K_i =1.5 μ M for C. Example 4 provides evidence that

- 56 -

these inhibitors may be used to prevent cell deaths in mammals.

In addition, the *crmA* gene of cowpox virus has been found to encode a serpin which specifically inhibits ICE (Ray et al., Cell 69:597-604 (1992)). The serpin acts by preventing the proteolytic activation of ICE. This inhibitor of ICE is also expected to inhibit structurally similar proteins, such as Ced-3. The *crmA* gene and methods for obtaining purified CrmA protein have been described (Pickup et al., Proc. Natl. Acad. Sci. USA 83:7698-7702 (1986); Ray et al., 1992 *supra*). This invention includes the use of inhibitors of ICE, such as peptide aldehydes, and particularly inhibitor B, and the CrmA protein, as drugs for decreasing the activity of cell death genes and, thus, for treatment of diseases characterized by cell deaths.

The following examples illustrate the invention and are not intended to be limiting in any way.

- 57 -

EXAMPLE 1
CLONING, SEQUENCING, AND CHARACTERIZATION OF
THE CED-3 GENE

MATERIALS AND METHODS

5 General Methods and Strains

- The techniques used for the culturing of *C. elegans* were as described by Brenner (*Genetics* 77:71-94 (1974)). All strains were grown at 20°C. The wild-type parent strains were *C. elegans* variety Bristol strain N2, 10 Bergerac strain EM1002 (Emmons et al., *Cell* 32:55-65 (1983)), *C. briggsae* and *C. vulgaris* (obtained from V. Ambros). The genetic markers used are described below. These markers have been described by Brenner (1974 *supra*), and Hodgkin et al. (In: *The Nematode* 15 *Caenorhabditis elegans*, Wood and the Community of *C. elegans* Researchers (eds.), Cold Spring Harbor Laboratory, 1988, pp 491-584). Genetic nomenclature follows the standard system (Horvitz et al., *Mol. Gen. Genet.* 175:129-133 (1979)):
- 20 LG I: *ced-1(e1375); unc-54(r323)*
LG VI: *unc-31(e928), unc-30(e191), ced-3(n717, n718, n1040, n1129, n1163, n1164, n1165, n1286, n1949, n2426, n2430, n2433), unc-26(e205), dpy-4(e1166)*
LG V: *egl-1(n986); unc-76(e911)*
25 LG X: *dpy-3(e27)*

Isolation of Additional Alleles of ced-3

- A non-complementation screen was designed to isolate new alleles of *ced-3*. Because animals heterozygous for *ced-3(n717)* in trans to a deficiency are 30 viable (Ellis and Horvitz, *Cell* 44:817-829 (1986)), animals carrying a complete loss-of-function *ced-3* allele generated by mutagenesis were expected to be viable in trans to *ced-3(n717)*, even if the new allele was inviable in homozygotes. Fourteen EMS mutagenized *egl-1* males

- 58 -

were mated with *ced-3(n717) unc-26(e205); egl-1(n487); dpy-3(e27)* hermaphrodites. *egl-1* was used as a marker in this screen. Dominant mutations in *egl-1* cause the two hermaphrodite specific neurons, the HSNs, to undergo programmed cell death (Trent et al., *Genetics* 104:619-647 (1983)). The HSNs are required for normal egg-laying, and *egl-1(n986)* hermaphrodites, which lack HSNs, are egg-laying defective (Trent et al., 1983 *supra*). The mutant phenotype of *egl-1* is suppressed in a *ced-3; egl-1* strain because mutations in *ced-3* block programmed cell deaths. *egl-1* males were mutagenized with EMS and crossed with *ced-3(n717), unc-26(e205); egl-1(n487); dpy-3(e27)*. Most cross progeny were egg-laying defective because they were heterozygous for *ced-3* and homozygous for *egl-1*. Rare egg-laying competent animals were picked as candidates for carrying new alleles of *ced-3*. Four such animals were isolated from about 10,000 F1 cross progeny of EMS-mutagenized animals. These new mutations were made homozygous to confirm that they carried recessive mutations of *ced-3*.

Molecular Biology

Standard techniques of molecular biology were used (Maniatis et al., *Molecular Cloning: A Laboratory Manual*, Cold Spring Harbor Laboratory, 1983).

Two cosmid libraries were used extensively in this work: a *Sau3AI* partial digest genomic library of 7000 clones in the vector pHC79 and a *Sau3AI* partial digest genomic library of 6000 clones in the vector pJB8 (Ish-Horowicz and Burke, *Nucleic Acids Res.* 9:2989 (1981)). The "right" end of MMM-C1 was cloned by cutting it with *HindIII* and self-ligating. The "left" end of MMM-C1 was cloned by cutting it with *BglII* or *SalI* and self-ligating.

The "right" end of Jc8 was made by digesting Jc8 with *EcoRI* and self-ligating. The "left" end of Jc8 was made by digesting Jc8 by *SalI* and self-ligating.

- 59 -

C. elegans RNA was extracted using guanidine isothiocyanate (Kim and Horvitz, *Genes & Dev.* 4:357-371 (1990)). Poly(A)⁺ RNA was selected from total RNA by a poly(dT) column (Maniatis et al., 1983 *supra*). To
5 prepare stage-synchronized animals, worms were synchronized at different developmental stages (Meyer and Casson, *Genetics* 106:29-44 (1986)).

For DNA sequencing, serial deletions were made according to a procedure developed by Henikoff (*Gene*
10 28:351-359 (1984)). DNA sequences were determined using Sequenase and protocols obtained from US Biochemicals with minor modifications.

The Tc1 DNA probe for Southern blots was pCe2001, which contains a Bergerac Tc1 element (Emmons et al.,
15 *Cell* 32:55-65 (1983)). Enzymes were purchased from New England Biolabs, and radioactive nucleotides were from Amersham.

Primer extension procedures followed the protocol by Robert E. Kingston (In: *Current Protocols in*
20 *Molecular Biology*, Ausubel et al. (eds.), Greene Publishing Associates and Wiley-Interscience, New York, p. 4.8.1) with minor modifications.

Polymerase chain reaction (PCR) was carried out using standard protocols supplied by the GeneAmp Kit
25 (Perkin Elmer). The primers used for primer extension and PCR are as follows:

Pex2: 5' TCATCGACTTTTAGATGACTAGAGAACATC 3'
(Seq. ID #7);

30 Pex1: 5' GTTGCACTGCTTTCACGATCTCCCGTCTCT 3'
(Seq. ID #8);

SL1: 5' GTTTAATTACCCAAGTTTGAG 3' (Seq. ID #9);

SL2: 5' GGTTTAAACAGTTACTCAAG 3' (Seq. ID #10);

Log5: 5' CCGGTGACATTGGACACTC 3' (Seq. ID #11); and

Oligo10: 5' ACTATTCAACACTTG 3' (Seq. ID #12).

- 60 -

Germline Transformation

The procedure for microinjection basically follows that of A. Fire (*EMBO J.* 5:2673-2680 (1986)) with modifications: Cosmid DNA was twice purified by CsCl-
5 gradient. Miniprep DNA was used when deleted cosmids were injected. To prepare miniprep DNA, DNA from 1.5 ml overnight bacterial culture in superbroth (12 g Bacto-tryptone, 24 g yeast extract, 8 ml 50% glycerol, 900 ml H₂O, autoclaved; after autoclaving, 100 ml 0.17 M KH₂PO₄
10 and 0.72 M KH₂PO₄ were added) was extracted by alkaline lysis method as described in Maniatis et al. (1983 *supra*). DNA was treated with RNase A (37°, 30 minutes) and then with protease K (55°, 30 minutes), extracted with phenol and then chloroform, precipitated twice
15 (first in 0.3 M sodium acetate and second in 0.1 M potassium acetate, pH 7.2), and resuspended in 5 µl injection buffer as described by A. Fire (1986 *supra*). The DNA concentration for injection is in the range of 100 ug to 1 mg per ml.

20 All transformation experiments used *ced-1(e1735); unc-31(e928) ced-3(n717)* strain. *unc-31* was used as a marker for co-transformation (Kim and Horvitz, 1990 *supra*). *ced-1* was present to facilitate scoring of the *Ced-3* phenotype. The mutations in *ced-1* block the
25 engulfment process of cell death, which makes the corpses of the dead cells persist much longer than in wild-type animals (Hedgecock et al., *Science* 220:1277-1280 (1983)). The *Ced-3* phenotype was scored as the number of dead cells present in the head of young L1 animals. The
30 cosmid C10D8 or the plasmid subclones of C10D8 were mixed with C14G10 (*unc-31(+)*-containing) at a ratio of 2:1 or 3:1 to increase the chances that a *Unc-31(+)* transformant would contain the cosmid or plasmid being tested as well. Usually, 20-30 animals were injected in one experiment.
35 Non-*Unc* F1 progeny of the injected animal were isolated three to four days later. About 1/2 to 1/3 of the non-*Unc* progeny transmitted the non-*Unc* phenotype to F2

- 61 -

progeny and established a transformant line. The young L1 progeny of such non-Unc transformant were checked for the number of dead cells present in the head using Nomarski optics.

5

RESULTS

Isolation of Additional *ced-3* Alleles

All of the *ced-3* alleles that existed previously were isolated in screens designed to detect viable mutants displaying the Ced phenotype (Ellis and Horvitz, 10 Cell 44:817-829 (1986)). Such screens may have systematically missed any class of *ced-3* mutations that is inviable as homozygotes. For this reason, a scheme was designed that could isolate recessive lethal alleles of *ced-3*. Four new alleles of *ced-3* (*n1163*, *n1164*, 15 *n1165*, *n1286*) were isolated in this way. Since new alleles were isolated at a frequency of about 1 in 2500, close to the frequency expected for the generation of null mutations by EMS in an average *C. elegans* gene (Brenner, Genetics 77:71-94 (1974); Greenwald and 20 Horvitz, Genetics 96:147-160 (1980)), and all four alleles are homozygous viable, it was concluded that the null allele of *ced-3* is viable.

Mapping RFLPs near *ced-3*

Tc1 is a *C. elegans* transposable element that is 25 thought to be immobile in the common laboratory Bristol strain and in the Bergerac strain (Emmons et al., Cell 32:55-65 (1983)). In the Bristol strain, there are 30 copies of Tc1, while in the Bergerac strain, there are more than 400 copies of Tc1 (Emmons et al., 1983 *supra*; 30 Finney, Ph.D. thesis, Massachusetts Institute of Technology, Cambridge, Massachusetts, 1987). Because the size of the *C. elegans* genome is small (haploid genome size 8×10^7 bp) (Sulston and Brenner, Genetics 77:95-104 (1976)), a polymorphism due to Tc1 between the Bristol

- 62 -

and Bergerac strains would be expected to occur about once every 200 kb. Restriction fragment length polymorphisms (RFLPs) can be used as genetic markers and mapped in a manner identical to conventional mutant phenotypes. A general scheme has been designed to map Tc1 elements that are dimorphic between the Bristol and Bergerac strains near any gene of interest (Ruvkun et al., *Genetics* 121:501-516 (1989)). Once tight linkage of a particular Tc1 to a gene of interest has been established, that Tc1 can be cloned and used to initiate chromosome walking.

A 5.1 kb Bristol-specific Tc1 *EcoRI* fragment was tentatively identified as containing the Tc1 closest to *ced-3*. This Tc1 fragment was cloned using cosmids from a set of Tc1-containing *C. elegans* Bristol genomic DNA fragments. DNA was prepared from 46 such Tc1-containing cosmids and screened using Southern blots to identify the cosmids that contain a 5.1 kb *EcoRI* Tc1-containing fragment. Two such cosmids were identified: MMM-C1 and MMM-C9. The 5.1 kb *EcoRI* fragment was subcloned from MMM-C1 into pUC13 (Promega). Since both ends of Tc1 contain an *EcoRV* site (Rosenzweig et al., *Nucleic Acids Res.* 11:4201-4209 (1983)), *EcoRV* was used to remove Tc1 from the 5.1 kb *EcoRI* fragment, generating a plasmid that contains only the unique flanking region of this Tc1-containing fragment. This plasmid was then used to map the specific Tc1 without the interference of other Tc1 elements.

unc-30(e191) ced-3(n717) dpy-4(e1166)/+++ males were crossed with Bergerac (EM1002) hermaphrodites, and Unc non-Dpy or Dpy non-Unc recombinants were picked from among the F2 progeny. The recombinants were allowed to self-fertilize, and strains that were homozygous for either *unc-30(e191) dpy-4(Bergerac)* or *unc-30(Bergerac) dpy-4(e1166)* were isolated. After identifying the *ced* genotypes of these recombinant strains, DNA was prepared from these strains. A Southern blot of DNA from these

- 63 -

recombinants was probed with the flanking sequence of the 5.1 kb *EcoRI* *Tcl* fragment. This probe detects a 5.1 kb fragment in Bristol N2 and a 3.4 kb fragment in Bergerac. Five out of five *unc-30 ced-3 dpy(+Berg)* recombinants, 5 and one of one *unc-30(+Berg) ced-3 dpy-4* recombinants showed the Bristol pattern. Nine of ten *unc-30(+Berg) dpy-4* recombinants showed the Bergerac pattern. Only one recombinant of *unc-30(+Berg) dpy-4* resulted from a cross-over between *ced-3* and the 5.1 kb *Tcl* element. The 10 genetic distance between *ced-3* and *dpy-4* is 2 map units (mu). Thus, this *Tcl* element is located 0.1 mu on the right side of *ced-3*.

Cosmids MMM-C1 and MMM-C9 were used to test whether any previously mapped genomic DNA cosmids 15 overlapped with these two cosmids. A contig of overlapping cosmids was identified that extended the cloned region near *ced-3* in one direction.

To orient MMM-C1 with respect to this contig, both ends of MMM-C1 were subcloned and these subclones were 20 used to probe the nearest neighboring cosmid C48D1. The "right" end of MMM-C1 does not hybridize to C48D1, while the "left" end does. Therefore, the "right" end of MMM-C1 extends further away from the contig. To extend this contig, the "right" end of MMM-C1 was used to probe the 25 filters of two cosmid libraries (Coulson et al., *Proc. Natl. Acad. Sci. USA* 83:7821-7825 (1986)). One clone, Jc8, was found to extend MMM-C1 in the opposite direction of the contig.

RFLPs between the Bergerac and Bristol strains 30 were used to orient the contig with respect to the genetic map. Bristol (N2) and Bergerac (EM1002) DNA was digested with various restriction enzymes and probed with different cosmids to look for RFLPs. Once such an RFLP was found, DNA from recombinants of the Bristol and 35 Bergerac strains between *ced-3* and *unc-26*, and between *unc-30* and *ced-3* was used to determine the position of the RFLP with respect to *ced-3*.

- 64 -

The "right" end of Jc8, which represents one end of the contig, detects an RFLP (nP33) when N2 and EM1002 DNA was digested with *HindIII*. A Southern blot of DNA from recombinants between three *ced-3(+Berg) unc-26* was
5 probed with the "right" end of Jc8. Three of three +Berg *unc-26* recombinants showed the Bristol pattern, while two of two *ced-3 unc-26(+Berg)* recombinants showed the Bergerac pattern. Thus, nP33 mapped very close or to the right side of *unc-26*.

10 The "left" end of Jc8 also detects a *HindIII* RFLP (nP34). The same Southern blot was reprobed with the Jc8 "left" end. Two of the two *ced-3 unc-26(+Berg)* recombinants and two of the three *ced-3(+Berg) unc-26* recombinants showed the Bergerac pattern. One of the
15 three *ced-3(+Berg) unc-26* recombinants showed the Bristol pattern. The genetic distance between *ced-3* and *unc-26* is 0.2 mu. Thus, nP34 was mapped between *ced-3* and *unc-26*, about 0.1 mu on the right side of *ced-3*.

The flanking sequence of the 5.1 kb *EcoRI* *Tcl* fragment (named nP35) was used to probe the same set of
20 recombinants. Two of three *ced-3(+Berg) unc-26* recombinants and two of two *ced-3 unc-26(+Berg)* recombinants showed the Bristol pattern. Thus, nP35 was also found to be located between *ced-3* and *unc-26*, about
25 0.1 mu on the right side of *ced-3*.

A similar analysis using cosmid T10H5 which contains the *HindIII* RFLP (nP36), and cosmid B0564, which contains a *HindIII* RFLP (nP37), showed that nP36 and nP37 mapped very close or to the right of *unc-30*.

30 These experiments localized the *ced-3* gene to an interval of three cosmids. The positions of the RFLPs, and of *ced-3*, *unc-30* and *unc-26* on chromosome IV, and their relationships to the cosmids are shown in Figure 1. It has been demonstrated by microinjection that cosmids
35 C37G8 and C33F2 carry the *unc-30* gene (John Sulston, personal communication). Thus, the region containing the

- 65 -

ced-3 gene was limited to an interval of two cosmids. These results are summarized in Figure 1.

Complementation of *ced-3* by Germline Transformation

Cosmids that were candidates for containing the *ced-3* gene were microinjected into a *ced-3* mutant to see if they rescue the mutant phenotype. The procedure for microinjection was that of A. Fire (*EMBO J.* 5:2673-2680 (1986)) with modifications. *unc-31*, a mutant defective in locomotion, was used as a marker for cotransformation (Kim and Horvitz, *Genes & Dev.* 4:357-371 (1990)), because the phenotype of *ced-3* can be examined only by using Nomarski optics. Cosmid C14G10 (containing *unc-31(+)*) and a candidate cosmid were coinjected into *ced-1(e1375); unc-31(e928) ced-3(n717)* hermaphrodites, and F1 non-Unc transformants were isolated to see if the non-Unc phenotype could be transmitted and established as a line of transformants. Young L1 progeny of such transformants were examined for the presence of cell deaths using Nomarski optics to see whether the *Ced-3* phenotype was suppressed. Cosmid C14G10 containing *unc-31* alone does not rescue *ced-3* activity when injected into a *ced-3* mutant. Table 4 summarizes the results of these transformation experiments.

As shown in Table 3, of the three cosmids injected (C43C9, W07H6 and C48D1), only C48D1 rescued the *Ced-3* phenotype (2/2 non-Unc transformants rescued the *Ced-3* phenotype). One of the transformants, *nEX2*, appears to be rescued by an extra-chromosomal array of injected cosmids (Way and Chalfie, *Cell* 54:5-16 (1988)), which is maintained as an unstable duplication, since only 50% of the progeny of a non-Unc *Ced(+)* animal are non-Unc *Ced(+)*. Since the non-Unc *Ced(+)* phenotype of the other transformant (*nIS1*) is transmitted to all of its progeny, it is presumably an integrated transformant. L1 *ced-1* animals contain an average of 23 cell corpses in the head. L1 *ced-1; ced-3* animals contain an average of 0.3

- 66 -

cell corpses in the head. *ced-1; unc-31 ced-3; nIS1* and *ced-1; unc-31 ced-3; nEX2* animals contain an average of 16.4 and 14.5 cell corpses in the head, respectively. From these results, it was concluded that C48D1 contains
5 the *ced-3* gene.

In order to locate *ced-3* more precisely within the cosmid C48D1, this cosmid was subcloned and the subclones were tested for the ability to rescue *ced-3* mutants. C48D1 DNA was digested with restriction enzymes that cut
10 rarely within the cosmid and the remaining cosmid was self-ligated to generate a subclone. Such subclones were then injected into a *ced-3* mutant to look for completion. When C48D1 was digested with *Bam*HI and self-ligated, the remaining 14 kb subclone (named C48D1-28) was found to
15 rescue the *Ced-3* phenotype when injected into a *ced-3* mutant (Figure 2 and Table 4). C48D1-28 was then partially digested with *Bgl*III and self-ligated. Clones of various lengths were isolated and tested for their ability to rescue *ced-3*.

20 One clone, C48D1-43, which did not contain a 1.7 kb *Bgl*III fragment of C48D1-28, was able to rescue *ced-3* (Figure 2 and Table 4). C48D1-43 was further subcloned by digesting with *Bam*HI and *Apa*I to isolate a 10 kb *Bam*HI-*Apa*I fragment. This fragment was subcloned into
25 pBSKII+ to generate pJ40. pJ40 can restore *Ced-3*+ phenotype when microinjected into a *ced-3* mutant. pJ40 was subcloned by deleting a 2 kb *Bgl*III-*Apa*I fragment to generate pJ107. pJ107 was also able to rescue the *Ced-3* phenotype when microinjected into a *ced-3* mutant.
30 Deletion of 0.5 kb on the left side of pJ107 could be made by *Exo*III digestion (as in pJ107del28 and pJ107del34) without affecting *Ced-3* activity; in fact, one transgenic line, *nEX17*, restores full *Ced-3* activity. However, the *ced-3* rescuing ability was significantly
35 reduced when 1 kb was deleted on the left side of pJ107 (as in pJ107del12 and pJ107del27), and the ability was completely eliminated when a 1.8 kb *Sal*I-*Bgl*III fragment

- 67 -

was deleted on the right side of pJ107 (as in pJ55 and pJ56), suggesting that this *SalI* site is likely to be in the *ced-3* coding region. From these experiments, *ced-3* was localized to a DNA fragment of 7.5 kb. These results are summarized in Figure 2 and Table 4.

ced-3 Transcript

pJ107 was used to probe a Northern blot of N2 RNA and detected a band of 2.8 kb. Although this transcript is present in 12 *ced-3* mutant animals, subsequent analysis showed that all 12 *ced-3* mutant alleles contain mutations in the genomic DNA that codes for this mRNA (see below), thus establishing this RNA as a *ced-3* transcript.

The developmental expression pattern of *ced-3* was determined by hybridizing a Northern blot of RNA from animals of different stages (eggs, L1 through L4 larvae and young adult) with the *ced-3* cDNA subclone pJ118. Such analysis revealed that the *ced-3* transcript is most abundant during embryonic development, which is the period when most programmed cell deaths occur, but it was also detected during the L1 through L4 larval stages and is present in relatively high levels in young adults. This result suggests that *ced-3* is not only expressed in cells undergoing programmed cell death.

Since *ced-3* and *ced-4* are both required for programmed cell death in *C. elegans*, one of the genes might act as a regulator of transcription of the other gene. To examine if *ced-4* regulates the transcription of *ced-3*, RNA was prepared from eggs of *ced-4* mutants (*n1162*, *n1416*, *n1894*, and *n1920*), and a Northern blot was probed with the *ced-3* cDNA subclone pJ118. The presence of RNA in each lane was confirmed with an actin I probe. Such an experiment showed that the level of *ced-3* transcript is normal in *ced-4* mutants. This indicates that *ced-4* is unlikely to be a transcriptional regulator of *ced-3*.

Isolation of a *ced-3* cDNA

To isolate cDNA of *ced-3*, pJ40 was used as a probe to screen a cDNA library of N2 (Kim and Horvitz, *Genes & Dev.* 4:357-371 (1990)). Seven cDNA clones were isolated. 5 These cDNAs can be divided into two groups: one is 3.5 kb and the other 2.5 kb. One cDNA from each group was subcloned and analyzed further. pJ85 contains the 3.5 kb cDNA. Experiments showed that pJ85 contains a *ced-3* cDNA fused to an unrelated cDNA; on Northern blots of N2 RNA, 10 the pJ85 insert hybridizes to two RNA transcripts, and on Southern blots of N2 DNA, pJ85 hybridizes to one more band than pJ40 (*ced-3* genomic DNA) does. pJ87 contains the 2.5 kb cDNA. On Northern blots, pJ87 hybridizes to a 2.8 kb RNA and on Southern blots, it hybridizes only to 15 bands to which pJ40 hybridizes. Thus, pJ87 contains only *ced-3* cDNA.

To show that pJ87 does contain the *ced-3* cDNA, a frameshift mutation was made in the *Sa*II site of pJ40 corresponding to the *Sa*II site in the pJ87 cDNA. 20 Constructs containing the frameshift mutation failed to rescue the *Ced-3* phenotype when microinjected into *ced-3* mutant animals, suggesting that *ced-3* activity has been eliminated.

ced-3 Sequence

25 The DNA sequence of pJ87 was determined (Figure 3). pJ87 contains an insert of 2.5 kb which has an open reading frame of 503 amino acids (Figure 3; Seq. ID #2). The 5' end of the cDNA contains 25 bp of poly-A/T sequence, which is probably an artifact of cloning and is 30 not present in the genomic sequence. The cDNA ends with a poly-A sequence, suggesting that it contains the complete 3' end of the transcript. 1 kb of pJ87 insert is untranslated 3' region and not all of it is essential for *ced-3* expression, since genomic constructs with 35 deletions of 380 bp of the 3' end can still rescue *ced-3* mutants (pJ107 and its derivatives, see Figure 2).

- 69 -

To confirm the DNA sequence obtained from the *ced-3* cDNA and to study the structure of the *ced-3* gene, the genomic sequence of the *ced-3* gene in the plasmid pJ107 was determined (Figure 3; Seq. ID #1). Comparison of the *ced-3* genomic and cDNA sequences revealed that the *ced-3* gene has seven introns that range in size from 54 bp to 1195 bp (Figure 4A). The four largest introns, as well as sequences 5' of the start codon, were found to contain repetitive elements (Figure 3). Five types of repetitive elements were found, some of which have been previously characterized in non-coding regions of other *C. elegans* genes, such as *fem-1* (Spence et al., Cell 60:981-990 (1990)), *lin-12* (J. Yochem, personal communication), and *myoD* (Krause et al., Cell 63:907-919 (1990)). Of these, repeat 1 was also found in *fem-1* and *myoD*, repeat 3 in *lin-12* and *fem-1*, repeat 4 in *lin-12*, and repeats 2 and 5 were novel repetitive elements.

A combination of primer extension and PCR amplification was used to determine the location and nature of the 5' end of the *ced-3* transcript. Two primers (Pex1 and Pex2) were used for the primer extension reaction. The Pex1 reaction yielded two major bands, whereas the Pex2 reaction gave one band. The Pex2 band corresponded in size to the smaller band from the Pex1 reaction, and agreed in length with a possible transcript that is trans-spliced to a *C. elegans* splice leader (Bektesh, Genes & Devel. 2:1277-1283 (1988)) at a consensus splice acceptor at position 2166 of the genomic sequence (Figure 3). The nature of the larger Pex1 band is unclear.

To confirm the existence of this trans-spliced message in wild-type worms, total *C. elegans* RNA was PCR amplified using the SL1-Log5 and SL2-Log5 primer pairs, followed by a reamplification using the SL1-Oligo10 and SL2-Oligo10 primer pairs. The SL1 reaction yielded a fragment of the predicted length. The identity of this fragment was confirmed by sequencing. Thus, at least

- 70 -

some, if not most, of the *ced-3* transcript is trans-spliced to SL1. Based on this result, the start codon of the *ced-3* message was assigned to the methionine encoded at position 2232 of the genomic sequence (Figure 3).

5 The DNA sequences of 12 EMS-induced *ced-3* alleles were also determined (Figure 3 and Table 1). Nine of the 12 are missense mutations. Two of the 12 are nonsense mutations, which might prematurely terminate the translation of *ced-3*. These nonsense *ced-3* mutants
10 confirmed that the *ced-3* gene is not essential for viability. One of the 12 mutations is an alteration of a conserved splicing acceptor G, and another has a change of a 70% conserved C at the splice site, which could also generate a stop codon even if the splicing is correct.
15 Interestingly, these EMS-induced mutations are in either the N-terminal quarter or C-terminal half of the protein. In fact, 9 of the 12 mutations occur within the region of *ced-3* that encodes the last 100 amino acids of the protein. Mutations are notably absent from the middle
20 part of the *ced-3* gene (Figure 4A).

Ced-3 Protein Contains A Region Rich in Serines

The Ced-3 protein is very hydrophilic and no significantly hydrophobic region can be found that might
25 be a trans-membrane domain (Figure 5). The Ced-3 protein is rich in serine. From amino acid 78 to amino acid 205 of the Ced-3 protein, 34 out of 127 amino acids are serine. Serine is often the target of serine/threonine protein kinases (Edelman, *Ann. Rev. Biochem.* 56:567-613
30 (1987)). For example, protein kinase C can phosphorylate serines when they are flanked on their amino and carboxyl sides by basic residues (Edelman, 1987 *supra*). Four of the serines in the Ced-3 protein are flanked by arginines (Figure 6A). The same serine residues might also be the
35 target of related Ser/Thr kinases.

To identify the functionally important regions of the Ced-3 protein, genomic DNAs containing the *ced-3*

- 71 -

genes from two related nematode species, *C. briggsae* (Seq. ID #5) and *C. vulgaris* (Seq. ID #6) were cloned and sequenced. Sequence comparison of the three *ced-3* gene products showed that the non-serine-rich region of the
5 proteins is highly conserved (Figure 7). In *C. briggsae* and *C. vulgaris*, many amino acids in the serine-rich region are dissimilar compared to the *C. elegans* *Ced-3* protein. It seems that what is important in the serine-rich region is the overall serine-rich feature rather
10 than the exact amino acid sequence.

This hypothesis is also supported by analysis of *ced-3* mutations in *C. elegans*: none of the 12 EMS-induced mutations is in the serine-rich region, suggesting that mutations in this region might not affect
15 the function of the *Ced-3* protein and thus, could not be isolated in the screen for *ced-3* mutants.

EXAMPLE 2

A COMMON MECHANISM OF CELL DEATH IN VERTEBRATES AND INVERTEBRATES

20 Results from previous studies reported in the scientific literature suggest that cell deaths in a variety of organisms, including vertebrates as well as invertebrates, share a common mechanism which involves the activation of genes. These studies are consistent
25 with the hypothesis that genes similar to the *C. elegans* *ced-3* and *ced-4* genes may be involved in the cell deaths that occur in vertebrates, although certain observations have led some to distinguish vertebrate cell deaths from the programmed cell deaths observed in such invertebrates
30 as nematodes and insects. Some vertebrate cell deaths share certain characteristics with the programmed cell deaths in *C. elegans* that are controlled by *ced-3* and *ced-4*. For example, up to 14% of the neurons in the chick dorsal root ganglia die immediately after their

- 72 -

births, before any signs of differentiation (Carr and Simpson, *Dev. Brain Res.* 2:57-162 (1982)). Genes like *ced-3* and *ced-4* could well function in this class of vertebrate cell death.

5 Genetic mosaic analysis has suggested that *ced-3* and *ced-4* genes are expressed by cells that undergo programmed cell death, so that these genes may not act through cell-cell interactions (Yuan and Horvitz, *Dev. Biol.* 138:33-41 (1990)). Many cell deaths in vertebrates
10 seem different in that they appear to be controlled by interactions with target tissues. For example, it is thought that a deprivation of target-derived growth factors is responsible for vertebrate neuronal cell deaths (Hamburger and Oppenheim, *Neurosci. Comment.* 1:39-
15 55 (1982)); Thoenen et al., in: *Selective Neuronal Death*, Wiley, New York, 1987, Vol. 126, pp. 82-85). However, even this class of cell death could involve genes like *ced-3* and *ced-4*, since pathways of cell death involving similar genes and mechanisms might be triggered in a
20 variety of ways. Supporting this idea are several *in vitro* and *in vivo* studies which show that the deaths of vertebrate as well as invertebrate cells can be prevented by inhibitors of RNA and protein synthesis, suggesting that activation of genes are required for these cell
25 deaths (Martin et al., *J. Cell Biol.* 106:829-844 (1988); Cohen and Duke, *J. Immunol.* 132:38-42 (1984); Oppenheim and Prevette, *Neurosci. Abstr.* 14:368 (1988); Stanisic et al., *Invest. Urol.* 16:19-22 (1978); Oppenheim et al., *Dev. Biol.* 138:104-113 (1990); Fahrbach and Truman, in:
30 *Selective Neuronal Death*, Ciba Foundation Symposium, 1987, No. 126, pp. 65-81). It is possible that the genes induced in these dying vertebrate and invertebrate cells are cell death genes which are structurally related to the *C. elegans ced-3* or *ced-4* genes.

35 Also supporting the hypothesis that cell death in *C. elegans* is mechanistically similar to cell death in vertebrates is the observaiton that the protein product

- 73 -

of the *C. elegans* gene *ced-9* is similar in sequence to the human protein Bcl-2. *ced-9* has been shown to prevent cells from undergoing programmed cell death during nematode development by antagonizing the activities of *ced-3* and *ced-4* (Hengartner, et al., *Nature* 356:494-499 (1992)). The *bcl-2* gene has also been implicated in protecting cells against cell death. It seems likely that the genes and proteins with which *ced-9* and *bcl-2* interact are similar as well.

10

EXAMPLE 3

NEW FORMS OF THE CELL DEATH PROTEINS CED-3 AND CED-4
CAN PREVENT PROGRAMMED CELL DEATH IN C. ELEGANS

15

A *ced-3* cDNA encoding a Cys360 to Ala substitution at the active site cysteine can prevent normally occurring programmed cell death in *C. elegans* when overexpressed using a heat shock promoter. The construct used to transform the *C. elegans* strains is shown in Fig. 10.

Representative data as shown in Table 5 demonstrate protective effect which alterations in the active site cysteine confer.

20

Table 5

Construct extra	# extra cells in anterior pharynx	Number of animals observed	Range of cell counts
none	0.13	40	0-1
25 HSP- <i>ced-3</i> (C360A) line 1	2.9	8	0-8
HSP- <i>ced-3</i> (C360A) line 2	4.9	9	0-9
HSP- <i>ced-3</i> (C360A) line 3	2.6	9	1-9

30

Different lines represent independent strains carrying an extrachromosomal array containing the fusion construct and heat shocked at 33°C for 1 hour.

- 74 -

EXAMPLE 4Peptide Inhibitors of the Interleukin-1 β
Converting Enzyme (ICE) Arrest Programmed
Cell Death of Motoneurons In Vitro and In Vivo

5 Programmed cell death (PCD) has been well
documented in the lumbar spinal motoneurons of the chick,
where approximately 50% of the neurons produced during
embryogenesis die before birth (Hamburger, *Am. J. Anat.*
102:365-410 (1958), Hollday and Hamburger, *J. Comp.*
10 *Neurol.* 170:311-310 (1976), and Oppenheim et al., *J.*
Comp. Neurol. 177:87-112 (1978)). Survival of
motoneurons is dependent on their interaction with muscle
targets, since removal of the limb induces greater than
90% motoneuron death whereas transplantation of a
15 supernumerary limb increases the number of surviving
motoneurons (Hamburger, *Am J. Anat.* 102:365-410 (1958),
Hamburger and Oppenheim, *Neurosci. Comm.* 1:39-55 (1982),
and Hollday and Hamburger, *J. Comp. Neurol.* 170:311-320
(1976)). While a precise factor has yet to be
20 identified, the supply of target-derived trophic support
is critical in determining the extent of motoneuron
survival. The death of motoneurons that fail to acquire
adequate supply of support appears to be mediated by new
gene expression (Oppenheim et al., *Dev. Biol.* 138:104-113
25 (1990) and Milligan et al., *J. Neurobiology* 25:1005-1016
(1994)).

The aspartate-directed substrate specificity of
ICE has allowed for the development of peptide inhibitors
that are potent inhibitors of ICE proteolytic activity
30 (Thornberry et al., *Nature* 356:768-774 (1992)). Those
compounds mimic the aspartic acid in the P1 position of
known ICE substrates, and are thus active site
inhibitors. As such, these compounds may also be
expected to inhibit other ICE family members that retain
35 asp-ase activity. In this example ICE inhibitors were
used to demonstrate the role of ICE-like proteases in the
death of chick spinal motoneurons and demonstrate that

- 75 -

cell-permeable peptide inhibitors of ICE arrest the PCD of motoneurons *in vitro* and *in vivo*. Furthermore, these inhibitors can also reduce PCD in other cell lineages *in vivo*.

5 A tissue culture model system that allows isolation of a relatively pure population of motoneurons whose survival is dependent on muscle extract, a potent source of target-derived trophic support, was used to test the ability of peptide inhibitors of ICE to block
10 motoneuron cell death (Milligan et al., *J. Neurobiology* 25:1005-1016 (1994) and Block-Gallego et al., *Development*. 111:221-232 (1991)). The death of motoneurons deprived of trophic support *in vitro* requires new gene expression and occurs by apoptosis (Milligan et
15 al., *J. Neurobiology* 25:1005-1016 (1994)). Motoneurons deprived of trophic support at the time of plating become irreversibly committed to undergo cell death after 16-18 hours (Milligan et al., *J. Neurobiology* 25:1005-1016 (1994)). Figs. 11A and 11B show that treatment with
20 peptide inhibitors of ICE during this time period at concentrations known to be effective in blocking IL-1 maturation in intact cells (Thornberry et al., *Nature* 356:768-774 (1992)), substantially prevents the motoneuron death observed after 3 days. Administration
25 of either a reversible peptide aldehyde (Acetyl-Tyr-Val-Ala-Asp-aldehyde)-(Acetyl-Tyr-Val-Ala-Asp-chloromethylketone) or an irreversible peptide chloro-methylketone (Acetyl-Tyr-Val-Ala-Asp-aldehyde)-(Acetyl-Tyr-Val-Ala-Asp-chloro-methylketone) protease inhibitor had
30 inhibitory effects on motoneuron death, although the peptide aldehyde was more effective. Treatment with the ICE inhibitors had no affect on cells receiving muscle extract, indicating that they are not toxic to motoneurons at the doses tested (Figs. 11A - 11B).
35 Treatment with control peptide aldehyde or chloromethylketone inhibitors that lack aspartate in the P1 position had no survival promoting effects, further

- 76 -

suggesting that it is the specific inhibition of ICE or ICE-like asp-ases that inhibit death (Figs. 11C-11E). When motoneurons are treated with peptide inhibitors in the absence of muscle extract for three days and subsequently supplemented on day three with muscle extract, they continue to survive, and by six days appear as healthy and differentiated as the motoneurons that were continuously supplied with muscle extract (Figs. 12A, 12B, 15G). Thus, motoneurons rescued for 3 days by ICE inhibitors remain capable of responding to trophic factors present in muscle extract. These results suggest that the commitment to cell death initiated by trophic factor deprivation in vitro involves an ICE-like asp-ase.

To demonstrate the physiological relevance of the observations obtained in vitro the role of ICE-like proteases on several models of PCD in the chick embryos in vivo was investigated. In the first model, embryos were treated with a single dose of an ICE inhibitor or control protease inhibitor on embryonic day 8 (E8), the time of maximum naturally occurring motoneuron cell death (Hamburger et al., *J. Morph.* 88:49-92 (1951)). Embryos treated in vivo with the peptide inhibitors appeared to develop normally and there were no gross abnormalities. However, 15 hours following drug treatment, there are significantly fewer pyknotic cells present in the lumbar spinal cord of animals treated with the peptide inhibitors of ICE as compared to animals treated with control protease inhibitors (Table 6A). This effect is dose dependent (Fig. 13). 24 hours after treatment there is a similar reduction in the number of pyknotic cells and a significant increase in the number of healthy motoneurons (Table 6B). The increase in healthy cells suggests that cell death was indeed inhibited and that there was not simply a morphological change in the dying neurons that precluded their identification as pyknotic. The ability of ICE inhibitors to block the naturally occurring cell death of interdigital cells in the

- 77 -

developing limb was also studied. These cells undergo PCD as a means of sculpting the digits in many vertebrates. When embryos were treated with either ICE inhibitor on embryonic days, E6 and E7, there was a substantial reduction in the number of pyknotic cells between the digits of the hindlimb (Fig. 14). These data support the theory that ICE-like asp-ases are key components of the PCD pathway in multiple cell types, including neurons and non-neurons.

Next, a second model of motoneuron PCD in which animals were subjected to limb bud removal, thereby inducing greater than 90% of the motoneurons to die was investigated (Hamburger, *Am. J. Anat.* 102:365-410 (1958), Hamburger et al., *Neurosci. Comm.* 1:39-55 (1982).

Surprisingly in this model peptide inhibitors of ICE had no survival promoting effect (Table 7).

The ability of the ICE inhibitors to block cell death in two classes of neurons whose naturally occurring death appears to be independent of target interaction was also studied. First, motoneurons in the cervical spinal cord undergo PCD between E4 and E5, with maximum levels occurring at E4.5. It is believed that these cells die by a means independent of target interactions since a variety of growth factors shown to be effective in rescuing lumbar motoneurons are ineffective in rescuing cervical motoneurons from PCD. Peptide inhibitors of ICE have no survival promoting effects on cervical motoneurons *in vivo* (Table 8). Second, the death of undifferentiated neurons and precursor cells that occurs in the neural tube between E2 and E3 is also thought to be target independent and unaffected by treatment with a variety of growth factors (Homma et al., *J. Comp. Neurol.* 345:377-95 (1994)). The PCD of these cells is also insensitive to rescue by the peptide inhibitors (Table 9). Although one cannot exclude the possibility that the dosage or timing of peptide administrations account for the observed lack of inhibition, this is unlikely given

- 78 -

the positive effects on interdigital cell death observed at a similar embryonic stage (see above). These results show that not all naturally occurring cell deaths are blocked by inhibitors of ICE or related aspartases.

5 While we do not wish to bind ourselves to a particular model, several hypotheses can be offered to explain the differential ability of ICE inhibitors to block motoneuron death in the various models. It is possible, for instance, that the peptides are not present
10 at critical PCD commitment times in each model. In the limb bud extirpation model, where the limb bud is removed at E2, perhaps an asp-ase-sensitive step occurred prior to E5 when drugs were applied. Further time course experiments will clarify this issue. A second
15 possibility is that the molecular machinery that controls cell death changes during the course of development. The two cases where the ICE inhibitor blocked PCD were at E8 (motoneuron death) and E7.5 (interdigital cell death). The cases in which the peptides were ineffective were at
20 E6 (limb bud extirpation), E4 (cervical spinal motoneurons) and E3 (undifferentiated neurons and precursors). Thus it is possible that early in development, cell death mechanisms occur which may not be inhibited by asp-ase inhibitors employed in these
25 studies, while at later times, different mechanisms are inhibited by the compounds employed.

 The experiments described in this example demonstrate that ICE or an ICE-like asp-ase have a regulatory role in vertebrate cell death in vivo. The
30 inhibitors used in the present example were designed as asp-ase inhibitors, and inhibit not only ICE, but also related proteases that have been implicated in apoptosis and that resemble ICE with respect to cleavage after aspartate residues. The effect of cell-permeable
35 inhibitors on the ICE protease family may result in the arrest of motoneuron death. Such arrest may subsequently allow time for the cell to reorganize and recover,

- 79 -

thereby opening up therapeutic strategies in pathological conditions involving motoneuron death such as that which occurs following spinal cord injuries or stroke. In addition, motoneuron death as observed in

- 5 neurodegenerative diseases (e.g., ALS) might also be prevented by treatment with these asp-ase inhibitors.

Methods

- Methods for motoneuron cultures.** Spinal cords from embryonic day 5 chicks were dissected in cold
- 10 phosphate buffered saline (pH 7.4; PBS), incubated in trypsin (0.25% in PBS; Gibco) and the tissue dissociated by passing it several times through a 1.0 ml pipette tip. Cells were layered onto a 6.8% metrizamide (Serva) cushion, centrifuged at 500g. The cell layer at the
- 15 interface, containing predominantly motoneurons, was collected. Motoneurons were plated onto 12 mm glass coverslips (Fisher) that were initially coated with poly-ornithine (1 μ g/ml; Sigma), washed extensively with dH₂O and subsequently coated with laminin (20ug/ml; Gibco). A
- 20 culture medium containing Leibovitz's L15 media (Gibco) supplemented with sodium bicarbonate (625 μ g/ml), glucose (20 mM), progesterone (2 X 10⁻⁸ M; Sigma), sodium selenite (3 X 10⁻⁸ M; Sigma), conalbumin (0.1 mg/ml; Sigma), putrescine (10⁻⁴ M; Sigma), insulin (5 μ g/ml; Sigma) and
- 25 penicillin-streptomycin (Gibco) was used. Unless otherwise noted, 1 ml of complete media, with or without muscle extract (MEX) (20 μ g/ml; prepared as previously described; Bloch-Gallego et al., *Development* 111:221-232 (1991)), was added to the tissue culture wells that
- 30 contained a coverslip seeded with cells (1 X 10⁴ cells/coverslip). We have previously shown that motoneurons in culture become committed to die approximately 16 hours after culture in the absence of MEX (Oppenheim et al., *Dev. Biol.* 138:104-113 (1990)).
- 35 For these experiments, motoneurons were treated with control protease inhibitors or with peptide inhibitors of ICE (see "peptides" below). The calpain inhibitor Ed64

- 80 -

was purchased from Sigma (St. Louis, MO).) motoneurons were treated with the protease inhibitors every two hours between 14 and 24 hours in culture (the time when cells in the absence of MEX are dying). Treatment with the peptide inhibitor was accomplished by adding the appropriate concentration of peptide to the cells so that the final concentration in the well after the final application would be as indicated in the figures; no more than 0.5% of the total volume of media was added at any time. Aldehyde peptide inhibitors were diluted in dH₂O and chloromethylketone inhibitors were diluted in DMSO. After a total of three days in culture, cells were incubated with the monoclonal antibody SC1 (1:5 of supernatant in PBS; 15) for 1.5 hours at 37°C, washed with PBS, fixed with 10% formaldehyde in PBS and subsequently incubated with an FITC-labeled goat anti-mouse IgG secondary antibody (1:50 diluted in PBS; Fisher). After extensive washes with PBS, the cells were incubated with the fluorescent DNA intercalating dye, 4',6-Diamidino-2-phenylindole (DAPI; 1:100,000 in PBS; Sigma) and mounted with the aqueous mounting media Gel-Mount (Biomed). Surviving motoneurons were counted in 5 predetermined 40X objective fields. For a motoneuron to be considered viable, its cell body must be present in the field of view, exhibit uniform SC1 immunoreactivity on its surface membrane and possess a uniform, non-condensed DAPI stained nucleus.

Peptides. Two peptide inhibitors of ICE, Acetyl-Tyr-Val-Ala-Asp-aldehyde (Ac-YVAD-CHO) and Acetyl-Tyr-Val-Ala-Asp-chloromethylketone (Ac-YVAD-CMK) and two control peptide inhibitors, Acetyl-Leu-Leu-Arg-aldehyde (Ac-LLR-CHO; Leupeptin) and n-tosyl-Lys-chloromethylketone (Tos-Lys-CMK) were synthesized by Bachem Biosciences (King of Prussia, PA) and shown by thin layer chromatography and HPLC to be greater than 98% pure. Ac-YVAD-CHO is a reversible, competitive inhibitor of human ICE and has

- 81 -

been shown to inhibit ICE activity in intact monocytic cells (Thornberry et al., *Nature* 356:768-774 (1992)).

Methods for administration of peptides to examine effects on naturally occurring motoneuron cell death in vivo.

5 Although cell death of lumbar motoneurons occurs between E6 and E12, the peak period of death (i.e., the greatest number of pyknotic cells) occurs on E8 (Oppenheim et al., *J. Comp. Neurol.* 177:87-112 (1978)). For these experiments, embryos were given a single administration
10 of an agent on E8 0 hr and sacrificed 15 hours later. 400 μ g was chosen since this was the most effective dose tested (Fig. 13). Ac-YVAD-CMK and Tos-Lys-CMK were administered in a solution of DMSO/BSA, whereas Ac-YVAD-CHO and leupeptin (Sigma) were in BSA alone. The
15 solutions (50-100 μ l) were dropped onto the highly vascularized chorioallantoic membrane through a window in the shell. The control groups included both DMSO and BSA or vehicle alone. Embryos were killed and staged by the Hamburger-Hamilton series (Hamburger and Hamilton, *J. Morph.* 88:49-92 (1951)). The thoraco-lumbar spinal cord was dissected, fixed in Carnoy's or Bouin's fixative, processed for paraffin histology, serially sectioned (10-12 μ m) and stained with either thionin or hematoxylin and eosin. Pyknotic motoneurons were identified based on
25 criteria previously described (Chu-Wang and Oppenheim, *J. Comp. Neurol.* 177:33-58 (1978); Clarke and Oppenheim In *Methods in Cell Biology Series; Cell Death*; eds: Schwartz and Osborne. Academic Press. New York, NY. In press.) and were counted in every 10th or 20th section through
30 the entire lumbar enlargement. The total number of pyknotic cells were then estimated by multiplying these values by 10 or 20. All cell counts were performed by individuals blinded with regard to drug treatment of the embryos.

- 82 -

Methods for limb bud removal experiments. A unilateral limb bud removal was performed on E2 as described previously (Oppenheim et al., *J. Comp. Neurol.* 177:87-112 (1978)). Because induced cell death following limb removal begins on E5 (before that there is no difference in the number of motoneurons between the operated or unoperated sides) embryos were given one treatment of Ac-YVAD-CHO (40 μ g) or BSA (control) at E5-0 hr and another at E5-12 hours (total peptide administered was 80 μ g). Animals were killed at E6-0 hr. Methods were the same as described above except the section thickness was 6-8 μ m (see Method for Administration of Peptides, above).

Methods for limb bud interdigital regions. Embryos were treated with 100 μ g of peptide or vehicle in 50 μ l on E6.0 and on E7.0 (total 200 μ g) as described above (Chuwang and Oppenheim, *J. Comp. Neurol.* 177:33-58 (1978); Clarke and Oppenheim In *Methods in Cell Biology Series; Cell Death*; eds: Schwartz and Osborne. Academic Press. New York, NY. In press.) and killed at E7.5. The footpads were placed in Bouin's fixative and processed as described above (see Method of Limb Bud Removal, above). Pyknotic cells in all interdigital regions were counted in every 10th section (6-8 μ m) of serial transverse sections through the entire footpad.

- 83 -

Table 1
Sites of Mutations in the ced-3 Gene

<u>Allele</u>	<u>Mutation</u>	<u>Nucleotide</u>	<u>Codon</u>	<u>Consequence</u>
n1040	C to T	2310	27	L to F
n718	G to A	2487	65	G to R
n2433	G to A	5757	360	G to S
n1164	C to T	5940	403	Q to termination
n717	G to A	6297	-	Splice acceptor loss
n1949	C to T	6322	412	Q to termination
n1286	G to A	6342	428	W to termination
n1129	C to T	6434	449	A to V
n1165	C to T	6434	449	A to V
n2430	C to T	6485	466	A to V
n2426	G to A	6535	483	E to K
n1163	C to T	7020	486	S to F

Nucleotide and codon positions correspond to the numbering in Figure 3.

- 84 -

Table 2
ced-3-lacZ Fusions Which
Prevent Programmed Cell Death

<u>Strain Name</u>	<u>Construct</u>	<u>Average #</u> <u>Extra Cells</u>	<u>Number</u> <u>of Animals</u>
N2 (wild-type)	-	0.1	40
nEx 121	PBA	2.0	23
nEx 70	PBA	2.4	31
nEx 67	BGAFQ	2.1	18
nEx 66	BGAFQ	2.1	25

- 85 -

Table 3
Summary of Transformation Experiments
Using Cosmids in the ced-3 Region

<u>Cosmid</u> <u>injected</u>	<u>No. of non-Unc</u> <u>transformants</u>	<u>Ced-3</u> <u>phenotype</u>	<u>Strain name</u>
C43C9; C14G10	1	-	MT4302
W07H6; C14G10	3	-	MT4299
		-	MT4300
		-	MT4301
C48D1; C14G10	2	+	MT4298
		+	MT4303

Animals injected were of genotype: *ced-1(e1735); unc-31(e929)*
ced-3(n717).

- 86 -

Table 4

The expression of ced-3(+) transformants

Genotype	DNA injected	Average No. cell deaths in L1 head	No. Animals scored
<i>ced-1</i>	-	23	20
<i>ced-1; ced-3</i>	-	0.3	10
<i>ced-1; nIS1</i> <i>unc-31 ced-3</i>	C48D1; C14G10	16.4	20
<i>ced-1; unc-31</i> <i>ced-3; nIS1/+</i>		14.5	20
<i>ced-1; unc-31</i> <i>ced-3; nEX2</i>	C48D1; C14G10	13.2	10/14
		0	4/14
<i>ced-1; unc-31</i> <i>ced-3; nEX10</i>	C48D1-28; C14G10	12	9/10
		0	1 of 10
<i>ced-1; unc-31</i> <i>ced-3; nEX9</i>	C48D1-28; C14G10	12	10
<i>ced-1; unc-31</i> <i>ced-3; nEX11</i>	C48D1-43 C14G10	16.7	10/13
		Abnormal cell deaths	3/13
<i>ced-1; unc-31</i> <i>ced-3; nEX13</i>	pJ40; C14G10	13.75	4/4

- 87 -

Table 4 continued

<i>ced-1; unc-31</i> <i>ced-3; nEX17</i>	pJ107del28, pJ107del134 C14G10	23	12/14
		0	2/14
<i>ced-1; unc-31</i> <i>ced-3; nEX18</i>	pJ107del28, pJ107del134 C14G10	12.8	9/10
		0	1/10
<i>ced-1; unc-31</i> <i>ced-3; nEX19</i>	pJ107del28, pJ107del134 G14G10	10.6	5/6
		0	1/6
<i>ced-1; unc-31</i> <i>ced-3; nEX16</i>	pJ107del12, pJ107del27 C14G10	7.8	12/12

Alleles of the genes used are *ced-1*(e1735), *unc-31*(e928), and *ced-3*(n717).

- 88 -

Table 6A
Pyknotic Lumbar Motoneurons on E8
 (15 hours post treatment)

<u>Control</u>	<u>ICE Inhibitors</u>		<u>Control Protease Inhibitors</u>	
	Ac-YVAD-CHO	Ac-YVAD-CMK	Leupeptin	Tos-Lys-CMK
286±37 (20)	146±35* (8)	216±27** (10)	310±40 (20)	285±31 (20)

*p≤0.001; **p≤0.01

Embryos were treated as described and results are expressed as mean ± SD. Multiple t-tests were performed with the Bonferroni correction. P-values were the same for comparisons of Ac-YVAD-CHO or Ac-YVAD-CMK with control, Ac-LLR-CHO or Tos-Lys-CMK treated animals. The number in brackets represents the n for each group.

- 89 -

Table 6B
Pyknotic and Healthy Lumbar Motoneurons on E9
(24 hours post treatment)

<u>Control</u>	<u>ICE Inhibitors</u>		<u>Control Protease Inhibitors</u>	
	<u>Ac-YVAD-CHO</u>	<u>Ac-YVAD-CMK</u>	<u>Leupeptin</u>	<u>Tos-Lys-CMK</u>
Pyknotic Motoneurons				
316±47 (21)	150±30** (6)	200±33** (6)	297±51 (20)	345±39 (22)
Healthy Motoneurons				
13,605±890 (20)	15,680±684** (6)	16,231±755* (7)	14,117±971 (18)	13,257±773 (19)

*p≤0.001; **p≤0.01

Embryos were treated as described (17) except that they were killed on E9-0 hr (24 hours after treatment), results are expressed as mean ± SD. Multiple t-tests were performed with the Bonferroni correction. P-values were the same for comparisons of Ac-YVAD-CHO or Ac-YVAD-CMK with control, Ac-LLR-CHO or Tos-Lys-CMK treated animals. The number in brackets represents the n for each group.

- 90 -

Table 7
Pyknotic and Healthy Lumbar Motoneurons on
E6 Following Limb Bud Removal.

	<u>Ipsilateral</u>		<u>Contralateral</u>	
	Control	Ac-YVAD-CHO	Control	Ac-YVAD-CHO
Pyknotic Motoneurons	375±74* (6)	317±89* (6)	73±16 (6)	67±13 (6)
Healthy Motoneurons	11,371±1780* (6)	11,109±1592* (6)	18,455±1661 (6)	17,914±1733 (6)

* $p \leq 0.001$ Ipsilateral vs Contralateral

Embryos were treated as described and results are expressed as mean \pm SD. Multiple t-tests were performed with the Bonferroni correction. The aldehyde peptide inhibitor of ICE, Ac-YVAD-CHO had no survival promoting effect as compared to the unoperated contralateral side. The number in brackets represents the n for each group.

- 91 -

Table 8
Pyknotic Cervical Motoneurons on E4.5.

<u>Control</u>	<u>ICE Inhibitors</u>		<u>Control Protease Inhibitor</u>
	Ac-YVAD-CHO	Ac-YVAD-CMK	Leupeptin
24.1±3.1 (7)	25.9±3.4 (6)	26.7±2.2 (6)	32.5±7.9 (3)

Embryos were treated as described and results are expressed as mean ± SD. Multiple t-tests were performed with the Bonferroni correction. The peptide inhibitors of ICE, Ac-YVAD-CHO or Ac-YVAD-CMK had no survival promoting effects on cervical motoneurons as compared to control or Ac-LLR-CHO treated animals. The number in brackets represents the n for each group.

- 92 -

TABLE 9. Pyknotic Cells in the Early Neural Tube.

<u>Floor Plate</u>			<u>Dorsal Spinal Cord</u>	
<u>Control</u>	<u>ICE Inhibitors</u>		<u>Control</u>	<u>ICE Inhibitors</u>
	Ac-YVAD-CHO	Ac-YVAD-CMK		Ac-YVAD-CHO Ac-YVAD-
CMK				
0.898	1.115	0.872	1.322	1.350
1.372				
±0.103	±0.246	±0.201	±0.471	±0.297
±0.235				
(6)	(6)	(5)	(6)	(6)
(5)				

Embryos were treated as described and results are expressed as mean \pm SD. Multiple t-tests were performed with the bonferroni correction. The peptide inhibitors of ICE, Ac-YVAD-CHO or Ac-YVAD-CMK, had no survival promoting effects on floorplate or dorsal spinal cord cells as compared to control animals. The number in brackets represents the n for each group.

Equivalents

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims. For example, functional equivalents of DNAs and RNAs may be nucleic acid sequences which, through the degeneracy of the genetic code, encode the same proteins as those specifically claimed. Functional equivalents of proteins may be substituted or modified amino acid sequences, wherein the substitution or modification does not change the activity or function of the protein. A "silent" amino acid substitution, such that a chemically similar amino acid (e.g., an acidic amino acid with another acidic amino acid) is substituted, is an example of how a functional equivalent of a protein can be produced. Functional equivalents of nucleic acids or proteins may also be produced by deletion of nonessential sequences.

- 94 -

CLAIMS

1. A method of making a medicament for the inhibition of protein, said protein selected from the group consisting of Ced-3 and a protein which belongs to the Ced-3/ICE family, said medicament for use in a method comprising administering an inhibitor of an asp-ase.
2. A method of making a medicament for the inhibition of cell death, said medicament for use in a method comprising administering a therapeutically effective dosage of an inhibitor of an asp-ase.
3. The medicament of claim 1 or 2, wherein said asp-ase is a member of the ced-3/ICE family.
4. The medicament of claim 3, wherein said member of ced-3/ICE family is interleukin-1 β convertase.
5. The medicament of claim 1, wherein said method reduces cell deaths.
6. The medicament of claim 1 or 2, wherein said inhibitor is a peptide aldehyde containing the amino acid sequence Tyr-Val-X-Asp, wherein X is selected from the group consisting of Ala, His, Gln, Lys, Phe, Cha, and Asp.
7. The medicament of claim 1 or 2, wherein said inhibitor is Ac-Tyr-Val-Ala-Asp-CHO, also referred to as inhibitor B.
8. The medicament of claim 1, wherein said inhibitor is the cowpox virus CrmA protein.
9. The medicament of claim 1, wherein said inhibition is in a mammal diagnosed with a cell death

- 95 -

condition, said method further comprising administering to said mammal a therapeutically effective amount of an inhibitor of interleukin-1 β convertase.

10. The medicament of claim 9, wherein said mammal is a human.

11. The medicament of claim 9, wherein said condition is neural degeneration.

12. The medicament of claim 11, wherein said condition is a motoneuron condition.

13. The medicament of claim 12, wherein said motoneuron condition is a spinal cord injury.

14. The medicament of claim 13, wherein said motoneuron condition is amyotrophic lateral sclerosis.

15. The medicament of claim 9, wherein said condition is Parkinsonism, Huntington's disease, or spinocerebellar degeneration.

16. The medicament of claim 15, wherein said spinocerebellar degeneration is cerebello-olivary degeneration of Holmes or Friedreich's ataxia.

17. The method of claim 9, wherein said condition is myocardial infarction, stroke, traumatic brain injury, pathogenic infection, or hair loss.

18. A diagnostic probe for a disease characterized by cell deaths, comprising a molecule selected from the group consisting of:

- a) all or a portion of the *ced-3* gene (Seq. ID #1) which is specific to said *ced-3* gene;
- b) RNA encoded by the *ced-3* gene;

- 96 -

- c) degenerate oligonucleotides derived from the amino acid sequence of the Ced-3 protein (Seq. ID #2);
- d) an antibody directed against the Ced-3 protein;
- e) all or a portion of the ICE gene (Seq. ID #3) which is specific to said ICE gene;
- f) RNA encoded by the ICE gene;
- g) degenerate oligonucleotides derived from the amino acid sequence of ICE (Seq. ID #4);
- h) an antibody directed against ICE;
- i) a gene which is structurally related to the ced-3 gene, or portion thereof specific to said structurally related gene;
- j) RNA encoded by the structurally related gene;
- k) degenerate oligonucleotides derived from the amino acid sequence of the protein product of a gene which is structurally related to ced-3; and
- d) an antibody directed against the protein product of a gene which is structurally related to ced-3.

19. A medicament for use in the diagnosis of a disease characterized by cell deaths, said medicament comprising a kit for the detection of an abnormality in the sequence of a gene which is structurally related to ced-3.

20. The medicament of claim 19, wherein the structurally related gene is ICE.

21. A medicament for diagnosis of a disease characterized by cell deaths, said medicament comprising a kit for detecting an abnormality in the activity of a gene which is structurally related to ced-3.

- 97 -

22. The method of claim 21, wherein the structurally related gene is ICE.

23. A diagnostic probe for an inflammatory disease, comprising a molecule selected from the group consisting of:

- a) all or a portion of the *ced-3* gene shown in Figure 3 (Seq. ID #1) which is specific to the *ced-3* gene;
- b) RNA encoded by (a);
- c) degenerate oligonucleotides derived from the amino acid sequence of the Ced-3 protein as shown in Figure 6A (Seq. ID #2);
- d) an antibody directed against the Ced-3 protein;
- e) a gene which is structurally related to the *ced-3* and ICE genes, or portion thereof which is specific for said related gene;
- f) RNA encoded by (a);
- g) degenerate oligonucleotides derived from the amino acid sequence of the protein encoded by (e); and
- h) an antibody directed against the protein encoded by (e).

24. A medicament for diagnosis of an inflammatory disease, said medicament comprising a kit for detecting an abnormality in the sequence of a gene which is a member of the *ced-3*/ICE gene family.

25. The medicament of claim 24, wherein the gene is *ced-3*.

26. A medicament for use in a method for diagnosis of an inflammatory disease, said method comprising detecting an abnormality in the activity of a

- 98 -

gene which belongs to the *ced-3*/ICE gene family, or an encoded product thereof.

27. The method of claim 26, wherein the gene is *ced-3*.

28. An isolated substrate-specific protease having the amino acid sequence of the Ced-3 protein shown in Figure 6A (Seq. ID #2).

29. An isolated substrate-specific protease, consisting essentially of a protein product of a gene which is structurally related to the *ced-3* and ICE genes.

30. The protease of claim 29 which cleaves after aspartate residues.

31. The protease of claim 29 which is a cysteine protease.

32. Isolated ICE having an alteration which reduces the activity of the enzyme, the alteration selected from the group consisting of:

- a) L to F at amino acid 26;
- b) G to R at amino acid 65;
- c) G to S at amino acid 287;
- d) E to termination at amino acid 324;
- e) W to termination at amino acid 340;
- f) A to V at amino acid 361;
- g) E to K at amino acid 390; and
- h) T to F at amino acid 393.

33. Isolated DNA which is a mutated ICE gene encoding the altered enzyme of claim 32.

34. Isolated RNA encoded by the DNA of claim 33.

- 99 -

35. An isolated gene belonging to the *ced-3*/ICE family of structurally related genes which has a mutation which reduces the activity of the gene, said mutation resulting in an amino acid alteration corresponding to an amino acid alteration of the Ced-3 protein which inactivates the Ced-3 protein.

36. A product of the gene of claim 35 selected from RNA and protein.

37. A constitutively activated cell death protein comprising an amino acid sequence of the Ced-3 protein shown in Figure 6A (Seq. ID #2), selected from the group consisting of:

- a) the amino acids from approximately 150 to 503;
- b) the amino acids from approximately 373 to 503;
- c) the amino acids from approximately 150 to 372;
- d) (b) and (c) together;
- e) an active subportion of (a), (b), and (c); and
- f) combinations of these.

38. The constitutively activated cell death protein of claim 37, further comprising a subportion of the region of Ced-3 from amino acids 1 to 149, as shown in Figure 6A (Seq. ID #2), said subportion which enhances and does not inhibit the activity of the protein.

39. Isolated nucleic acid encoding the protein of claim 37.

40. A constitutively activated cell death protein having an amino acid sequence of ICE shown in Figure 6A (Seq. ID #4), selected from the group consisting of:

- 100 -

- a) the amino acids from approximately 111 to 404;
- b) the amino acids from approximately 298 to 404;
- c) the amino acids from approximately 111 to 297;
- d) (b) and (c) together;
- e) an active subportion of (a), (b), and (c); and
- f) combinations of these.

41. Isolated nucleic acid encoding the protein of claim 40.

42. A drug for increasing cell deaths, comprising a molecule selected from the protein of claim 37 or a nucleic acid encoding said protein.

43. A drug for increasing cell deaths, comprising a molecule selected from the protein of claim 37 or a nucleic acid encoding said protein.

44. A method for identifying a gene which is structurally related to the *ced-3* gene and the ICE gene, comprising detecting a gene with:

- a) a probe derived from the *ced-3* gene or a product encoded by the *ced-3* gene; and
- b) a probe derived from the ICE gene or a product encoded by the ICE gene.

45. An isolated gene identified by the method of claim 44.

46. A method for identifying a gene which belongs to the *ced-3*/ICE family of structurally related genes, comprising detecting a gene with a probe selected from the group consisting of:

- 101 -

- a) a probe derived from a gene which is structurally related to the *ced-3* gene and the ICE gene; and
- b) a probe derived from the consensus sequence of a conserved region in genes belonging to the *ced-3*/ICE gene family.

47. An isolated gene identified by the method of claim 46 which has an activity selected from cell death activity and protease activity.

48. Isolated DNA selected from the group consisting of:

- a) a region of a gene belonging to the *ced-3*/ICE family of structurally related genes which is conserved among two or more family members; and
 - b) the consensus sequence of a conserved region in genes belonging to the *ced-3*/ICE gene family,
- or encoded product thereof.

49. A method for identifying a gene which interacts with a *ced-3*/ICE gene belonging to said family, comprising identifying a mutation which enhances or suppresses the activity of a *ced-3*/ICE gene in a nematode, wherein the enhancing or suppressing mutation is indicative of a gene which interacts with the *ced-3*/ICE gene.

50. The method of claim 49, wherein the *ced-3*/ICE gene is selected from the group consisting of:

- a) a wild-type *ced-3* gene;
- b) a mutated *ced-3* gene, the nematode being a mutant nematode;
- c) a transgene which is a wild-type form of said *ced-3*/ICE gene, the nematode being a

- 102 -

- transgenic nematode having an inactivated endogenous *ced-3* gene; and
- d) a transgene which is a mutated form of said *ced-3/ICE* gene, the nematode being a transgenic nematode having an inactivated endogenous *ced-3* gene.

51. An isolated gene identified by the method of claim 49, or an encoded product thereof.

52. A bioassay for identifying an agent which affects the activity of a gene belonging to the *ced-3/ICE* family of structurally related genes, comprising the steps of:

- a) introducing an agent into a transgenic nematode which expresses a *ced-3/ICE* gene; and
- b) detecting an alteration in the occurrence of cell deaths in the transgenic nematode, wherein an alteration indicates that the agent affects the activity of the *ced-3/ICE* gene.

53. The method of claim 52, wherein the *ced-3/ICE* gene is selected from a wild-type gene and a mutated gene.

54. An agent identified by the method of claim 52.

55. Isolated protein having cell death activity and the amino acid sequence of the NEDD-2 protein shown in Figure 6B (Seq. ID #13), or an active portion thereof.

56. Isolated nucleic acid encoding the protein of claim 54.

- 103 -

57. Isolated protein which is the NEDD-2 protein having an alteration which inactivates the protein, said alteration selected from the group consisting of:

- a) A to V at amino acid 117;
- b) C to A at amino acid 303;
- c) C to S at amino acid 303;
- d) E to K at amino acid 483; and
- e) S to F at amino acid 486.

58. The isolated protein of claim 57, wherein said alteration is C to A or C to S at amino acid 303.

59. Isolated nucleic acid encoding the protein of claim 57.

60. Isolated protein which is structurally similar to Ced-3 and has an alteration at a conserved amino acid corresponding to an amino acid of the Ced-3 protein selected from the group consisting of:

- a) Ser 183; b) Met 234; c) Arg 242; d) Leu 246;
- e) Ile 247; f) Ile 248; g) Asn 250; h) Phe 253; i) Arg 259; j) Gly 261; k) Asp 265; l) Gly 277; m) Tyr 278; n) Val 280; o) Lys 283; p) Asn 285; q) Leu 286; r) Thr 287;
- s) Met 291; t) Phe 298; u) His 304; v) Asp 306; w) Ser 307; x) Leu 310; y) Val 311; z) Ser 314; aa) His 315; bb) Gly 316;
- cc) Ile 321; dd) Gly 323; ee) Ile 334; ff) Asn 339;
- gg) Pro 344; hh) Leu 346; ii) Lys 349; jj) Pro 350;
- kk) Lys 351; ll) Gln 356; mm) Ala 357; nn) Cys 358;
- oo) Arg 359; pp) Gly 360; qq) Asp 371; rr) Asp 414;
- ss) Arg 429; tt) Gly 434; uu) Ser 435; vv) Ile 438;
- ww) Ala 449; xx) Val 452; yy) Leu 488; aa) Tyr 493;
- and aaa) Pro 496.

61. The isolated protein of claim 60, wherein said alteration is in an amino acid corresponding to Cys 385 of Ced-3.

- 104 -

62. The protein of claim 61, wherein said alteration is a Cys to Ala alteration.

63. The isolated protein of claim 61, wherein said protein is ICE and said alteration is at conserved amino acid 285 of said ICE.

64. The isolated protein of claim 61, wherein said protein is NEDD-2 and said alteration is at conserved amino acid 303 of said NEDD-2.

65. Isolated nucleic acid encoding the protein of claim 60.

66. A medicament for use in a method of preventing cell death, said medicament including a polypeptide of claim 60 and said method comprising administering said polypeptide.

67. The medicament of claim 62, wherein said administering is to a patient and said polypeptide is provided at a therapeutically effective dose.

68. A medicament for use in a method of preventing cell death, said medicament comprising the isolated nucleic acid of claim 65, and said method comprising administering a therapeutically effective amount of said isolated nucleic acid

1 / 2 8

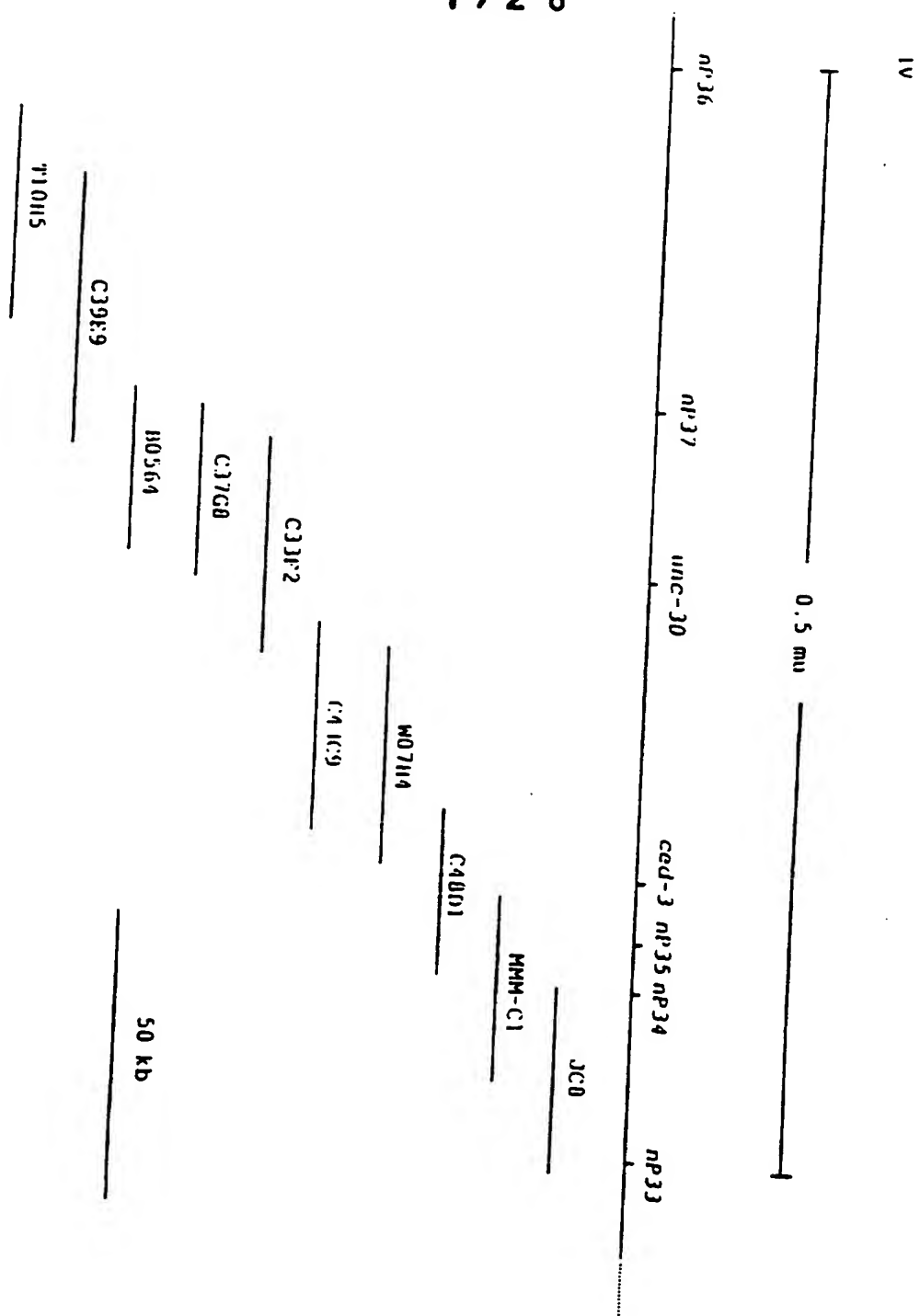
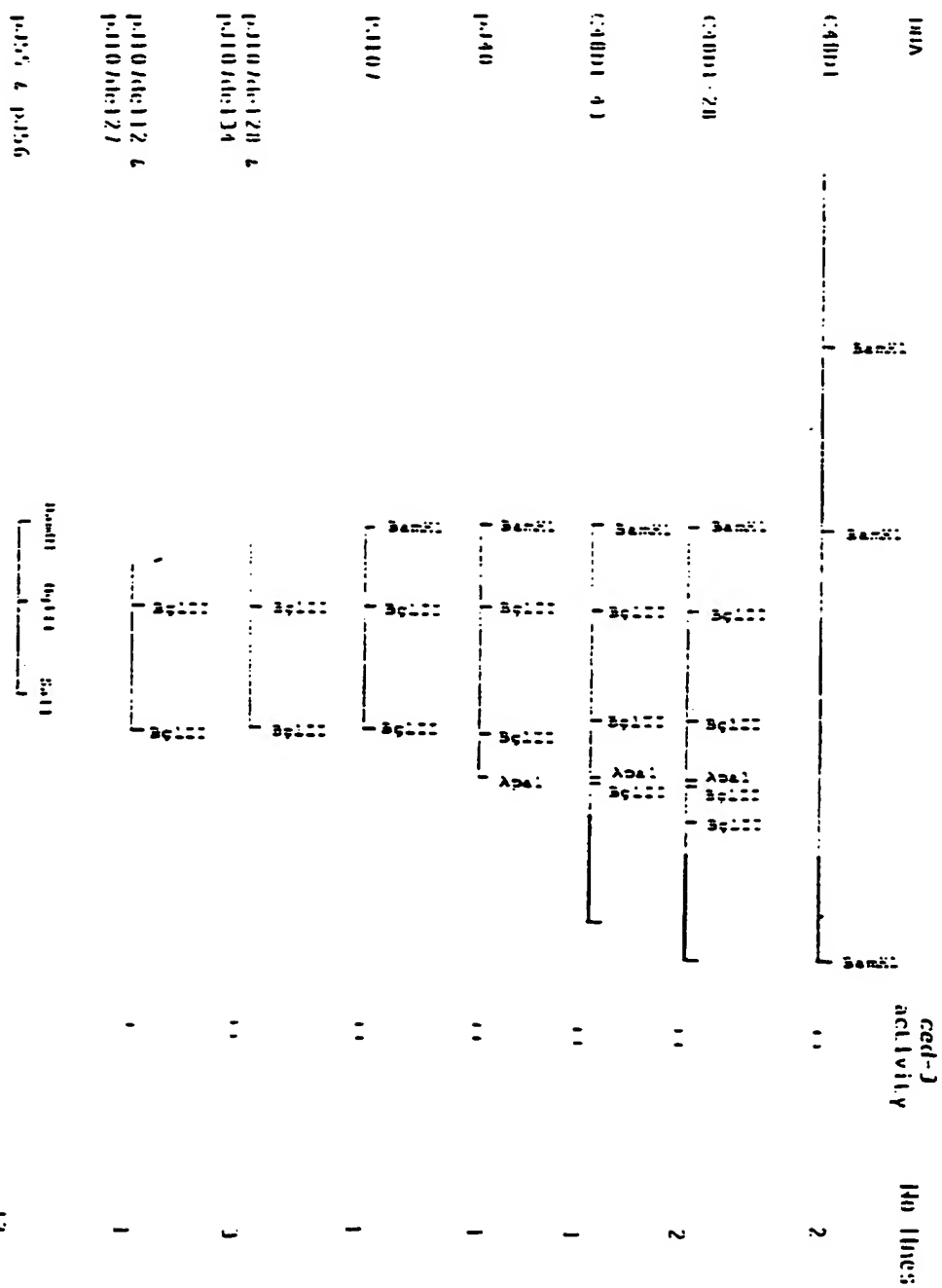


FIGURE 1

5 b1.



3 / 2 8

ced-3 Genomic Sequence

```

1  AGATCTGAAATAAGGTGATAAAATTAATAAATTAAGTGTATTTCTGAGGAAATTTGACTGT
61  TTTAGCACAATTAATCTTTGTTTCAAGAAAAAAGTCCAGTTTTCTAGATTTTTCGGTCTTA
121  TTGTGGAATTAATATCCCTATTATCACTTTTCATGCTCATCTCTGAGCGGACGGTCCCTC
181  AAAGAAATTGTGAGAGCAAAACGGGCTCCCATTTGACCTCCACACTCAGCCGCCAAAAACAAC
241  GTTCGAACATTGGTGTGTTGTGCTCCTTTTCGGTTATCTTGCACTCATCTTTTGTCTTT
301  TTTTCTTTGTTCTTTTGTGAACTGTTGCTAAGCAATTATTACATCAATTGAAGAAAA
361  GGCTCGCCGATTTATTGTTGCCAGAAAGATTCTGAGATTCTGGAAGTCSATTTTATAATA
421  TTTAACCTTGGTTTTCGCAATTGTTTGGTTTAAAAAAACCACTGTTTATGTGAAAAACGAT
481  TAGTTTACTAATAAACTACTTTTAAACCTTTACCTTTACGTCACCGCTCCGTGTTTCATG
541  GCTCATAGATTTTCGATACTCAAATCCAAAAATAAATTTACGAGGGCAATTAATGTGAAA
601  CAAAAACAATCCTAAGATTTCCACATGTTTGACCTCTCCGGACCTTTCTTCCTTAGCCGC
661  ACCACTCCATCACCTCTTTGGCGGTGTTCTTCGAAACCCACTTAGGAAAGCAGTGTGTAT
721  CTCATTTGGTATGCTCTTTTCGATTTTATAGCTCTTTGTGCGAATTTCAATGCTTTAAAC
781  AATCCAAATCGCATTTATAATTTGTGCATGGAGGCAAAATGACGGGTTTGGAAATCTTAGATGA
841  GATCAGGAGCTTTGAGGTTAAACGGCGGTTTCAATTTGTACCACATTTTCATCTTTTCTT
901  GTGGTCTTTGGTATCCTCAACTTTGTCCGGTTTGTGTTTGGGTACACTTTTCCGTGATGC
961  CACCTGTCTCCGTCTCAATTTATGTTTAGAAATGTGAAGTGTCCAGATGGGTGACTGATA
1021  TTGCTGCTGCTACAATCCACTTTCTTTCTCATCGGCACTTTACGAGCCCATCATAAAC
1081  TTTTTTTTCGGCGAAATTTGCAATAAACGGGCAAAAACCTTTCTGCAAAATGTTACGCCAA
1141  TATATACAATCCATAAGAATATCTTTCTCAATGTTTATGATTTCTTCCAGCACTTTCTCT
1201  TCGTGTGCTAACATCTTATTTTATAATATTTCCGGTAAAAATTCGATTTTTCAGTATTA
1261  ATTTATCGTAAAAATTAATAATAGCACCGAAAACTACTAAAAATGGTAAAGCTCTTTT
1321  TAAATCGGCTCGACATTAATCTATTAAGGAATCAGAAAAATCTGAGAAATGGTACTCTGC
1381  AACATATTTGACGGCAAAATATCTCGTAGCGAAAACTACAGTAATCTTTAAATGACTAC
1441  TGTAGCGCTTTGTGTGATTTACGGGCTCAATTTTGAATAATAATTTTTCGAAATTT

```

Repeat 1

Repeat 1

FIGURE 3

4 / 2 8

```

1501 TGATAACCCGTAATCGTCACAACGCTACAGTACTCATTAAAGGATTACTGTAGTTCTA
-----
1560
1561 GCTACGAGATATTTGCGCGCCAAATATGACTGTAAACGCAATCTCTGAATTTGTGT
-----
1620
1621 TCGTAATAATTTACAAGATTTTGCATTCCACTTAAAGCGCACAGGATTTATTCGA
-----
1680
1681 ATCGGCTCTCGGACGCAAAAAGTTTGATAGACTTTAAATTCTCCTTGCATTTTAATTC
-----
1740
1741 AATTACTAAAATTTTGGTGAATTTTGTATAAAATTTTAAATCAGTTTCTAATAT
-----
1800
1801 TTCCAGGCTGACAAACAGAAACAAAAACACAAACATTTAAATCAGTTTCAAAAT
-----
1860
1861 TAAAAATAACGATTTCTCATTGAAAATTTGTGTTTATGTTTGGGAAATAAAGAGAACT
-----
1920
1921 GATTCAAAACAAATTTAACAAAAAAAGCCCAAAATTCGCCAGAAATCAAGATAAAAA
-----
1980
1981 TTCAAGAGGCTCAAAATTTTGGGATTTACTGACTTTCACCTTTTTCGTAGTTTCACT
-----
2040
2041 GCAGTTGTTGAGTTTTTCACGAAACTAGGAAAAAATCGATAAAATTAAGTCAAAATCG
-----
2100
2101 AGCTGAATTTTGGGCAATGTTTAAAAAAAACATAATTTTCCAAATATTCAGTCAT
-----
2160
-----
2161 TTTCAGACTAAATCGAAAATCAAAATCTACTCTGACTACGGGTCAGTAGAGAGGTCACCC
-----
2220
2221 ATCAGCCGAAAGATGATGCTCAAGATAGAAAGGAGTTTCTAGAGAGGAACATTATGATGT
-----
2280
      M H R Q D R R S L L E R N I M H F
      1
      T (n1040)
      10
2281 TCTCTAGTCTCTAAAAGTGGATGAAATCTCGAGTTCTCATCGCAAAACAAGTGTTCGA
-----
2340
      S S H L K V D E L E V L A K Q V L N
      20
      30
      1 insert 1
2341 ATAGTGATAATGGAGATATGATTAATGTGAGTTTAAATCGAATAATAATTTAAAAAA
-----
2400
      S D N G D M L N
      40
2401 AATTGATAATATAAAGAAATTTTTCAGTCTATGGAACGGTTTGGGAGAAGAGACGGG
-----
2460
      S C G T V R E K R R E
      50
      A (n718)
2461 AGATGCTGAAAGCAGTGCACGACGCGGAGATGTGGGCTTGGAGCGGTTTATGATGCTC
-----
2520
      I V K A V Q R R G D V A F D A F Y D A L
      60
      70
2521 TTGCTCTACGGGACAGCAAGGAGTTTCTGAAAGTTTGAAGCTTTCGCCAGATCCTAGG
-----
2580
      R S T G H E G L A E V L E F L A R S
      80
      90

```

FIGURE 3 cont.

5 / 2 8

2581 TTTTAAAGTTCGGCGCAAAGCAAGGCTCTCAGGAAAAAGAGCGCGATCGTAAATTT
2641 GCAACCCACCGGCACGGTCTTCTCGGAAATCGGAAATTATGCACTTCCCAAATAT 2640
2701 TTGAAGTGAAATATATTTTACTGAAAGCTCGAGTGATTATTTATTTTAAACTA 2700
2761 ATTTCTGTCGGCGCAAAGGCCATTTGTAGATTGCCGAAATACCTGTCACACACACAC 2760
2820
2821 ACACACATCTCTTCAAATATCTCTTTCTCAGTGTGACTCGAATGCTGTGGAATTGGA 2880
V D S N A V E F E
100
2881 GTGTCCAATGTCCCGGCAAGCCATCGTCCGAGCCCGGCATTGAGCCCGCGCGGTACAC 2940
C P M S P A S H R R S R A L S P A G Y T
110 120
2941 TTCACCGACCGGAGTTCCCGGTGACAGCGTCTCTTCAAGTGTCACTTCATTCTTTATCA 3000
S P T R V H R D S V S S V S S F T S Y Q
130 140
3001 GGATATCTACTCAAGAGCAAGATCTCTTCTCGATCGCGTGCACCTTCATTTCATCGGATCG 3060
D Y S R A R S R S R A L H S S D R
150 160
3061 ACACAATTATTCATCTCTCTCACTCAACGGCATTTCCGAGCCAACTTGATGTTGATGCG 3120
H N Y S S P P V N A F P S Q P S
170
Repeat 1
3121 AACACTAAATTCGAGAATCGGCATTACTCAACATATTTGACGCGCAAATATCTCGTAGC 3180
3181 GAAAAATACAGTAACCGCTTAAATGACTATTGTAGTGTGATTTACGGGCTCGATTTTCG 3240
3241 AAACGAATATATGCTCGAATGTCACAACGAATTTAAATTGTCATTTTGTCTTTCTT 3300
Repeat 1
3301 TTGATATTTTGTCAATTAATTAATTTCTCGTAAACAGACACCGCGGTACAGTACT 3360
3361 GTTTTAAAGAGTTACAGTAGTTTCTGTTCAAGATATTTGAAAAGAAATTTAAACATTT 3420
3421 TGAAAAAATCATCTAACATGTGCGAAAACGGTTTTTCAAGTTTCCAGATTTTTCGA 3480

FIGURE 3 cont.

6 / 2 8

Repeat 2

3481CATTCAAGATATGCTTATTAAACATATAATTATCATTAAATGTGAATTTCTTG 3540

3541 TAGAAATTTGGGCTTTCTGTTCTAGTATGCTCTACTTTGAAATTGCTCAACGAAAAA 3600

3601 TCATGTGGTTTGTTCATATGAATGACGAAAAATAGCAATTTTATATATTTCCCTAT 3660

3661 TCATGTGTGTCAGAAAAATAGTAAAAAGCCCATGCAATTTTGCACATTTTACATCGA 3720

3721 ACCGACAGCTCACTTCACATGCTGAAGACGAGAGCGCGAGAAATACCACACATCTTCT 3780

Repeat 2

3781 <.....CGGTCTCTCGTCTTCAGCATGTGAAATGCGATCTCGGTCTGATGAAAAAATGTGGAATA 3840

3841 ATGTAAAAATGCAATGCGTTTCTTACACTTTCTGCCAATGAATAGGGGGAAATGT 3900

3901 ATTAAAAATACATTTTGTATTTTCAACATCACATGATTAAACCCCATTTTCTTCTGTT 3960

3961 GAGCAACTTAAAAAGTAGAGAAATTTAGAGCGAAAAACCAAAATTTCTTCAAGATATTACC 4020

4021 TTTATTGATAATTATAGATGTTAATAAGCATATCTTGAATGAAAGTCAGCAAAATATGT 4080

4081 GCGAAACACCTGAAAAAATCAAAATTTCTGCGAAATTTGAAAAATGCATTAAAAATACA 4140

4141 TTTTGCATTTTCTACATCACATGAATGTAGAAAAATTTAAAGGGAATCAAAATTTCTA 4200

4201 GAGGATATAATTGAATGAAACATTTCCGAAATTTAAATGTGCGAAACGTCAAAAAAGAGGA 4260

4261 AATTGGGTATCAAAATCGATCTTAAACCAACACATTTGAGCATCCGCGCACTTTTCAT 4320

S A N S S F
180

FIGURE 3 cont.

7 / 2 8

4321 TCACCGGATGCTCTCTCTCGGATACAGTTTCAAGTGTAACTGCTCATTGAGCAAAAGCTT 4380

T G C S S L G Y S S S R N R S F S K A S

190 200

4381 CTGGACCAACTCAATACATATTCATGAAGAGGATATGAACTTTGTCGATGCACCAACCA 4440

G P T Q Y I F H E E D M N F V D A P T I

210 220

4441 TAAGCCGTGTTTTTCGACGAGAAAAACCATGTACAGAAACTTCTCGAGTCTCTGCGAATGT 4500

S R V F D E K T M Y R N F S S P R G M C

230 240

4501 GCCTCATCATAAATAATGAACACTTTGACGAGATCCCAACACCGAATGCTACCAAGGCTCG 4560

L I I N N E H F E Q M P T R N G T K A D

250 260

4561 ACAAGGACAACTCTTACCAATTTCTTTCAGATGCATGGGCTATACGGTTATTTGCAAGGACA 4620

K D N L T N L F R C M G Y T V I C K D N

270 280

4621 ATCTGACGGGAAGGGTACGGCGAAATTATATTACCCAAACGGGAAATTTGCCATTTTGGC 4680

L T G R

Insertion 4

Repeat 2

4681 CCGAAAAATGTGGCGCCGGGTCTCGACAGCAATTTGTGTTAAATGCAAAAAATGTATAAT 4740

TTTGCAAAAAACAAAAATTTGAACTTTCCGGGAAATGATTTACCTAGTTTCGAAATTTTC

4741

GTTTTTTCGGGTACATTATGTGTTTTTTTAACTTTCTATAATATTTGATGTAAAAA 4800

4801

ACCGTTTGTAAATTTTCAGACAAATTTTCGGGTACAAAACTTGATAGCAGCAATCAATT 4860

4861

TTCTGAATTTTCAAAATTATCCAAAAATGCACAAATTTAAATTTGTGAAAAATGGCAAC 4920

4921

GGTGTTCATATGAAATGTATTTTAAAAACTTTAAAAACCACTCCGGAAAAAGCAATAA 4980

4981

AAATCAAAACAACGTCACAAATTCAAATTCAAAAGTTATTCATCCGATTTGTTTATTTTC 5040

5041

CAAAATTTGAAAAATCATGAAGGATTTAGAAAAAGTTTATAACATTTTCTAGATTTT 5100

5101

TCAAAATTTTAAAAATCGAGAAAAAGCAATGAAAAATCGATTTTAAAAATATCC 5160

5161

5220

Repeat 3

5221 ACAGCTTCGAGAGTTTGAAATTCAGTACTCTTAAAGGCGACACCCCATTTGCAATTGG 5280

5281 ACCAAAAATTTGTCTGTCTGAGACAGGTACCGTAACTTTGTCTGCAAAAAATTCACCAAT 5340

5341

TGGACAAATAAACCTTCTAATCAGCAAAAAAGTAAAAATTTGAAATTTTCGAAAAAGCCAAAA 5400

FIGURE 3 cont.

8 / 2 8

FIGURE 3 cont.

9 / 2 8

6241: ----- A(n717)
GGAGCGAAAGCCCCCTCTGTAAACATTTTAAATGATAATTAAATAAAATTTTGCAGCAA
----- C 6300

6301: ----- T(n1949)
GTGTGGAGAAAGAGCCGAGCCAAAGCTGACATTCTGATTGATACGGCAACGACAGCTCAA
----- 6360
V W R K K P S Q A D I L I R Y A T T A C
410 420

6361: ----- A(n1286)
TATGTTTCTGTGGAGAAACAGTGTCTGTGATCATGTTCAATTCAGCCCTCTGTGAAGT
----- 6420
Y V S W R N S A R G S W F I Q A V C E V
430 440

6421: ----- T(n1129,n1164)
TTCTGACACACGCAAGGATATGGATGTTTGTGAGCTGCTGACTGAAGTCAATAAGAG
----- 6480
F S T H A K D M D V V E L L T E V N K K
450 460

6481: ----- T(n2430) A(n2426)
GTGGCTTGTGATTTTACAGACATCACAGGGATCGAATATTTGAAACAGATGCCAGAGGTA
----- I I Inten 7
V A C G F Q T S C G S N I L K Q M P E 6540
470 480

6541: ----- Repeat 5
CTTGAACAAACAATGCATGCTTAACCTTTAAGGACACAGAAAAATAGCCAGAGGCTCT
----- 6600

6601: -----
TTTGCAAGCTTGGCGGGCTCACCTAGAAATTTAGTTTACCTAAAATGATTGATTT
----- 6660
GAATATTTTATGCTAAATTTTGGCTTAAATTTGAAATAGTCACTATTTATCGGTT
6661: -----
CCAGTAAAAAATGTTTATTAGCCATTGGATTTTACTGAAACGAAAAATTTGTAGTTT
----- 6720
6721: -----
AACGAAATTTATCGATTTTAAATGTAAAAAATAGCGAAATTTACATCAACCATCAA
----- 6780
6781: -----
GCATTTAGCGAAAAATTTTAACTCATTTAAAAATTAATTCAAAGTTTCTCCAGAGTATT
----- 6840
6841: ----- 6900

6901: ----- Repeat 5
ACACGGTTGGCGGGCGCAAGTTTGCAAAAAGAGGCTGGCGCTTTTCTGTGCGGCTT
----- 6960

6961: ----- T(n1163)
GAAACAAAGGATGGCTTTAGATTTTCCCAAAATTTAAATTTAAATTTGAGATGACAT
----- 7020
M T S

FIGURE 3 cont.

10/28

7021 CCGCCTGCTCAAAAAGTTCTACTTTTGGCCGGAGCGAGAACTCTGCCCTGTAAAATTC

R L L K K F Y F W P E A R N S A V . 7080
490 500

7081 ACTCGTGATTCAATGCCCAATTGATAATTGTGTATCTTTCTCCCCAGTTCTCTTTCCG

7141 CCAATTAGTTTAAAACCATGTGTATATTGTATCTTACTCATTTCACTTTATCATTC

7141 ATCATTCTCTTCCCATTTTCAACATTTCCATTTCTCTACGATAATCTAAAATTATGAC

7201 GTTGTGTCTCGAACGCATAATAATTTTAACTCTTTTGAAATTTGATTAGTTGTGT

7261 GCGCAGTATATATGTATGTACTATCTTCTATCAACAAATAGTTTCATAGATCATCACC

7321 CCAACCCCAACCAACGTACCGTACCATATTCATTTTCCCGGGAATCAATTTGGATTAAAT

7381 TTAACCTATTTTCCGCACAAAAATCTAATATTTGAATTAACGAATAGCATTCGCATC

7441 TCTCCCGTCCCGGAATGCTTCCCGGCTTTTAAAGTTGGGAACATTTGGCAATTATGTA

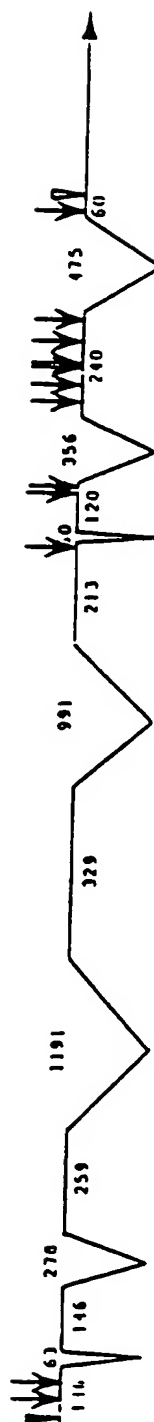
7501 AAATTTGTAGGTCCCCCCTCATTTCCCGGCTCATCTCAAAATTCATTCTTTTTCG

7561 CCGTGATATCCCGATTCTGGTCAGCAAGATCT

7621 ----- 7653

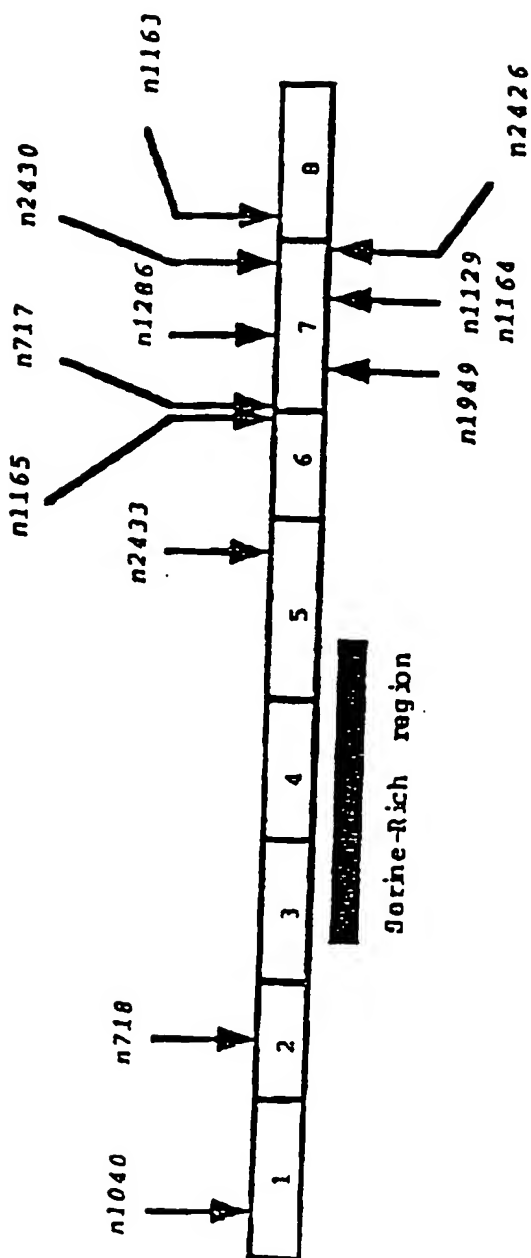
FIGURE 3 cont.

FIGURE 4A



12 / 2 8

FIGURE 4B

***ced-3* Mutations are Clustered**

13 / 28

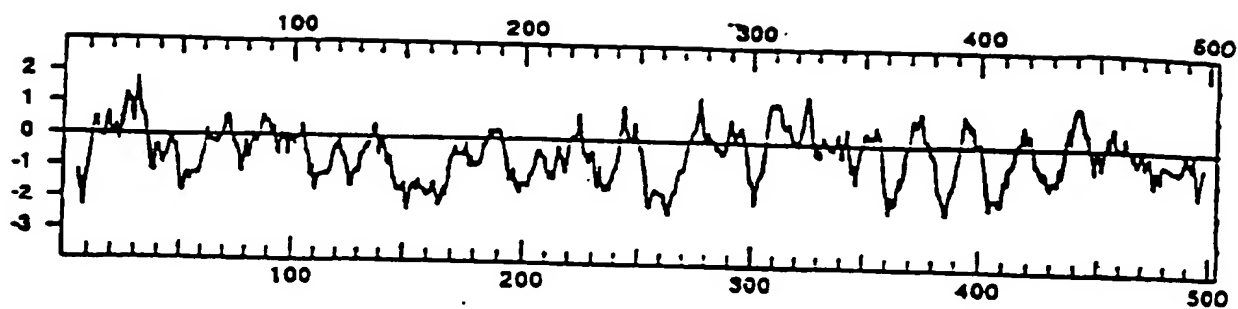


FIGURE 5

14 / 2 8

FIGURE 6A

Alignment of Ced-3 and Human Interleukin-1 β Convertase

```

ICE      1  MADKVLKEKRKLFIRSM....GEGTINGLLDELLQTRVLNKEEMKVKRE
          : : : : | : | : | : : : : : : : | : : : : : : : : : :
Ced-3    1  ...MMRQORRSLLERNIMMFSSHLKVDEILEVLIAKQVLNSDNGDMIN.S
          : : : : | : | : | : : : : : : : | : : : : : : : : : :
          : F
BGAFAQ
PBA      -----

          47  NATVMDKTRALIDSVIPKGAQACQ.ICITYICEEDSYLAGTLGLSADQTS
          : : | : : | : : : : | : : | : : : : : : : | : : | : :
          47  CGTVREKRREIVKAVQRPQDVAFDAFYDALRSTGHEGLAEVLEPLARSVD
          : : : : | : | : | : : : : : : : | : : | : :
          : R
BFAFAQ
PBA      -----

          96  GNYLNMQ.....autocleavage site
          : | : : : : .....DSQGVLSF.....
          97  SNAVEFECPMSPASHRRSRALSPAGYTSPTRVHRDSVSSVSSETSYODIY
          : : : : | : | : | : : : : : : : | : : | : :
          : serine-rich region
BGAFAQ
PBA      -----

          112  .....PAPQAVQDNPAMPTSSGSEGNVKLCSLE
          : : : : | : : : | : : | : : | : : : : : : : | : :
          147  SRARSRSRRLHSSDRHNYSSPPVNAFPSQPSANSSFTGCSSLGYSSS
          : : : : | : : : | : : | : : | : : : : : : : | : :
BGAFAQ
PBA      -----

          140  EAQRIWKQKSAEIYPIMDK.....SSRTRLAL
          : : : : | : : : | : : | : : | : : : : : : : | : :
          197  RNRSFSKASGPTQYIFHEEDMNFVDAPTISR VFDEKTM YRNFSSPRGMCL
          : : : : | : : : | : : | : : | : : : : : : : | : :
BGAFAQ
PBA      -----

```

BGAFO**BGAFO****BGAFO**

351 GRLIEHMQEYACSCDVEEIF....RKVRFSEQPDGRAQMPTT..ERVT.L
 .:| :.| . || |: :|| :| | :|. :.
439 QAVCEVFSTHAKDMDVVELLTVNKKVACGFQTSSQGSNILKQPMENTSRL
 ↓ ↓ K F
 v v

(c) The following sequence was obtained from the cDNA library:

```

395  TRCFYLFPGH*.... 404
      : ||::|:
489  LKKFYFWPEARNSAV 503

```

16 / 28

FIGURE 6B

Alignment of Ced-3 and Murine NEDD-2

Ced-3 251 ZHFGQPTNRNGTKADKZNL FMLFRMGYTVICIONL TGRGMLTTRDFAX 300
 NEDD-2 1 MLTQVYRZ 9
 331 MESHGDSATLVL SHGZENVLGGVDFSTHET YELLANAAPLANKP 350
 10 SQRCSSTKVV EVLQ PLOT. SPCSL 37
 351 KIVTF/CACRGZPONGFPVLDSVDG/PAPLPAGWNTGCP LNTLSCVAP 400
 16 PLLLYETDRGTCQCGKXHTQSPG EESDAGKEELX 73
 401 QVQGVWRUKPFSQADILIRYATTAQYVSWRISARGSWFCQAVCEVSTHAK 450
 74 KMRPTRSDMCGYALCKGAWYNTKRGSWYELTQVTSZRAC 118
 451 DEWVLLTEVAK...KVACGFPSTGGSNLRCHPSTSLANKPTTAPEA 498
 119 DWTADMVKNALCKHREGYAPGTEFMRCKXGSEVSTLCOOLYLFPGY 508
 499 RNSAV SC3
 169 PPT. 172

17 / 2 8

FIGURE 6C

Alignment of N-terminal regions of ced-3/ICE-related proteins

C. briggsae ced-3 ced-3 protein	100
C. vulgaria ced-3	
Mouse ICE.gw	
Human ICE.gw	
Consensus	
C. briggsae ced-3 ced-3 protein	190
C. vulgaria ced-3	
Mouse ICE.gw	
Human ICE.gw	
Consensus	
C. briggsae ced-3 ced-3 protein	298
C. vulgaria ced-3	
Mouse ICE.gw	
Human ICE.gw	
Consensus	
C. briggsae ced-3 ced-3 protein	360
C. vulgaria ced-3	
Mouse ICE.gw	
Human ICE.gw	
Consensus	

18 / 2 8

FIGURE 6D

Alignment of the C-terminal regions of ced-3/ICE/NEDD-2 - related proteins

ICE C-terminus	DSFGVW----	FKSVG-----	-----V
Mouse ICE C-ter	ENQCVI----	LKSVR-----	-----D
C. briggsae C-ter	ERRDNGFP--	VIKSVDG-----	-----RRGMDN
ced-3 Cterminus	ERRDNGFP--	VIKSVDG-----	-----RRGMDN
C. vulgaris C-terminus	ERRDVGFP--	VIKSVDG-----	-----RRGMDN
nedd-2 protein.gw	MLTVQVYRTS	QKCSSKHVV	EVLLKLGTS
Consensus	E.....
ICE C-terminus	SQNL---SLP	TTEEF---	DTATDA--HIE
Mouse ICE C-ter	SEE-----	DFL	TDATFE---
C. briggsae C-ter	RDG-PLFNFL	GCVRQV---	Q QVWRKK-PSQ
ced-3 Cterminus	RDG-PLFNFL	GCVRQV---	Q QVWRKK-PSQ
C. vulgaris C-terminus	GDG-P--NPL	GCVRQV---	Q QVWRKK-PSQ
nedd-2 protein.gw	QDGKNIITQSP	GCESSDAGKE	ELKWRRLPTR
Consensus	DG-----	FL GC-----K.-P.-
ICE C-terminus	MESVFIRLI	EIMQETALSC	IVVEHIFRMV
Mouse ICE C-ter	RISFIRSLI	KIMKEVAVSC	DLEDIFRMV
C. briggsae C-ter	RISFIRDAVC	EVFSLIAKDH	DVVELLTEMV
ced-3 Cterminus	RISFIRDAVC	EVFSTIAKDH	DVVELLTEMV
C. vulgaris C-terminus	RISFIRDAVC	EVFSLIAKDH	DVVELLTEMV
nedd-2 protein.gw	RISFIRDAVC	EVFSLIAKDH	DVVELLTEMV
Consensus	RISFIRDAVC	EVFSLIAKDH	DVVELLTEMV
ICE C-terminus	T_ERVT--D	CFV--FPFII--	-----
Mouse ICE C-ter	A_DRVT--	TK RFV--FPDI--	-----
C. briggsae C-ter	MPELTSRL	IK KFY--PDRG	RNSAV
ced-3 Cterminus	MPELTSRL	IK KFY--PEAR-	-NSAV
C. vulgaris C-terminus	MPELTSRL	IK KFY--PDRN	RSSAV
nedd-2 protein.gw	MSEYCSN	IQ QIV--FPF--	-----YPPT
Consensus	M.E.TS	IK K.FY--	-----

19 / 2 8

Lines

```

1 01 MMHQDORRSLLEARNIMMFSSHLKVDEILEVLIKQVINSOINGDMINSCGTV 50
2 .....W.....LE...K.QA.L..D.....V....R.E
3          TVS.SLI...R.....M.....

1 51 REKRREIVKAVQRPQDVAFDAFYDALRSTGHEGLAEVLEPLARSVDSNAV 100
2 .DNEK.....R..E.....D...ND..D..M..S.P   .P.
3

1 101 EFECPMSPASHRRSPALSPAGYTSPTRVHFEVSEVSSFTS_YQDIYSRA 149
2 PM.....S.....P   .A.....I.....I...V....
3          S

1 150 RSRSR_SRALHESDRMYESSPFVNAPFSQPSSANSSFTGCSSSLGYSSSRN 198
2 ..S..S..P.Q.....M.AA_TS.....A.....
3 T..._.P..T.....V..S..S.Q...A.....S.....T

1 199 RSFSKASGPTQYIFHEEDMNFVDAPTSRAVDEKTMYPNFSSPRGMCLL 247
2 .....T.AQS.....Y.....H.....I...
3 ..Y....AHS.....Y.....H.....T...I...

1 248 INNEHTEQMPTFNGTKADKONLTNLFRCMGYTVICKONLTGRGMLLTARD 297
2 .....I.....E..S...S
3 .....P...IS.....I.H.....M.....

1 298 FAKHESHGDSALIVLSHGEEINVIGVDDIPISHEIYDILNAANAPRLA 347
2 .GRNDM.....VSVNV.....
3 ...N.T.....VSVNV...X.....

1 348 NKPKIVTVQACRQEPFONGFPVLSGGVPAFLRRGWDNRDGPFLFNFLGC 397
2 .....L.....SLI.....
3 .....L.....V.....LI.....KG...

1 398 VRPQVQVWAKKPSQADELIRYATTACVYVSWRNSARGSWFIQAVCEVFST 447
2 .....M..A.....I...
3 ....A.....A.....I...

1 448 HAKDMVVELLIEVNNKXACGFTSQGSNTLXQMFEMTSALLKKFYFWFE 497
2 .....I.....
3 .....A.....I...

1 498 _ARN_ SAV 503
2 DRG...
3 _D...PS...

```

FIGURE 7

20 / 2 8

Interleukin-1 β convertase cDNA sequence

```

1  AAAAGGAGAG AAAAGCCATG GCCGACAAGG TCCTGAAGGA GAAGAGAAAG
91  CTGTTTATCC GTTCCATGGG TGAAGGTACA ATAAATGGCT TACTGGATGA
101 ATTATTACAG ACAAGGGTGC TGAACAAGGA AGAGATGGAG AAAGTAAAC
151 GTGAAATGC TACAGTTATG GATAAGACCC GAGCTTTGAT TGACTCCGTT
201 ATTCCGAAAG GGGCAGCGC ATGCCAAAT TGCATCACA ACATTGTGA
251 AGAAGACAGT TACCTGGCAG GGACGCTGGG ACTCTAGCA GATCAAAACAT
301 CTGGAATTA CTTAATATG CAAGACTCTC AAGGAGTACT TTCTTCCTTT
351 CCAGCTCTTC AGGCAGTGCA GGACAACCCA GGTATGCCCA CATCTCAGG
401 CTCAGAAGGG AATGTCAAGC TTGCTCCCT AGAAGAAGCT CAAAGGATAT
451 GGAACAATA GTGGCAGAG ATTTATCCAA TAATGACAA GTCAAGCCGC
501 ACACGTCTTG CTCTCATTAT CTGCAATGAA GAATTTGACA GTATTCTAG
551 AAGAAGTGA GGTGAGGTTG ACATCAGAG CATGACAATG CTGCTACAAA
601 ATCTGGGTA CAGCTAGAT GTGAAAAAA ATCTCACTGC TTCGGACATG
651 ACTACAGAGC TGGAGGCATT TGCACAGCG CCAGAGCACA AGACCTCTGA
701 CAGCAGCTTC CTGGTGTTC TGTCTCATGG TATTCCGGAA GGCATTGTG
751 GGAAGAAACA CTCTGAGCAA GTCCAGATA TACTACAACT CAATGCAATC
801 TTTAACAATG TGAATACCA GAACTGCCCA AGTTTGAAGG ACAAACCGAA
851 GGTGATCATC ATCCAGGCGT GCCGTGGTGA CAGCCCTGGT GTGGTGTGGT
901 TTAAGATTG AGTAGGAGT TCTGGAACC TATCTTTACC AACTACAGAA
951 GAGTTTGAGG ATGATGCTAT TAAGAAAGCC CACATAGAGA AGGATTTTAT
1001 CGTTTCTGC TCTTCCACAC CAGATAATGT TTCTTGGAGA CATCCCACAA
1051 TGGGCTCTGT TTTATTGGA AGACTCATTC AACATATCCA AGAATATGCC
1101 TTTTCTCTG ATGTGAGGA AATTTCGGC AAGGTTGAT TTTCAATTGA
1151 GCAGCCAGAT GGTAGAGCGC AGATGCCAC CACTGAAAGA GTGACTTTGA
1201 CAAGATGTTT CTACCTCTTC CCAGGACATT AAAATAAGGA AACTGTATGA
1251 ATGTCTCGCG GCAGGAAGTG AAGAGATCGT TCTGTAAAG GTTTTGGAA
1301 TTATGTCTGC TGAATAATA ACTTTTTTTC AATAATAAA TCTGGTAGAA
1351 AAATGAAAA AAAAAAAAA AAA

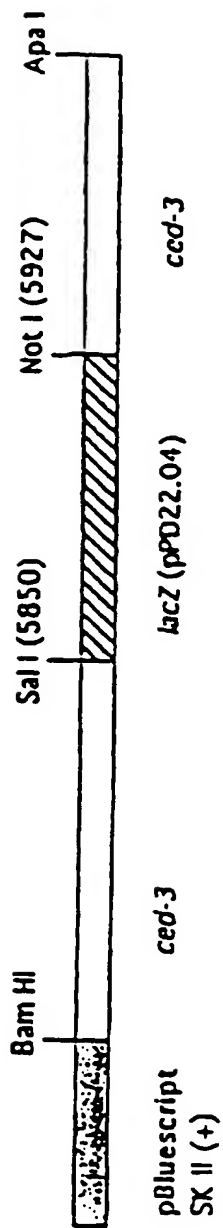
```

FIGURE 8

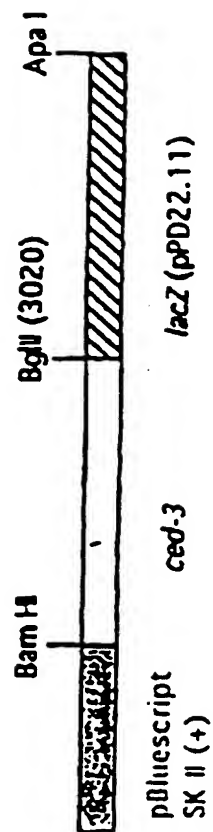
FIGURE 9A

Constructs that Prevent Programmed Cell Death in *C. elegans*

BGAFQ construct

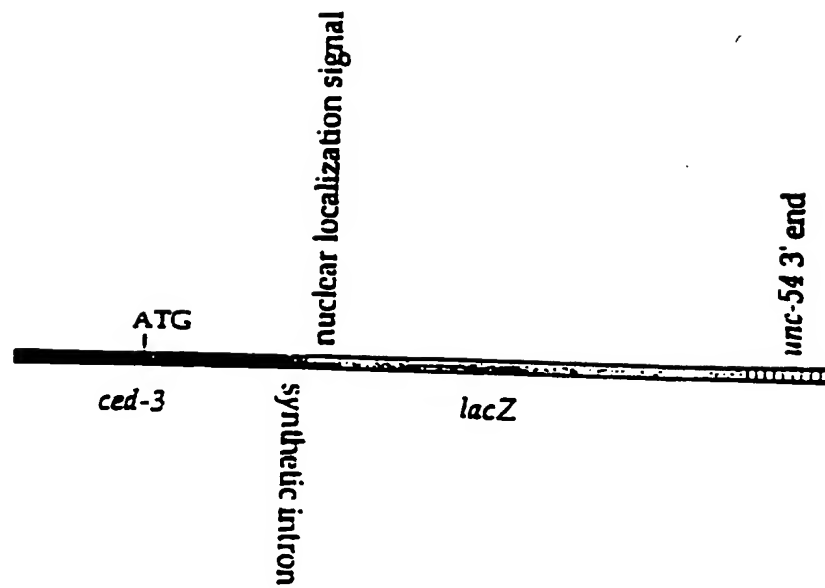


PBA construct



22 / 2 8

FIGURE 9B



24 / 28

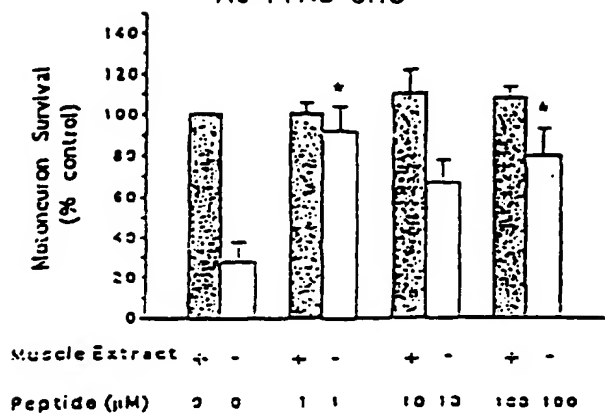
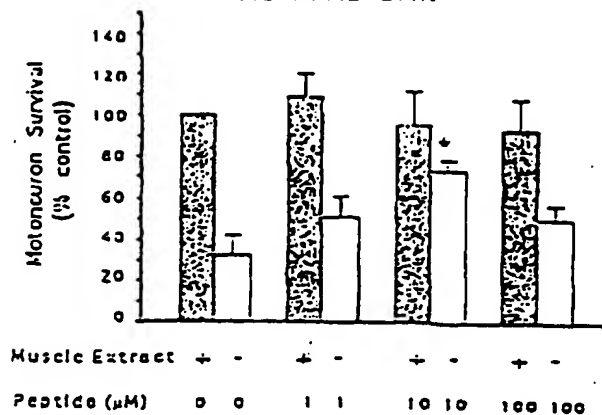
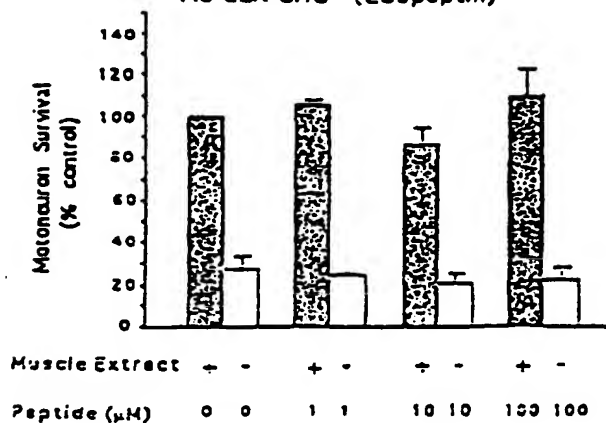
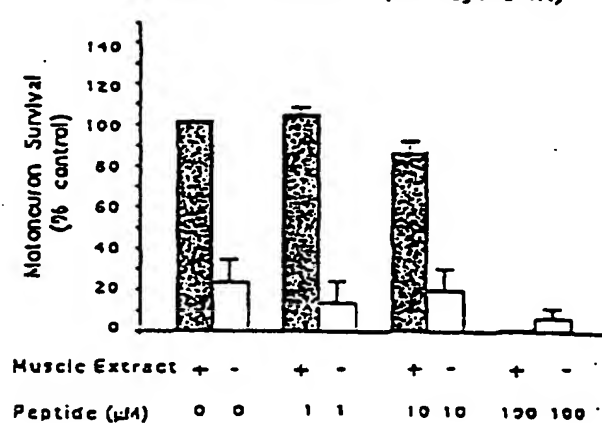
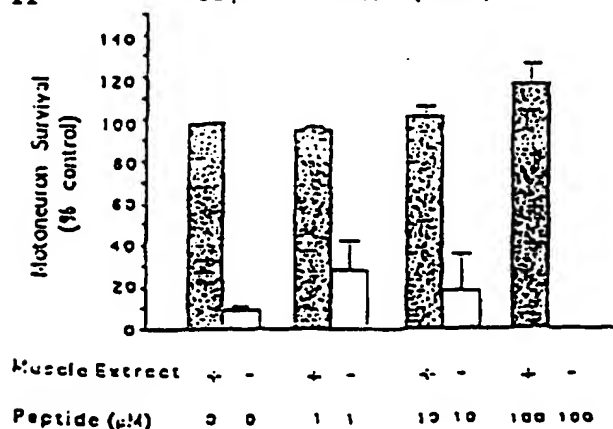
FIG.
11AAldehyde ICE Inhibitor
Ac-YVAD-CHOFIG.
11BChloromethylketone ICE Inhibitor
Ac-YVAD-CMKFIG.
11CAldehyde Control Protease inhibitor
Ac-LLR-CHO (Leupeptin)FIG.
11DChloromethylketone Control
Protease Inhibitor (Tos-Lys-CMK)

FIG.

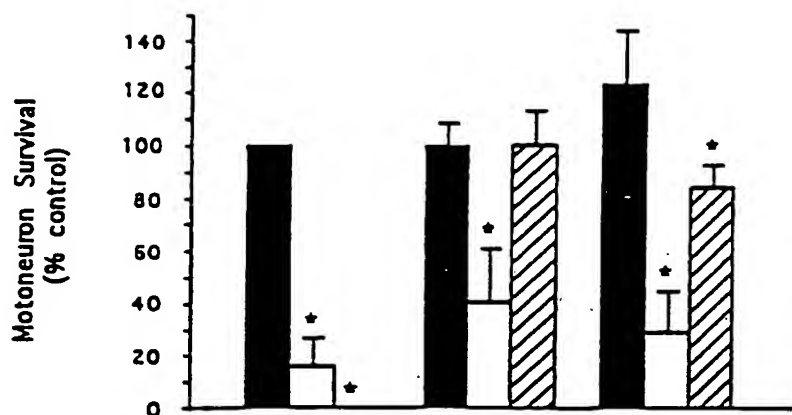
11E

Calpain Inhibitor (Ed64)



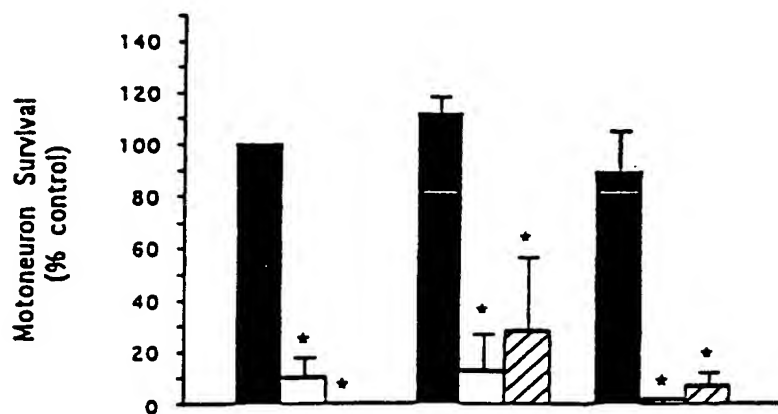
25 / 28

FIG. 12A

Aldehyde ICE Inhibitor
(Ac-YVAD-CHO)

Muscle Extract at Plating	+	-	-	+	-	-	+	-	-
Peptide (μM)	0	0	0	1	1	1	10	10	10
Muscle Extract at 72 Hrs.	-	-	+	-	-	+	-	-	+

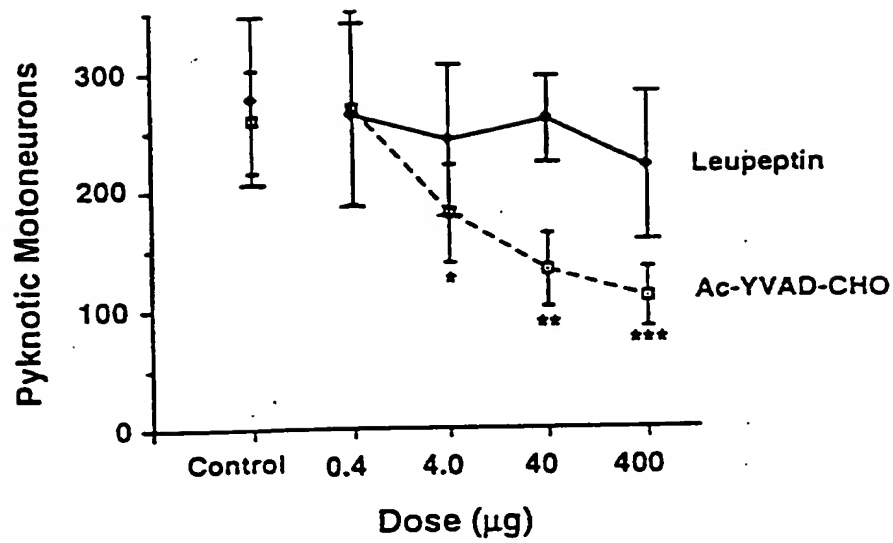
FIG. 12B

Chloromethylketone ICE Inhibitor
(Ac-YVAD-CMK)

Muscle Extract at Plating	+	-	-	+	-	-	+	-	-
Peptide (μM)	0	0	0	1	1	1	10	10	10
Muscle Extract at 72 Hrs.	-	-	+	-	-	+	-	-	+

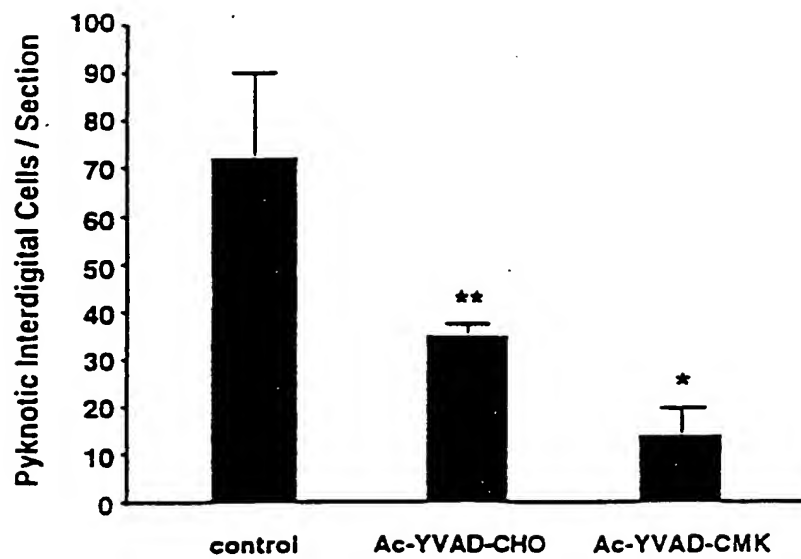
26 / 28

FIG. 13



27 / 2 8

FIG. 14



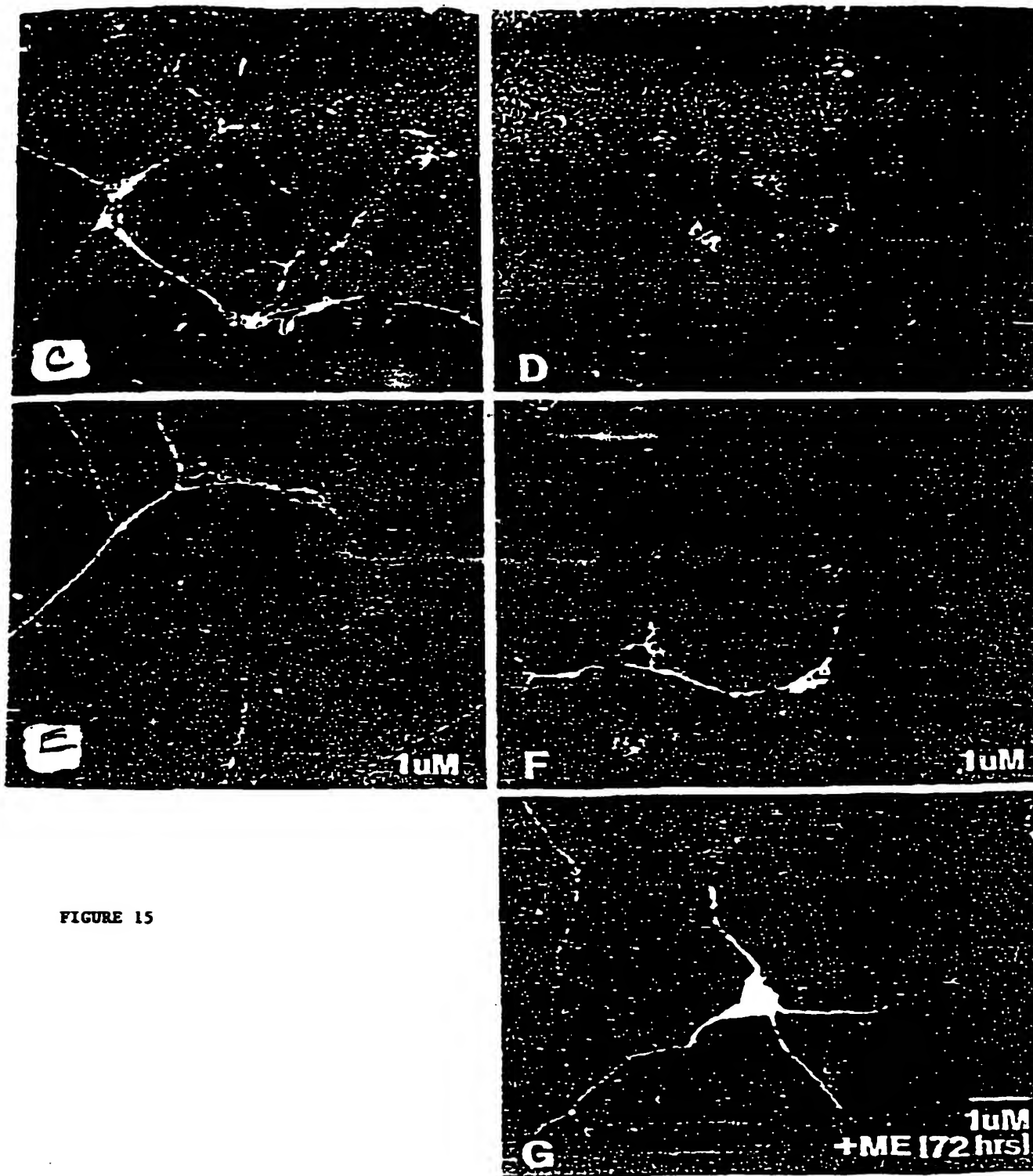


FIGURE 15

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/02473

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 38/55, 38/02, 38/07

US CL : 514/2, 12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/69.2; 514/2, 12; 530/ 300, 330, 350; 930/220, 250

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	THORNBERRY et al. A novel heteromeric cysteine protease is required for interleukin-1- β processing in monocytes. Nature. 30 April 1992, Vol. 356, No. 6372, pages 768-774, especially page 770, column 2 and page 773, column 2.	1-7, 9-17
X	MIURA et al. Induction of apoptosis in fibroblasts by IL-1 β -converting enzyme, a mammalian homolog of the C. elegans cell death gene ced-3. Cell. 19 November 1993, Vol. 75, No. 4, pages 653-660, especially page 655.	1-5, 8-17

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A* document defining the general state of the art which is not considered to be of particular relevance	* X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E* earlier document published on or after the international filing date	* Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* A*	document member of the same patent family
* O* document referring to an oral disclosure, use, exhibition or other means		
* P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

04 JUNE 1996

Date of mailing of the international search report

22 JUL 1996

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Authorized officer

Gabriela L. Bogdan

Facsimile No. (703) 305-3230

Telephone No. (703) 308-1096

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/02473

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WANG et al. Ich-1, an Ice/ced 3 related gene, encodes both positive and negative regulators of programmed cell death. Cell. 09 September 1994, Vol. 78, No. 5, pages 739-50, especially figure 2a and page 746.	1-5, 9-17, 66
A	JACOBSON et al. Apoptosis. Breaking the ICE. Current Biology. 01 April 1994, Vol. 4, No. 4, pages 337-40, entire document.	1-17, 66-68
X	MILLER et al. The IL-1 β converting enzyme as a therapeutic target. Ann. N.Y. Acad. Sci. 1993, Vol. 696, pages 133-148, entire document.	1-7, 9-17
X	RAY et al. Viral inhibition of inflammation: cowpox virus encodes an inhibitor of the interleukin 1 β converting enzyme. Cell. 15 May 1992, Vol. 69, pages 597-604, entire document.	1-5, 8-17

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/02473

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-17, 66, 68

Remark on Protest 0 ☐ The additional search fees were accompanied by the applicant's protest.
☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/02473

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS, Dialog files 5, 155, 301, 350, 351 (BIOSIS, MEDLINE, CHEMNAME, DERWENT WORLD PATENTS INDEX)

search terms: ice, ced3, ced 3, (interleukin or prointerleukin)(3n)(protease or convert? or endopeptidase, inhibit?, tyr val x asp, crmA, crm A, cowpox, apopt?, death

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-17 and 66-68 drawn to a method of making a medicament that is an inhibitor of "asp-ase" (a protease that cleaves substrate after an aspartate residue), the medicament which is species (A) which is Tyr-Val-X-Asp or Ac-Tyr-Val-Ala-Asp-CHO or cowpox virus Crm protein or a protein structurally related to ced-3 or the medicament which is species (B) a nucleic acid polymer, and a process of use of the medicament.

Group II, claims 18-27, 33-36, 39, 41, 42, 45, 47, 48, 51, 56, 59, 65, drawn to third and fourth products respectively which are diagnostic probes for a disease characterized by cell death which are species (C) which is an oligonucleotide probe for ced-3, ICE, or a related gene (claim 18 (items a, b, c, e, f, g, i, j, k), 19, 23 (items a, b, c, e, f, g), 32-35, 36 (as directed to RNA), 39, 41, both 42 and 43 as directed to a nucleic acid, 45, 47, 48, 51, 56, 59, 65) and species (D) which is an antibody (claims 18 and 23, items d and h).

Group III, claims 28-32, 36 (as directed to protein), 37, 38, 40, both 42 and 43 as directed to a protein, 55, 57, 58, 60-64, all drawn to a product which is ced-3 or ICE or NEDD-2.

Group IV, claims 44 and 46, drawn to a method of identifying a gene which is related to ced-3 or ICE.

Group V, claims 49-54 drawn to a method of identifying a gene which interacts with ced-3/ICE gene to enhance or suppress the action of the ced-3/ICE gene but which identified gene is not ced-3 or ICE.

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack Unity of Invention because they are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for more than one species to be examined, the appropriate additional examination fees must be paid.

The species in Group I are as follows: species (A) which is Tyr-Val-X-Asp or Ac-Tyr-Val-Ala-Asp-CHO or cowpox virus Crm protein or a protein structurally related to ced-3; and species (B) is a nucleic acid polymer

The species in Group II are as follows: species (C) is an oligonucleotide probe (claim 18, items a, b, c, e, f, g, i, j, k; claim 23, items a, b, c, e, f, g; claims 32-35; claim 36 as directed to RNA; claims 38, 39, 41; claims 42 and 43 (as directed to a nucleic acid); claim 45; claim 47 and 48; claim 51; 56; 59, 65) and species (D) is an antibody (claims 18 and 23, items d and h).

The inventions listed as Groups I to V do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons.

The protein products of Group I do not share the identical special technical feature as the proteins in Group II since the proteins (species A of Group I) that are the tetrapeptide and cowpox virus Crm are not oligonucleotides that encode ced-3, or ICE or NEDD-2 nor do proteins that are "ced-3 like" necessarily have the identical structure and function of ced-3 as set forth in Group III. The DNA (Group II, species C) and the proteins (Groups I and II species A and D respectively) have different physical, chemical, and biological structure and function. The protein (species A) and polynucleotide (species B) in Group I also differ from that of Group III because they are not per se ced-3 nor ICE nor NEDD-2. The special technical feature of Groups IV and V, of identifying a gene is not found in the claims of Group I.

The special technical feature of Group II in regard to a protein is that it is an antibody is not found in any of Groups III

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/02473

through VI.

The special technical feature of Group III is that the protein is ced-3 or ICE or NEDD-2 is not found in Group IV which is a method of identifying genes related to ced-3 or ICE are found nor is it found in Group V which has the special technical feature of a gene that interacts with ced-3/ICE. Genes related to ced-3 and ICE are not the identical technical feature of a protein.

In Group V, the special technical feature of a gene that interacts with ced-3/ICE is a different special technical feature since the gene that interacts with is not the same as the gene which is ced-3 or ICE or which enhances or suppresses the action of ced-3 or ICE.

The species listed above as A, B, C, and D do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features. Species A is a tetrapeptide or cowpox virus Crm whereas species B is an oligonucleotide which has different physical, chemical, and biological special technical features from a protein and the proteins that are ced-3 or ICE or NEDD-2 also have different physical, chemical and biological function from that of an antibody (species D). Species C also differs from species B since species C is a probe whereas species B is not a probe. Thus, Groups I and II each have a second species directed to a different technical feature not found in the first listed species of each group.